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CAMPUS DE EXCELENCIA INTERNACIONAL

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DEPARTAMENTO DE INGENIERIA CARTOGRAFICA Y DEL TERRENO  
ESCUELA POLITÉCNICA SUPERIOR DE ÁVILA

TESIS DOCTORAL

**Documentación y análisis geométrico del  
patrimonio arquitectónico a partir de  
métodos fotogramétricos con una y  
múltiples imágenes**

**Jesús M<sup>a</sup> García Gago**

Directores

Dr. Diego González Aguilera

Dr. Javier Gómez Lahoz

Ávila, Octubre 2014



Departamento de Ingeniería Cartográfica y del Terreno

Escuela Politécnica de Avila

Universidad de Salamanca

AUTOR:

Jesús M<sup>a</sup> García Gago

DIRECTORES:

Dr. Diego González Aguilera

Dr. Javier Gómez Lahoz

2014





La presente tesis doctoral corresponde a un compendio de 3 trabajos previamente publicados que se especifican a continuación:

**1) *Historical Single Image-Based Modeling: The Case of Gobierna Tower, Zamora (Spain)***

Jesús García-Gago <sup>1</sup>, Javier Gómez-Lahoz <sup>1</sup>, Javier Rodríguez-Méndez <sup>2</sup> and Diego González-Aguilera <sup>1</sup>

<sup>1</sup> Departamento de Ingeniería Cartográfica y del Terreno, Escuela Politécnica de Ávila, Universidad de Salamanca.

<sup>2</sup> Departamento de Construcción y Agronomía, Área de Construcciones Arquitectónicas, Escuela Politécnica de Zamora, Universidad de Salamanca.

*Remote Sens.* **2014**, 6, 1085-1101; doi:10.3390/rs6021085

**2) *A Photogrammetric and Computer Vision-Based Approach for Automated 3D Architectural Modeling and Its Typological Analysis***

Jesús García-Gago <sup>1</sup>, Diego González-Aguilera <sup>1</sup>, Javier Gómez-Lahoz <sup>1</sup> and Jesús Ignacio San José-Alonso <sup>2</sup>

<sup>1</sup> Departamento de Ingeniería Cartográfica y del Terreno, Escuela Politécnica de Ávila, Universidad de Salamanca.

<sup>2</sup> Laboratorio de Fotogrametría Arquitectónica, Escuela Técnica Superior de Arquitectura, Universidad de Valladolid.

*Remote Sens.* **2014**, 6, 5671-5691; doi:10.3390/rs6065671

**3) *Confronting passive and active sensors with Non-Gaussian statistic***

Pablo Rodríguez-Gonzálvez, Jesús García-Gago, Javier Gómez-Lahoz and Diego González-Aguilera

Departamento de Ingeniería Cartográfica y del Terreno, Escuela Politécnica de Ávila, Universidad de Salamanca.

*Sensors* **2014**, 14, 13759-13777; doi:10.3390/s140813759



**“Documentación y análisis geométrico del patrimonio arquitectónico a partir de métodos fotogramétricos con una y múltiples imágenes”,**

Tesis Doctoral presentada por Jesús María García Gago

Informe de los Directores de Tesis

La Tesis Doctoral “Documentación y análisis geométrico del patrimonio arquitectónico a partir de métodos fotogramétricos con una y múltiples imágenes”, presentada por Jesús María García Gago, se inserta en la línea de investigación de documentación del patrimonio arquitectónico por medio de técnicas fotogramétricas que pretenden reunir tres factores críticos: automatización, consecución de modelos densos de alta calidad geométrica y radiométrica y empleo para ello de medios de bajo coste.

Se trata de una línea muy activa y relevante en la comunidad internacional con múltiples propuestas de mejora que se estimulan unas a otras gracias a lo cual estamos viviendo un periodo que franco progreso de las Geotecnologías en este campo en cuestión. El trabajo desarrollado se sitúa de forma significativa y eficaz en el contexto de dichas aportaciones y logros con un alto grado de sintonía y de contribución al avance del conocimiento científico y tecnológico.

Se trata asimismo de una línea de investigación promovida y desarrollada por un Grupo de Investigación reconocido de la Universidad de Salamanca, que viene desarrollando propuestas en el seno de Proyectos de Investigación competitivos y en colaboración con otros grupos punteros a nivel nacional.

La Fotogrametría viene experimentando una serie de innovaciones de gran alcance que han transformado profundamente su contexto hasta hacerla prácticamente irreconocible respecto de lo que ofrecía tan sólo unos años atrás. Estas innovaciones se articulan fundamentalmente en torno a la automatización de los procesos de orientación y modelización de superficies con finalizado fotográfico, al empleo de sistemas de bajo coste, tanto en la componente del equipo empleado como en la metodología de documentación y procesamiento y, por último, en el imprescindible control de calidad que debe llevarse a su máximo extremo cuando la automatización está implicada y que cuestiona las convencionales y clásicas técnicas basadas en la estadística gaussiana.

Además, en los últimos diez años, la Fotogrametría experimenta una estimulante rivalidad con los logros aportados por el láser escáner. Esta competencia ha conducido a que, lejos de quedarse rezagada respecto al mismo en la escena de la producción cartográfica – como parecía que iba a ocurrir tan sólo cinco años atrás – se haya situado en un horizonte muy prometedor en todos los contextos, pero muy especialmente en el de la documentación arquitectónica y patrimonial.

La Tesis aborda un adecuado Estado de la Cuestión de manera que permite identificar claramente la oportunidad estratégica de la aportación que se realiza como lo demuestra el hecho de que la Tesis se articula en torno a la modalidad de compendio de artículos publicados en revistas científicas y tecnológicas con impacto reconocido.

Estos artículos han verificado los correspondientes procesos de evaluación crítica y revisión por parte de expertos internacionales de trayectoria reconocida. Estas contribuciones se centran en:

- El análisis dimensional de edificios a partir de imágenes históricas y únicas tomadas con cámaras desconocidas.
- La generación de modelos densos 3D con textura fotográfica mediante la fotogrametría múltiple y convergente con el propósito de realizar estudios tipológicos de la arquitectura religiosa popular.
- La comparación de modelos obtenidos mediante fotogrametría múltiple con los obtenidos por láser escáner utilizando tanto métodos estadísticos clásicos Gaussianos tanto como métodos robustos no paramétricos.

La Tesis concluye con el correspondiente apartado de Conclusiones en el que de forma precisa y concreta se especifican las principales aportaciones realizadas de tal manera que puedan ser objeto de crítica y de proyección hacia el desarrollo de futuros trabajos integrados en línea de investigación.

En Ávila, a 24 de octubre de 2014,

Diego González Aguilera

Javier Gómez Lahoz

## AGRADECIMIENTOS

Quiero dar las gracias a todas las personas que me han acompañado en este camino, ofreciéndome su apoyo, consejo y ánimo, y sin las que nada de esto habría sido posible:

En primer lugar, a mis directores de tesis, Dr. D. Diego González Aguilera y Dr. D. Javier Gómez Lahoz, no solo por compartir sus amplios conocimientos y experiencia profesional, sino también por su entrega, dedicación, apoyo y ánimo en todo momento. Gracias por vuestra infinita paciencia.

Al Dr. D. Jesús Ignacio San José Alonso, por guiarme en las primeras fases de la investigación, y transmitirme su pasión por el dibujo y el patrimonio. Sus ideas, consejos y todo el trabajo desarrollado en aquellos primeros pasos, han sido la semilla de este trabajo.

Al Dr. D. Francisco Javier Rodríguez Méndez, por ser el “culpable” de todo esto, por confiar en mí hace más de diez años, por ayudarme con su experiencia y ofrecerme su amistad, y por darme la oportunidad de divertirme cada día entre rectas y planos. Espero seguir compartiendo despacho y proyectos durante muchos años.

Además, a todos ellos y a Pablo Rodríguez González, como coautores de los artículos aquí presentados, por su consentimiento para que éstos formen parte de esta Tesis Doctoral.

Y por último a mis padres, por sembrar en mí la curiosidad y el deseo de aprender, por ser ejemplo de humildad, generosidad y bondad, por apoyarme en todas las decisiones de mi vida y seguir siendo mi guía y referencia.

A todos, gracias.



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## RESUMEN

El objetivo general de esta Tesis Doctoral es el desarrollo y aplicación de métodos y procedimientos de trabajo que permitan generar una documentación geométrica de un bien arquitectónico de una forma sencilla y eficiente, utilizando sistemas de sensor remoto de bajo coste basados en técnicas de fotogrametría a partir de una y múltiples imágenes. Para alcanzar este objetivo general hemos seguido tres líneas de trabajo:

1 – Fotogrametría de una sola imagen o “single view”, mediante la cual explotaremos las propiedades geométricas de una única fotografía oblicua para obtener la geometría de un edificio, tema de especial relevancia cuando se trata de un edificio desaparecido del que solo se conservan algunas fotografías antiguas.

2 – Fotogrametría múltiple mediante la cual obtendremos un modelo denso 3D a partir de múltiples fotografías horizontales y convergentes, aplicado a la clasificación tipológica de la arquitectura religiosa.

3 - Diagnóstico de la calidad (accuracy assessment) de los resultados de los modelos densos 3D obtenidos mediante fotogrametría múltiple en comparación con los obtenidos por láser escáner utilizando métodos estadísticos clásicos Gaussianos y robustos no paramétricos.

Esta Tesis Doctoral se presenta como un compendio de tres artículos científicos que han sido publicados en revistas científicas internacionales de impacto (ver Anexo 1). Cada artículo desarrolla una de las tres líneas de trabajo fijadas:

- En el primer artículo se aborda el análisis dimensional y el modelado 3D de un edificio desaparecido a partir de una única imagen antigua (histórica), la Torre de Gobierno, perteneciente a la ciudad de Zamora.
  - En el segundo desarrollaremos un método de trabajo que permite obtener modelos densos 3D foto-realísticos mediante la fotogrametría múltiple (horizontal y convergente), de los que se pueda generar la documentación geométrica necesaria para realizar un estudio tipológico de la arquitectura religiosa popular de los municipios fronterizos de la comarca de Aliste, ubicadas en la provincia de Zamora.
-

- En el tercero se compararán los modelos densos 3D obtenidos mediante fotogrametría múltiple con los obtenidos por láser escáner utilizando tanto métodos estadísticos clásicos Gaussianos tanto como métodos robustos no paramétricos. Los casos de estudio se han realizado sobre dos iglesias de estilo románico, las Iglesias de San Pedro y San Segundo, ubicadas en la ciudad de Ávila.

## 1 INTRODUCCIÓN

La protección del patrimonio arquitectónico responde a la necesidad de cualquier sociedad de conservar sus valores culturales históricos y mantener vivas sus señas de identidad cultural. El primer paso para esta protección es el conocimiento del objeto arquitectónico, al que se llega a través de un proceso de investigación conocido como **documentación**. Dentro de la documentación gráfica del patrimonio podemos diferenciar dos tipos: la *documentación no métrica*, empleada a nivel divulgativo y didáctico; y la *documentación métrica*, en la que se reflejan las medidas y la representación geométrica a escala del patrimonio.

La documentación gráfica de un bien arquitectónico, debe de servir de soporte y base para el desarrollo de investigaciones sobre el mismo, que facilitarán su gestión y mantenimiento, favoreciendo su difusión y divulgación, acarreado a la larga un aumento del interés y la participación de la población en su conservación.

Esta documentación gráfica conlleva dos fases bien diferenciadas. La primera es la toma de datos, que se realiza en el edificio por medio de diferentes medios o técnicas; y la segunda, consistente en plasmar esos datos en forma de dibujos u otros tipos de documentos gráficos y que se suele realizar en el estudio.

En el II Congreso Internacional de Arquitectos y Técnicos de Monumentos Históricos, celebrado en Venecia en 1964, se redacta la “Carta internacional sobre la conservación y la restauración de monumentos y sitios (Carta de Venecia, 1964)”, estableciendo los principios comunes que deben presidir la conservación y restauración de monumentos, asociando a estos trabajos, “una documentación precisa, en forma de informes analíticos y críticos, ilustrados con dibujos y fotografías”. En este Congreso se funda el Consejo Internacional de Monumentos y Sitios (ICOMOS), dedicado a promover la teoría, la metodología y las técnicas científicas para la conservación, protección y mejora de monumentos y sitios. En 1968, este organismo celebra en París un coloquio internacional sobre las “Aplicaciones de la Fotogrametría en monumentos históricos”, constituyéndose, en colaboración con la Sociedad Internacional de Fotogrametría y Teledetección (ISPRS), el CIPA (antiguo Comité Internacional de Fotogrametría Arquitectónica y actual

Comité Internacional para la Documentación del Patrimonio Cultural). El objetivo de este comité es fomentar y promover el desarrollo de principios y buenas prácticas para el registro, documentación y gestión de la información del patrimonio cultural, obtenidos por varios métodos, bajo la consideración especial de la fotogrametría.

Los métodos de toma de datos empleados a lo largo del tiempo, a excepción de los métodos directos, efectuados con cinta o distanciómetro, exigían verdaderos especialistas en la toma de datos, tanto para el uso de métodos topográficos como fotogramétricos, además de equipos sofisticados. La fotogrametría clásica estereoscópica, con la utilización de instrumentos sofisticados, complejos y caros (cámaras métricas y restituidores) así como con su necesidad de obtener un apoyo topográfico, dificultaba mucho a los técnicos intervinientes en la conservación del patrimonio arquitectónico tanto el proceso de toma de datos como el procesamiento de los mismos.

Pero en los últimos tiempos, a partir de la integración de la fotogrametría y la visión computacional, aparecen nuevos sistemas y métodos que simplifican y automatizan el proceso de la generación de modelos 3D a partir de fotografías tomadas con cámaras convencionales, popularizando este método de toma de datos.

Es por esto que la fotogrametría se consolida como herramienta de bajo coste, al alcance de todos, permitiendo acortar los tiempos de trabajo de campo y obteniendo unos resultados de calidad, donde a la forma se le añade la información de textura y color, condicionantes que hacen de este método de toma de datos un sistema óptimo para la documentación gráfica arquitectónica.

Por ello, estudiaremos las aplicaciones de la **fotogrametría en el campo de la documentación patrimonial** siguiendo tres líneas de trabajo:

1 – **Fotogrametría de una sola imagen o “single view”**, mediante la cual explotaremos las propiedades geométricas una única fotografía oblicua para obtener la geometría de un edificio o cualquier objeto que presente una forma regular y su análisis dimensional, tema de especial relevancia cuando se trata de un edificio desaparecido del que solo se conservan algunas fotografías antiguas.

Ya en el siglo XIX, Meydenbauer utilizó fotografías estereoscópicas para documentar los elementos patrimoniales más importantes con el fin de que pudiesen ser reconstruidos en el caso de destrucción, siendo utilizado su archivo de más de

20.000 negativos en placas de vidrio para la reconstrucción de edificios después de la Segunda Guerra Mundial. Pero por desgracia, la mayor parte de los edificios desaparecidos no cuentan con este tipo de documentación estereográfica, y tan sólo se conservan algunas fotografías aisladas.

Una línea de desarrollo reciente muy utilizada en el contexto de la Documentación del Patrimonio Arquitectónico ha sido la rectificación. En los tiempos analógicos de la fotogrametría se trataba de un proceso ingrato pues implicaba la reproducción fotográfica del objeto en cuestión, eliminando de la misma los efectos de perspectiva. Sin embargo, tras la digitalización de la imagen representa un proceso sencillo, rápido, con resultados satisfactorios y al alcance de personas sin ninguna formación previa al respecto. Como es bien sabido, su principal limitación es que requiere que el objeto sea bidimensional, esto es, resulta aplicable al caso de fachadas arquitectónicas pero no a objetos articulados o con relieve.

Varios autores han propuesto enfoques y métodos basados en la geometría de una sola vista basados en el conocimiento, aunque sea de forma parcial, de determinadas propiedades geométricas del objeto. Sin embargo, sólo unos pocos se han centrado en el caso del modelado de edificios demolidos mediante una fotografía histórica, aspecto que presenta una tarea difícil al ser completamente desconocido el tipo y la geometría de la cámara, siendo en ocasiones imposible la evaluación de los resultados debido a la inexistencia del edificio.

En esta línea de investigación realizaremos el análisis dimensional y el modelado 3D de un edificio desaparecido del que sólo se conservan algunas fotografías históricas aprovechando las propiedades geométricas de la toma fotográfica oblicua, del propio edificio y la potencia del cálculo del software sv3DVision (Gonzalez-Aguilera y Gomez-Lahoz, 2008), desarrollado en 2005 en la Universidad de Salamanca y que automatiza el proceso.

2 – **Fotogrametría múltiple** mediante la cual obtendremos un modelo denso 3D a partir de múltiples fotografías (convergentes y paralelas), aplicado a la clasificación tipológica de la arquitectura religiosa rural de los municipios fronterizos de la comarca de Aliste ubicados en la provincia de Zamora.

La fotogrametría ha sido ampliamente utilizada en la documentación arquitectónica, desde métodos simples de rectificación fotográfica para superficies

planas, como ya se ha comentado, hasta la fotogrametría clásica basada en pares estereoscópicos, orientados mediante puntos de apoyo topográfico, para crear un modelo estereoscópico sobre el que el operador puede capturar detalles específicos tridimensionales o crear curvas de nivel para representar el relieve. Bajo el paradigma analógico, este proceso requería de restituidores sofisticados de difícil manejo, coste elevado y con resultados en soporte duro (papel o película) pero con la digitalización de la Fotogrametría, la técnica ha experimentado un proceso de simplificación y de mejora considerable en la calidad y versatilidad del resultado. Cabe mencionar que esta digitalización permitió en su momento la entrada, en el sistema de producción cartográfica, de imágenes múltiples y oblicuas que rebasaban la tradicional configuración estereoscópica clásica lo que facilitaba mucho la fase de adquisición. Sin embargo, en su etapa inicial, este planteamiento requería de la captura manual de todos los detalles a modelizar.

La aparición de los sistemas basados en láser escáner terrestre ha revolucionado la adquisición 3D masiva de datos en tiempo real, datos que además pueden ir acompañados de valores radiométricos mediante la integración de color verdadero con una cámara fotográfica o el propio valor de intensidad del láser, obteniendo una nube de puntos 3D foto-realística o de intensidades, respectivamente. Pero el alto coste de los equipos y del software asociado así como la especialización de los operadores, hacen que este sistema esté al alcance de muy pocos.

En los últimos años hemos presenciado una popularización en el uso de la fotogrametría con la aparición de la fotogrametría a partir de múltiples imágenes, gracias a la automatización en el proceso de orientación y el propio proceso de reconstrucción, obteniendo en este último caso modelos tridimensionales densos con acabado foto-realístico, que vienen a superar en muchos casos la propia resolución de los sistemas láser. Además, se garantiza una mayor flexibilidad en la toma de las imágenes, ya que permiten trabajar con cualquier tipo de imágenes, no solo la clásica imagen vertical/horizontal estereoscópica, sino también imágenes oblicuas y múltiples convergentes e incluso con cualquier tipo de cámara, calibrada y no calibrada. Todo ello sumado a la precisión y fiabilidad de los resultados, hacen que la fotogrametría basada en múltiples imágenes se desmarque como un serio competidor de bajo coste de los sistemas láser.

En esta línea de investigación realizaremos mediante fotogrametría múltiple los modelos densos 3D con acabado foto-realístico de los edificios religiosos de la zona de estudio, que nos permitan posteriormente obtener la documentación gráfica necesaria para realizar un estudio tipológico.

3 - **Diagnóstico de la calidad (accuracy assessment)** de los resultados de los modelos densos 3D obtenidos mediante fotogrametría múltiple en comparación con los obtenidos por los sistemas láser escáner terrestre utilizando métodos estadísticos clásicos Gaussianos y robustos no paramétricos.

Durante la segunda mitad de la década pasada, los potentes sistemas de escáner láser utilizados para la documentación del patrimonio, tanto los de tiempo de vuelo como los de diferencia de fase, parecen establecerse como una tecnología inalcanzable para la fotogrametría clásica, anclada en esta carrera tecnológica. Pero la aparición en los últimos años de la fotogrametría a partir de múltiples imágenes ha supuesto una auténtica resurrección de las técnicas fotogramétricas, gracias a su alianza con la visión computacional.

La automatización, tanto en el proceso de orientación de las imágenes como en la reconstrucción, generando modelos tridimensionales densos con resoluciones similares a las de los sistemas laser, así como posibilidad de utilizar cualquier tipo de cámara fotográfica y cualquier tipo de toma, han contribuido en popularizar este método. Cabe destacar como parte de esta popularización de la fotogrametría múltiple, la proliferación de herramientas de código abierto, de coste reducido o incluso gratuitas que permiten afrontar este paso del 2D al 3D utilizando sólo imágenes.

Esta tercera línea de trabajo tendrá dos objetivos: en primer lugar, comparar los Modelos Digitales de Superficie (DSM) obtenidos por fotogrametría múltiple mediante sistemas de sensores remotos pasivos (cámara digital) y activos (láser escáner terrestre), aplicada a objetos arquitectónicos específicos, en concreto a las portaladas románicas de San Pedro y San Segundo de Ávila. En segundo lugar, poner a prueba cómo se adaptan las estadísticas clásicas Gaussianas, con su principio de Mínimos Cuadrados, a los conjuntos de datos donde pueden aparecer errores groseros asimétricos y si este enfoque se debe cambiar por uno no paramétrico. Debe tenerse en cuenta que la automatización en el procesamiento de los datos

conlleva la presencia de múltiples errores groseros que deben provocar que dichos datos no se ajusten al modelo de una población normal.



## 2 ESTADO DEL ARTE

La documentación gráfica del patrimonio arquitectónico se ha venido realizando tradicionalmente por métodos directos, mediante croquis o fotografías combinados con cintas métricas o distanciometros, o mediante técnicas topográficas y fotogramétricas. Cuando se trata de trabajos rigurosos, predominan los levantamientos fotogramétricos con apoyo topográfico.

La fotogrametría, traducido etimológicamente como “la medida de lo escrito con luz”, permite la obtención de información tridimensional del objeto a partir de medidas realizadas en fotografías.

La fotogrametría arquitectónica ha sido utilizada para la documentación patrimonial basándose en imágenes estereoscópicas y rectificaciones fotográficas, utilizando cámaras métricas y restituidores, primero de forma analógica, hasta la llegada de la era digital, al principio de 1990.

Como ventajas de la fotogrametría podemos destacar la posibilidad de obtener datos métricos sin tener contacto con el objeto, proporciona gran cantidad de datos a diferentes escalas y con alta precisión métrica y que el uso de las fotografías proporciona información de la textura del objeto.

La desventaja más significativa de la fotogrametría convencional sería el uso de instrumental muy específico y operadores expertos y la necesidad de obtener puntos de apoyo mediante técnicas topográficas.

La aparición de los sistemas basados en láser escáner terrestre a principios del siglo XXI revolucionó el sector de la documentación patrimonial. Estos instrumentos son sensores activos, bien sean basados en tiempo de vuelo o diferencia de fase, y permiten la adquisición masiva de coordenadas 3D, obteniendo una nube de puntos, más o menos densa en función de la resolución requerida, en tiempo real.

La adquisición masiva de datos supuso un cambio en el concepto de documentación y rápidamente aparecieron seguidores y detractores de este nuevo procedimiento. Los primeros veían en este sistema un paso más hacia la utopía del dibujo automático mientras que los segundos defendían la toma selectiva e interpretada de datos, que obliga a una simplificación del objeto basada en una selección de los elementos más significativos, facilitando la comprensión del

elemento a documentar.

Su uso es adecuado en levantamientos de grandes dimensiones, con geometría compleja, de elementos con relieve, como retablos, bóvedas, portaladas, etc... Pero a pesar de que algunas de sus desventajas se van aminorando, como el peso y las dimensiones de los equipos, su autonomía (batería y equipo informático externo) y aunque el coste de los equipos va descendiendo, todavía continúa siendo elevado, así como el software necesario para el procesamiento de los datos. Esto, sumado a la necesaria especialización de los operadores, hace que este sistema no esté al alcance de cualquier usuario.

La auténtica revolución en la documentación del patrimonio ha venido en los últimos años de la mano de la fotogrametría a partir de múltiples imágenes, que obtiene productos similares a los obtenidos mediante los sistemas laser, pero sin los inconvenientes de éste y bajo las exigencias de máxima calidad que han sido siempre la filosofía de la fotogrametría.

De esta forma, desaparecen las mayores desventajas de la fotogrametría mediante el uso masivo de material digital y cámaras digitales, de mayor resolución y menor coste, software de bajo coste y fácil manejo y el menor uso de apoyo topográfico. Así aparece el término de “fotogrametría de bajo coste” o “fotogrametría para todos”, acercando el proceso de documentación arquitectónica a cualquier técnico interviniente en la conservación del patrimonio.

El procesamiento de múltiples imágenes para generar modelos geométricos y radiométricos 3D requiere la resolución de dos cuestiones previas de gran importancia geométrica en fotogrametría: conocer los parámetros internos de la cámara (distancia principal o focal, coordenadas del punto principal y parámetros de distorsión de las lentes) junto con sus parámetros externos (posición espacial y angular) (Robertson and Cipolla, 2009).

### **1 - La orientación interna o calibración de la cámara:**

Consiste en la reconstrucción del haz perspectivo, o lo que es lo mismo, determinar los parámetros internos de la cámara: distancia principal o focal, coordenadas del punto principal y parámetros de distorsión de las lentes.

En sistemas de una sola imagen, se puede resolver la orientación interna, estableciendo restricciones geométricas (planeidad, ortogonalidad y paralelismo),

características frecuentes en los objetos arquitectónicos, a partir de la pirámide perspectiva, cuya base está formada por los tres puntos de fuga y el punto principal el vértice y la longitud focal, la altura de la pirámide.

Durante años la fotogrametría clásica ha conseguido resolver este paso mediante la aplicación de rigurosos procesos de calibración de laboratorio y/o campo junto con la existencia de una red bien distribuida de puntos de paso y apoyo.

## **2 - La orientación externa:**

Consiste en la reconstrucción de la posición y orientación del haz perspectivo respecto del objeto, orientando los haces perspectivos entre sí (orientación relativa) y posteriormente situándolos respecto al objeto (orientación absoluta)

El cálculo de los ángulos y la posición de la cámara a partir de una sola imagen, se obtienen a partir de la pirámide perspectiva utilizada anteriormente en la orientación interna, para el cálculo de los ángulos de rotación, mientras que el origen y la escala requiere información de la geometría del objeto apoyada por restricciones geométricas (coplanaridad y paralelismo).

En la fotogrametría con múltiples imágenes, la orientación relativa entre las imágenes o la orientación externa de cada una de las imágenes respecto del sistema de referencia, se resuelven aplicando la condición de colinealidad (Kraus, 1993), que establece que la recta que une cada punto imagen con su correspondiente punto objeto pasa por el centro de proyección de la cámara en el momento de toma. La resolución múltiple de la orientación externa de todas las imágenes que conforman la toma se resuelve mediante el procedimiento de Ajuste de Haces heredado de los procedimientos habituales de Aerotriangulación. La orientación absoluta, necesaria tras la orientación relativa, se resuelve bien mediante el uso de puntos de apoyo expresados en el sistema de coordenadas de referencia o bien mediante la definición explícita de dicho sistema de referencia a través de la identificación sobre las imágenes de un origen, la dirección de los ejes cartesianos y el establecimiento de una escala, lo que implica necesariamente la determinación de al menos una distancia sobre el objeto. Mediante la intersección de los rayos correspondientes obtendremos las coordenadas en el modelo, que nos permitirá reconstruir el modelo 3d o simplemente realizar un análisis dimensional-métrico del objeto o escenario.

En los desarrollos recientes es práctica habitual que orientación externa e interna

se resuelvan simultáneamente en el denominado proceso de Autocalibración. Es también posible que estos dos procesos se integren con el proceso de cálculo del propio modelo 3D, integrando éste en el proceso de Ajuste de Haces mencionado.

Para ello se requiere previamente la extracción y matching de puntos homólogos entre las imágenes.

Las estrategias robustas de matching múltiple basado en características (FBM- Feature Based Matching) y áreas (ABM- Area Based Matching) desarrolladas en los últimos años permiten vincular las imágenes entre sí y obtener la orientación relativa de las imágenes, así como una nube dispersa, correspondiente a los puntos extraídos del matching (Hartley and Zisserman, 2003).

Posteriormente podemos proceder a la intersección múltiple directa basada en la condición de colinealidad, que nos permitirá la reconstrucción del modelo 3D o simplemente su análisis métrico-dimensional.

Aquí también se han conseguido grandes progresos en los últimos años gracias a las técnicas de matching. El potente semi-global matching (SGM) (Hirschmüller, 2008), que ha permitido generar modelos 3D densos con resoluciones iguales al GSD (Ground Sample Distance) de la imagen, es decir un punto en el terreno por cada pixel de la imagen, aunque depende de una geometría favorable. Apero-Micmac (Deseilligny, 2010) como software libre es un buen ejemplo junto con herramientas fotogramétricas comerciales (eATE, NGATE, Dense Matcher, ISAE, Match-T, Xpro, Tridicon, etc).

Existen otros logros importantes en el caso de la geometría desfavorable, como los patch-based methods basados en surfels (Seitz et al., 2006; Lhuillier and Quan, 2005; Habbecke and Kobbelt, 2006). Así, el Patch-based Multi-View Stereo (PMVS) (Furukawa and Ponce, 2009) utiliza un acercamiento híbrido, usando imágenes sin distorsiones, parámetros de orientación de las imágenes, un conjunto escaso de puntos y las matrices de proyección para obtener un conjunto denso de small oriented rectangular patches a nivel de pixel. Además incorpora un filtrado por visibilidad que le permite ser robusto y eliminar falsos puntos de matching.

Sin embargo, el mayor inconveniente que tienen este tipo de herramientas, comercial and web-based tools (Bundler, Photosynth, Photofly Insight3D, 123Catch) es que se sustentan en algoritmos de visión computacional que calculan la

orientación de las imágenes por modelos independientes y con parámetros internos diferentes para cada imagen, lo que se aleja de la orientación rigurosa por ajuste de haces (bundle adjustment) que aplica la fotogrametría sustentada en unos parámetros internos previamente calibrados, no arrojando la calidad esperada. También podemos destacar como inconveniente de estos métodos basados en la web, su coste computacional, sobre todo si trabajamos con un gran número de imágenes de alta resolución.

Los productos obtenidos mediante la fotogrametría a partir de múltiples imágenes, modelos tridimensionales densos con acabado foto-realístico, superan en muchos casos la propia resolución de los sistemas láser, sin olvidar la precisión y fiabilidad de los resultados al estar basada en técnicas fotogramétricas. Si a ello le sumamos la flexibilidad en la toma de las imágenes, el uso de cualquier tipo de cámara, la aparición de herramientas software con un coste reducido e incluso gratuito y de código abierto, así como la automatización del proceso, tanto de orientación como de reconstrucción, convierten a la fotogrametría a partir de múltiples imágenes en un método idóneo para la documentación patrimonial.

Los modelos tridimensionales densos obtenidos no están libres de errores, pues pueden contener falsas correspondencias surgidas durante el proceso de matching. Cuando se trata de comparar modelos obtenidos mediante fotogrametría a partir de múltiples imágenes y modelos obtenidos mediante escáner láser, hay que tener en cuenta que ambos sistemas tienen sus propias fuentes de error, además de que los puntos en ambos sistemas no son los mismos y que no todas las superficies de los objetos son capturadas por igual en los dos métodos, pues las posiciones de ambos sensores no son las mismas. Por todo ello, los errores groseros asociados a los conjuntos de datos aumenta, mostrando asimetría en ambos sistemas.

La comparación de la calidad de los modelos obtenidos mediante sistemas laser y mediante fotogrametría de múltiples imágenes, se ha realizado tradicionalmente mediante métodos estadísticos clásicos Gaussianos, con su principio de mínimos cuadrados.

Pero la asimetría existente debida a la presencia de errores groseros, sugiere que los parámetros normales estándar no son adecuados para evaluar este tipo de datos, sobre todo cuando la precisión es de suma importancia, mientras que la estadística

basada en métodos robustos no paramétricos, arroja unos resultados que cumplen con lo que cabría esperar en relación con la evaluación de la exactitud.

### 3 HIPÓTESIS DE TRABAJO Y OBJETIVOS

Se establecen tres **hipótesis de trabajo** en la presente Tesis Doctoral:

1. Se puede obtener medidas de un edificio desaparecido explotando las propiedades geométricas de una sola fotografía antigua.
2. Mediante la fotogrametría múltiple podemos obtener modelos densos 3D que permitirán generar la documentación necesaria para realizar un estudio tipológico de la arquitectura religiosa de una zona determinada.
3. Los resultados obtenidos con la fotogrametría son equiparables a los obtenidos con el láser escáner terrestre.

Los **objetivos** a alcanzar seguirán las líneas de trabajo propuestas:

1 – Desarrollar un método que permita la obtención de la geometría y análisis dimensional de un edificio histórico desaparecido a partir de una única imagen antigua y generar su modelo 3D.

Utilizaremos como caso de estudio la “Torre de la Gobernación”, una de las torres que se elevaba en la margen izquierda del puente de piedra de Zamora y que fue demolida en la reforma de 1905. El caso de estudio es significativo pues formaría parte de una posible reconstrucción del puente de piedra de la ciudad que respondería a una demanda popular basada en motivos sociales y culturales relacionados con la identidad de la sociedad zamorana.

2 – Desarrollar un método de trabajo que permita obtener modelos densos 3D mediante la fotogrametría múltiple, de los que se pueda generar la documentación geométrica necesaria para realizar un estudio tipológico de la arquitectura religiosa popular de los municipios fronterizos de la comarca de Aliste (Zamora), siguiendo la línea de trabajo de Jesús Ignacio San José Alonso en relación con la Arquitectura Religiosa en Sanabria (San José, 1994), en la cual analiza los espacios, organizaciones y tipologías de las iglesias de la comarca sanabresa, partiendo de una documentación gráfica obtenida mediante métodos directos de medición.

Se desarrollará una metodología de trabajo que permita abordar un estudio de estas características, en el cual se necesita generar la documentación gráfica de un gran número de edificaciones, de una forma fácil y rápida, con reducción de los

tiempos de trabajo de campo pero sin perder calidad y mediante métodos de bajo coste.

Se ha elegido esta zona por su situación periférica, tanto geográfica como socioeconómica a lo largo de la historia y por la proximidad con la comarca sanabresa, de la cual existen estudios anteriores en la misma línea con los que poder establecer estudios comparativos de las tipologías de los edificios religiosos.

3 – Realizar una comparación de los modelos obtenidos por fotogrametría múltiple y los obtenidos por láser escáner terrestre utilizando métodos estadísticos clásicos Gaussianos y robustos no paramétricos.

Se someterán a comparación los modelos obtenidos por diferentes métodos y sensores de las portaladas románicas de San Pedro y San Segundo de Ávila.

Se realizarán modelos densos 3D obtenidos mediante diferentes tipos de sensores: dos tipos sensores activos láser (tiempo de vuelo y diferencia de fase) y dos sensores pasivos (dos cámaras fotográficas digitales reflex), utilizando para estos últimos dos programas fotogramétricos diferentes (Agisoft y PW-Photogrammetry Workbench) para obtener el modelo denso. Es decir, un total de seis modelos tridimensionales por cada una de las portaladas analizadas.



## 4 ARTÍCULOS CIENTÍFICOS

### 4.1 HISTORICAL SINGLE IMAGE-BASED MODELING: THE CASE OF GOBIERNA TOWER, ZAMORA (SPAIN)

**Resumen:** Las imágenes históricas con perspectiva han demostrado ser muy útiles para proporcionar adecuadamente un análisis dimensional de fachadas de edificios o, incluso generar una reconstrucción parcial a partir de imágenes rectificadas de todo el elemento. En este trabajo, se analiza el caso de la Torre de la Goberna (Zamora, España) a partir de un enfoque de modelado basado en una única fotografía antigua. En particular, aprovechando las ventajas de la perspectiva de la imagen la existencia de los tres puntos de fuga y las restricciones geométricas habituales (planeidad, ortogonalidad y paralelismo), se lleva a cabo el análisis dimensional y la reconstrucción parcial de un edificio histórico desaparecido. Los resultados fueron comparados con la verdad terreno de mediciones de existentes en un antiguo levantamiento topográfico, obteniendo discrepancias de aproximadamente un 1%.

**Palabras clave:** fotografías históricas; una sola imagen; puntos de fuga; edificios demolidos; perspectiva



Case Report

## Historical Single Image-Based Modeling: The Case of Gobierna Tower, Zamora (Spain)

Jesús García-Gago <sup>1</sup>, Javier Gomez-Lahoz <sup>1</sup>, Javier Rodríguez-Méndez <sup>2</sup>  
and Diego González-Aguilera <sup>1,\*</sup>

<sup>1</sup> Department of Cartographic and Terrain Engineering, Polytechnic School of Avila, University of Salamanca, Hornos Caleros 50, Avila 05003, Spain; E-Mails: jesusmgg@usal.es (J.G.-G.); fotod@usal.es (J.G.-L.)

<sup>2</sup> Department of Construction and Agronomy, Architectural Area, Polytechnic School of Zamora, University of Salamanca, Avda. Cardenal Cisneros, 34, Zamora 49022, Spain; E-Mail: rodmen@usal.es

\* Author to whom correspondence should be addressed; E-Mail: daguilera@usal.es; Tel.: +34-920-353-500; Fax: +34-920-353-501.

Received: 17 November 2013; in revised form: 14 January 2014 / Accepted: 14 January 2014 / Published: 27 January 2014

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**Abstract:** Historical perspective images have been proved to be very useful to properly provide a dimensional analysis of buildings façades or even to generate a pseudo-3D reconstruction based on rectified images of the whole structure. In this paper, the case of Gobierna Tower (Zamora, Spain) is analyzed from a historical single image-based modeling approach. In particular, a bottom-up approach, which takes advantage from the perspective of the image, the existence of the three vanishing points and the usual geometric constraints (*i.e.*, planarity, orthogonality, and parallelism) is applied for the dimensional analysis of a destroyed historical building. Results were compared with ground truth measurements existing in a historical topographical surveying obtaining deviations of about 1%.

**Keywords:** historical photographs; single image; vanishing points; demolished buildings; perspective

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## 1. Introduction

Despite the high proliferation of three-dimensional scanning systems, which have revolutionized the data acquisition fashion, photogrammetry, and computer vision techniques, have evolved to offer powerful and friendly tools that can render virtual worlds that meet the most demanding expectations: high geometric accuracy on the points, high radiometric quality on the surfaces of the object, and an integrated environment where the user can interact and even play with the model or demand from its data base sophisticated information. In addition, historical buildings modeling through laser scanner become impossible when the structure does not exist or the whole building has been destroyed. In these cases, the problem increases since the building cannot be reconstructed from two or more images [1–3]. Trying to find a solution to these situations, several authors have proposed approaches and methods based on the single view geometry [4–8]. However, only a few have been focused on the case of demolished buildings modeling from historical photography [9–11]. This last aspect represents a hard task as the type and geometry of the camera is completely unknown and the accuracy assessment of the results is, sometimes, impossible due to the nonexistence of the building. In this sense, some authors have developed approaches for obtaining internal camera parameters from a single view [12,13] and to provide a quality control of the resulting model or dimensional analysis based on a controlled virtual camera embedded into a CAD (Computer Aided Design) environment [14].

This contribution relies on software, sv3DVision, developed in 2005 [4,15] at the University of Salamanca. The main aim that inspired the design of the program was to join the strength of the simplicity involved in the geometry-that guarantees that the user can easily get involved in the task-and the high performance expected on every highly automated approach. As a result, the hybrid method enables to extract and exploit the image information that leads to the determination of the object structure (through its vanishing points), besides the reliability provided by the application of robust estimators, M-type and RANSAC (see *infra*). Last but not least, the program provides a twofold aspiration: a *technical* one that should prove to be efficient on modeling different structures under a diversity of circumstances; and a *pedagogical* one based on a simulation background that should motivate students or researchers to keep going deeper on the topic.

Through the years, the software has been successfully tested on a variety of environments, such as architecture, forensic sciences, traffic scenes and engineering; it has been awarded by the ISPRS Organization and has been used in the teaching/learning of Cartographic Engineering. However, this is not the main motivation that has decided us to present this contribution, but rather the importance of using our work to preserve, enhance, and highlight the historical heritage of our community, Castilla-Leon.

This paper addresses the problem of single historical photography dimensional analysis and pseudo-3D modeling (see *infra* for an explanation of this term) of demolished buildings, focusing the case of study on the emblematic “Gobernadora Tower” located in Zamora (Spain). The proposed method is suitable for those regular structures, which contain several geometric constraints, such as planarity, orthogonality, and parallelism, and hold the three orthogonal principal directions (*i.e.*, three vanishing points). In fact, the key to success relies on a robust approach for vanishing points computation, which allows us to obtain more accurate results. To this end the paper has been organized as follows: after this introduction, Section 2 remarks the historical background around the “Gobernadora Tower” and its importance for the city of Zamora; Section 3 depicts briefly some basic theory about projective

geometry and vanishing points; Section 4 describes the method applied to the “Goberna Tower” putting special emphasis in the vanishing points computation method; a final section is devoted to put across the concluding remarks.

## 2. Historical Background

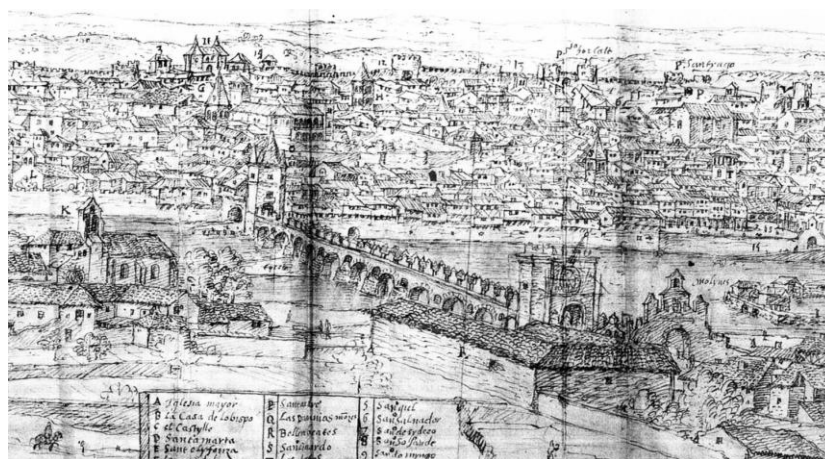
One of the most highlighted cases in lost buildings, that belonged to the architectural heritage of the city of Zamora (Spain) [16], is the demolition of the towers that characterized the Stone Bridge on the river Duero.

During its long-term presence, the Stone Bridge has undergone continuous transformations, transformations that have been necessary to reduce the devastating effects of the endemic swellings that knocked down the city slums.

At the end of the 19th century, its state was so worrisome that it was closed to the traffic and replaced upstream by another viaduct. Once the local authorities dealt with the construction of a new metallic bridge, also decided to recover the battered Stone Bridge. Between 1905 and 1908, Luis de Justo, Civil Engineer, designed and executed eleven projects that modified radically the appearance of the medieval bridge of Zamora, in addition to repairing it. Up to then, the Stone Bridge of Zamora displayed a similar configuration to the original one. Several documents show this fact, such as the View of Zamora by Anton van Wyngaerde (1570) (Figure 1), the plan by Blas de Vega (1820) [17], the photography of J. Laurent (c. 1870) (Figure 2), and, finally, the 1905 previous state plans by Luis de Justo. Sixteen pointed arches -one more than nowadays- laid out a 280-meter-long bridge, which leaned in fifteen foundations, finished off by cutwaters and lightened by spillways. Two towers controlled bridge crossing. The deck, straight guided and double downgrade ended, angled sharply towards the east to make difficult enemy assault, once surpassed the exit tower. The deck was finished off by 0.40 m wide parapets. At that moment, it was crowned by more than 300 battlements.

Over the cutwater of the previous tympanum to the angle, rose the tower of La Goberna, popularly known due the weather vane that topped it. Moreover, in Wyngaerde’s View or in Blas de Vega’s elevation, an initial door prevented parking on the deck during the night. In the other extreme, over the northern pier, an arc rose that opened the bridge by to the city.

**Figure 1.** Detail of the Stone Bridge of the Zamora city drawn by Antón van den Wyngaerden, in 1570.



**Figure 2.** Bridge over the Duero river in Zamora, photograph acquired by J. Laurent, in 1870. Photograph of the southern half (arcs 7–3).



Nothing remains today. After Luis de Justo rehabilitation, the slopes were modified and the spillways were extended, and even new ones were added. The works undertaken by the Department of Public Work between 1905 and 1907 [18] consisted of the demolition of towers, parapets, and tympanums to facilitate the later integral repair of the bridge. Thus, vaults were reviewed, tympanums were reconstructed, and new asphalt paved the existing surface. In the northern part, the arc was replaced with one roundabout, and, in the southern, in the angled stretch, the previous arc to the “Goberna Tower” was totally recovered. Fortunately, before disappearing, some of these architectures were documented with building survey, while others did so with photography. This is the case of the Stone Bridge that appears with its towers and doors, in several documents.

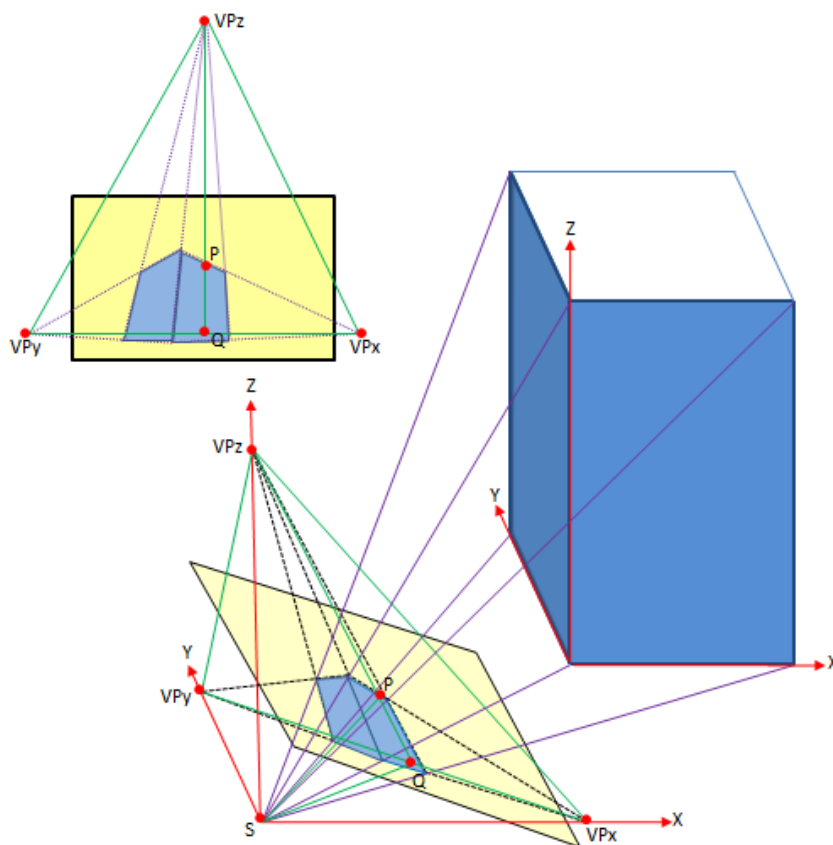
### 3. Theoretical Basis: Single View Photogrammetry

The vanishing points, ( $VP_x$ ,  $VP_y$ ,  $VP_z$ , see Figure 3) related to the perspective image of a box-like shape (like a building) contain information, both of the camera and of the image pose. Thus, the determination of these vanishing points can lead, firstly, to the determination of the interior and exterior orientation of the image and secondly, to the computation of the metric properties of any element pertaining to the faces of such a solid.

As can be seen from Figure 3, the intersections, from the point of view ( $S$ ), of the three directions ( $XYZ$ ) of the box with the image plane give the three vanishing points:  $VP_x$ ,  $VP_y$ , and  $VP_z$ , conforming a so-called perspective pyramid. The location of these points depends on: the focal length  $SP$ , with  $P$ , the principal point (the intersection of the image axis with the image plane), the horizontal angle  $QSP_x$  (horizontal angle of the camera axis with the main façade), and the vertical angle  $PSQ$  (angle of the camera axis with the vertical direction). As will be seen later, the angle that renders the rotation of the camera around its own axis (swing angle) can be derived from the angle of the horizon line with the edge of the image.

It can be seen that the principal point,  $P$ , is the orthocenter of the triangle  $VP_x$ ,  $VP_y$ ,  $VP_z$ , and that the position of the point of view can be derived easily once the previous parameters have been computed and a restriction from the object is provided (e.g., a known distance).

**Figure 3.** Vanishing point geometry:  $S$ , point of view;  $P$ , principal point; ( $VP_x$ ,  $VP_y$ ,  $VP_z$ ), vanishing points;  $VP_x$ ,  $VP_y$ , horizon line;  $PVP_z$ , maximum slope line;  $Q$ , intersection of the maximum slope and horizon lines.



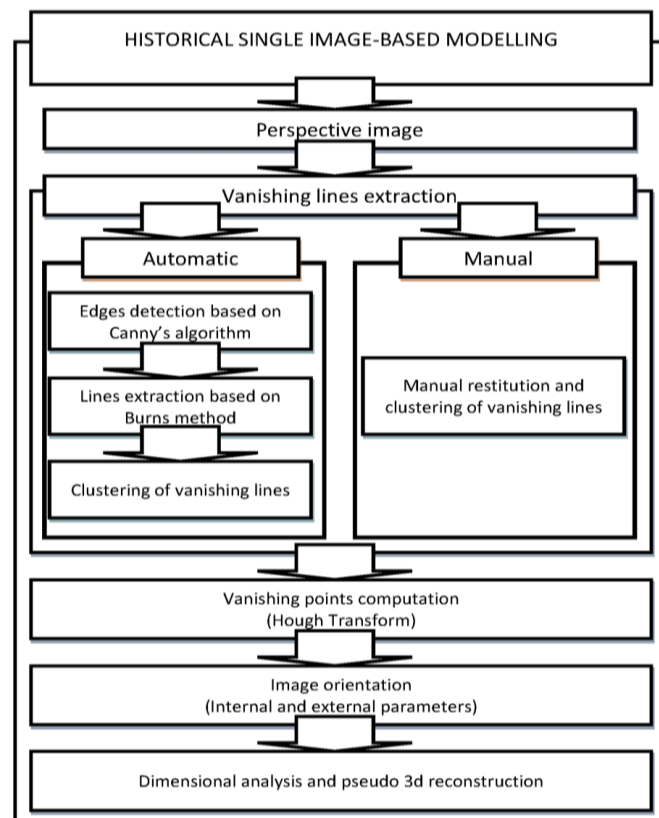
In any case, it can be seen that the first step is always to determine the position of the three vanishing points related to a certain building and this relies heavily on both the robustness of the pose configuration and on the ability of extracting straight lines from the image that intersect on each of the vanishing points. The quality of the process, as will be discussed later, thus, depends on the definition of the image lines and on the angle that each bundle of vanishing lines spans. From this, it can be seen that when the perspective angles are poor, the vanishing points are far away from the center of the image, decreasing, therefore, the reliability of the process.

#### 4. A Case Study: The Historical and Demolished “Goberna Tower”

The “Goberna Tower” together with its bridge was documented through several drawings, historical photographs and even with a topographical surveying performed by the engineer Luis de Justo in 1905. In particular, the most relevant documents correspond to Wygaerden [19] who performed some perspective drawings of the bridge with its tower, or the historical photographs of Laurent in 1870. In our case, different documents and perspective historical images have been analyzed in order to test the historical single image-based modeling approach (Figure 4). In addition, the topographical surveying, which contains a dimensional analysis of the tower has been considered as “ground truth” to assess the accuracy of the process.

The following figure (Figure 4) outlines the workflow developed:

**Figure 4.** Workflow developed for the historical single image-based modeling applied to the case study of the “Goberna Tower”.



#### 4.1. Data Processing

There are two ways of retrieving the metric information of the object from a single image: automatic and manual. The first one is always preferable when the image exhibits high quality (high-resolution and definition of the vanishing lines), when the ratio between correct observations (automatically extracted line segments) and mistaken observations (blunders derived from shadows, scars on the image, reflections, *etc.*) is above 4 (environ) and when the perspective geometry is strong enough. The second one is the alternative to weak cases in which these drawbacks are combined: low number of vanishing lines, poor quality image, high number of blunders, and poor perspective geometry.

The automatic approach is structured in three steps:

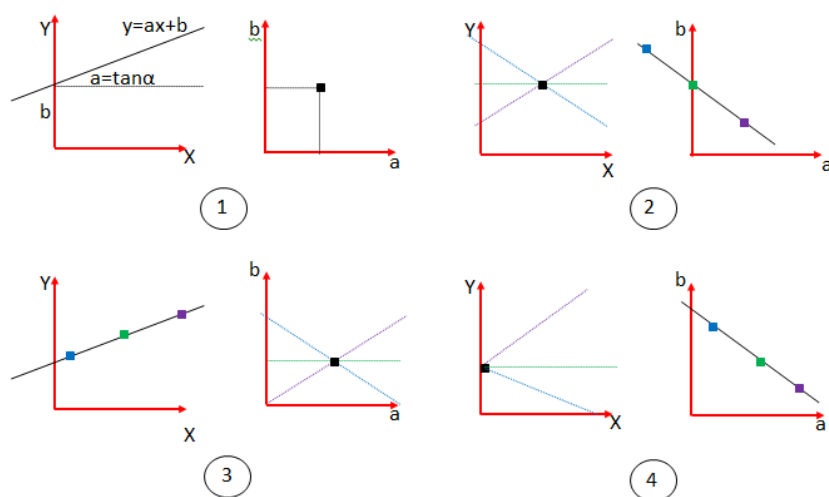
- Extracting edge pixels by means of the Canny filter [20].
- Clustering pixels into raster segments according to neighboring criteria and with length restrictions in a fashion very similar to the Burns Method [21].
- Determining vector lines (first and last points) from raster segments according to a plane collinearity condition.

The output from these processes is the input in the following one: the determination of the vanishing points. Several methods of approaching this have been implemented [15]: minimization of the area of the triangle, modified Gaussian sphere, Tales theorem, modified Hough Transform, *etc.* In all of them, a central role is played by the RANSAC (RANDOM SAMPLE CONSENSUS) robust estimator [22] and its ability of determining and erasing blunders.



A whole set of possibilities have been applied to automatically process the target image but none of them has been successful due to the reasons outlined above. Thus, finally, the manual procedure was applied and even though there is really a very small set of lines, an acceptable result has been reached and this have been possible by the application of the modified Hough Transform Method, which is briefly described in the following lines:

**Figure 5.** Interpretation of several cases of the Hough Transform applied to straight lines. For each of the four cases, the Image Space is represented at the left and the Parameter Space is represented at the right. In the Image space, there can be seen: (1) A straight line; (2) A point; (3) A set of collinear points; (4) A vanishing point.



As is well known, the Hough Transform [23] deals with the relation between the space representation of some geometric feature (points, straight lines, circles, etc.) and the representation of its geometric parameters under the same Cartesian principles. For the following, we will focus on the problem we are trying to overcome: determining lines from pixels extracted on the image and determining vanishing points from these lines. The strength of the Hough Transform relies on the complete symmetry between points and lines. According to the conventional expression of a 2D straight line  $y = ax + b$ , the parameters to render a point  $(x,y)$  are exchangeable with the parameters to describe a line  $(a,b)$ . Thus a straight line in the image space is transformed to a point in the parameter space and *vice versa*, a point in the image space is transformed to a straight line in the parameter space (Figure 5).

This leads (at least) to the following series of consequences (Table 1):

**Table 1.** Different cases between image and parameter spaces for the Hough transform.

Case	Image Space	Parameter Space
1	A straight line	A point
2	A point (family of straight lines that intersect on a point)	A straight line (family of points that belong to a line)
3	A set of collinear points (that belong to the same line)	A set of lines that intersect on the same point
4	A vanishing point (set of lines that intersect on a point)	A set of collinear points (the straight line to which they belong represents the vanishing point)

The Hough procedure works by quantizing the image space, then extracting all information for every discrete cell, translating this information to the equivalent parameter space, and, finally, proceeding to some voting scrutiny to find out the relevant feature that meets the target criteria (The drawback related to the singularity of the parameter  $a$  when lines are close to verticality is overcome by tuning from the Cartesian  $(a,b)$  representation of the line to its polar  $(r,\alpha)$  expression).

To determine a vanishing point the procedure is as follows:

- (a) For every start and end point of every line segment rendered by the automatic or manual extraction, the correspondent line in the parameter space is computed and represented. Every cell that lies on the line receives one vote.
- (b) A voting procedure is undertaken so that the most visited cells give the lines that form families of lines that pass through each of the vanishing points.
- (c) For all these lines the correspondent parameters  $(a,b)_i$  are computed.
- (d) The best coordinates of each of the vanishing points are computed by applying a least squares criteria to the equation:  $y_0 = a_i x_0 + b_i$  in which  $(x_0, y_0)$  are the coordinates of a vanishing point.
- (e) In order to avoid residual outliers, a weighting procedure is applied to the above task, so that a robust M-estimator, modified Danish estimator [24] can be implemented and, thus, the blunders may be expelled from the computation and the reliability can be improved.

Once the coordinates of the three vanishing points are computed the interior and exterior orientation parameters are addressed from the perspective pyramid, built from these points plus the point of view (Figure 6c):

- (a) The orthocenter of the triangle formed by the three vanishing points ( $VP_x$ ,  $VP_y$ ,  $VP_z$ ) yields the principal point ( $P$ ) (Figure 6c).
- (b) The rotation angles  $(\theta, \nu)$  and the focal length ( $f$ ) can be derived from the following relations (Figure 6).

On the horizontal triangle (Figure 6a) formed by the point of view,  $S$ , and the horizontal vanishing points,  $VP_x$  and  $VP_y$ , the following relations hold Equation (1):

$$\begin{aligned} \tan \theta &= \frac{QVP_x}{SQ} = \frac{SQ}{QVP_y} \\ \tan \theta &= \sqrt{\frac{QVP_x}{QVP_y}} \end{aligned} \quad (1)$$

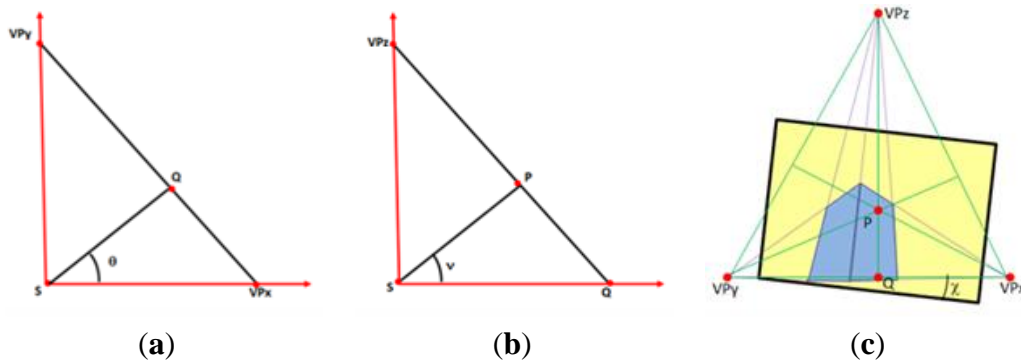
with  $SQ^2 = QVP_x \times QVP_y$  (in the horizontal triangle).

On the vertical triangle (Figure 6b) formed by the point of view,  $S$ , the vertical vanishing point  $VP_z$  and the intersection of the horizon and maximum slope lines,  $Q$ , the following relations hold Equation (2):

$$\tan \nu = \sqrt{\frac{PQ}{PVP_z}} \quad (2)$$

with  $f^2 = PQ \times PVP_z$  (in the vertical triangle).

**Figure 6.** (a) Horizontal triangle formed by the point of view,  $S$ , and the horizontal vanishing points  $VP_x$  and  $VP_y$ , with the azimuth angle ( $\theta$ ); (b) Vertical triangle formed by the point of view,  $S$ , the vertical vanishing point,  $VP_z$ , and the intersection of the horizon and maximum slope lines,  $Q$ , with the tilt angle ( $v$ ); (c) Perspective triangle formed by the three vanishing points ( $VP_x$ ,  $VP_y$ ,  $VP_z$ ) that contains the principal point ( $P$ ) as the intersection of the heights of the triangle and image showing the swing angle ( $\chi$ ) around the camera axis: the horizon line and the width image edges are not parallel (in addition, the maximum slope line and the height image edges are not parallel).



Finally, the swing angle (Figure 6c) can be computed from Equation (3):

$$\tan \chi = \frac{(Y_{VP_x} - Y_{VP_y})}{(X_{VP_x} - X_{VP_y})} \tag{3}$$

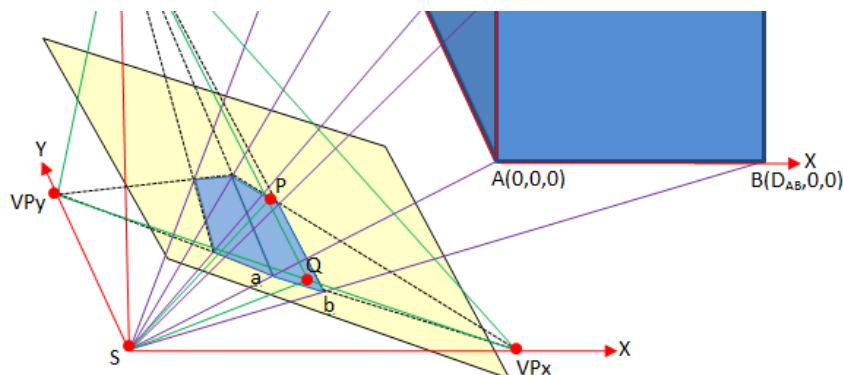
(c) Once these parameters are known, the coordinates of the point of view,  $S$ , can be easily derived by applying a certain restriction to the object (in addition to the point of view itself) and then solving from the collinearity equations (Figure 7). An example case is measuring a horizontal distance in the object and setting the origin of the Datum at one of these points. We can, thus, write six equations for five unknowns:  $(XYZ)_S$  and the two scale factors for each collinearity condition [25] Equation (4).

$$\begin{bmatrix} x_a - x_p \\ y_a - y_p \\ -f \end{bmatrix} = \lambda_{aA} \times R \times \begin{bmatrix} X_A - X_S \\ Y_A - Y_S \\ Z_A - Z_S \end{bmatrix} = -\lambda_{aA} \times R \times \begin{bmatrix} X_S \\ Y_S \\ Z_S \end{bmatrix}$$

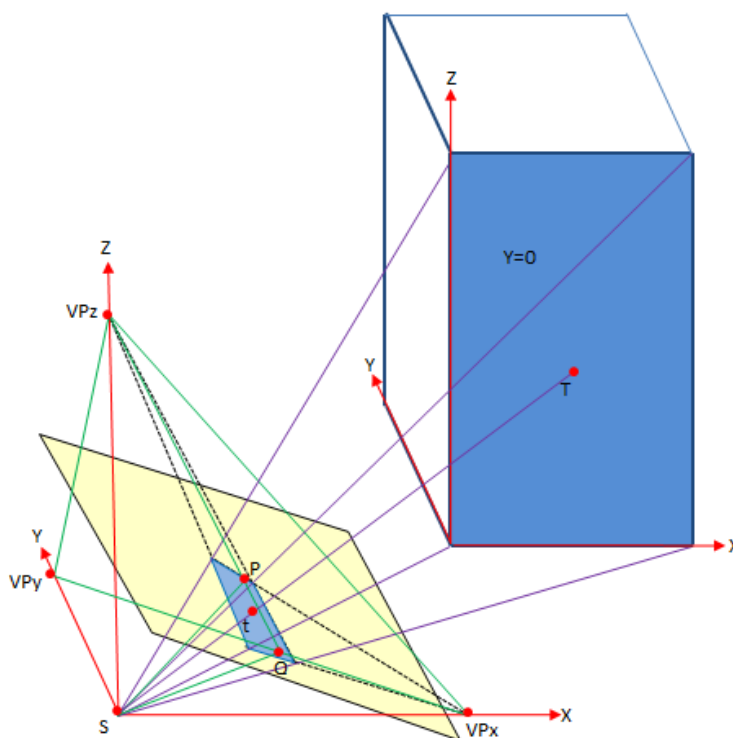
$$\begin{bmatrix} x_b - x_p \\ y_b - y_p \\ -f \end{bmatrix} = \lambda_{bB} \times R \times \begin{bmatrix} X_B - X_S \\ Y_B - Y_S \\ Z_B - Z_S \end{bmatrix} = -\lambda_{bB} \times R \times \begin{bmatrix} X_S - D_{AB} \\ Y_S \\ Z_S \end{bmatrix} \tag{4}$$

where  $x_a, y_a, x_b, y_b$  are the image coordinates of the ground points  $A$  and  $B$ , respectively, which define the Datum and the known horizontal distance  $D_{AB}$ ;  $x_p, y_p$  are the principal point,  $P$ , coordinates;  $R$  is the rotation matrix and  $X_s, Y_s, Z_s$  and  $\lambda_{aA}, \lambda_{bB}$  are the unknowns corresponding to the point of view,  $S$ , and the two scale factors, respectively.

**Figure 7.** The coordinates of the point of view,  $S$ , can be computed once the principal point ( $P$ ), focal length ( $f$ ), and rotation angles ( $\theta, \nu, \chi$ ) are known by proceeding to a Datum definition by which two imaged points ( $a$  and  $b$ ) receive two object coordinates:  $A(0,0,0)$  and  $B(D_{AB},0,0)$  and by means of the collinearity equations. In this case, the  $X$  coordinate of point  $B$ , that lies on  $X$  axis, is the measured distance between  $A$  and  $B$ .



**Figure 8.** Dimensional analysis on a plane (in this case  $Y = 0$ ) of the object and pseudo-3D modeling based on the rectification of the whole plane. The coordinates of any object point  $T$  can be computed from its image  $t$ , and the constraint  $Y_T = 0$ , by means of the collinearity equations.



Finally, once the interior and the exterior orientations are solved, the dimensional analysis process and the pseudo-3D modeling process are available (Figure 8). By pseudo-3D modeling we mean that the object facades can be rectified, that is, digitally transformed to eliminate any perspective effect and, furthermore, if two facades are related by a orthogonality condition, as is usually the case, a double rectification step can be applied, that is, from the two 2D documents linked to each other a 3D

document can be obtained by an orthogonality relation. If more photographs, containing other pair of (orthogonal) facades are available, this process could be extended to complete the four (orthogonal and parallel) faces of the object. Both the dimensional analysis and the pseudo-3D modeling procedures are based on the collinearity equations and both require the definition of a geometric constraint (*i.e.*, working plane) on the object. For example, we can work with the  $XZ$  plane for which the-planarity, verticality, and parallelism with  $XZ$  plane-constraint  $Y = 0$  is applied. Furthermore, we can also work with  $YZ$  plane for which the-planarity, verticality, and parallelism with  $YZ$  plane-constraint  $X = 0$  is applied. Obviously, both constraints imply an orthogonality relation between them. If more photographs, depicting other facades, were available, similar constraints could be applied to complete the whole building. Note that the scale factor could be propagated from the first image to the others although it would be highly convenient to measure more distances on the facades.

For any object point  $T$  (with  $Y_T = 0$ ), which is imaged on the photograph as  $t$ , we have Equation (5):

$$\begin{bmatrix} x_t - x_p \\ y_t - y_p \\ -f \end{bmatrix} = \lambda \times R \times \begin{bmatrix} X_T - X_S \\ Y_T - Y_S \\ Z_T - Z_S \end{bmatrix}; \quad \lambda^{-1} \times R^T \times \begin{bmatrix} x_t - x_p \\ y_t - y_p \\ -f \end{bmatrix} = \begin{bmatrix} X_T - X_S \\ -Y_S \\ Z_T - Z_S \end{bmatrix} \quad (5)$$

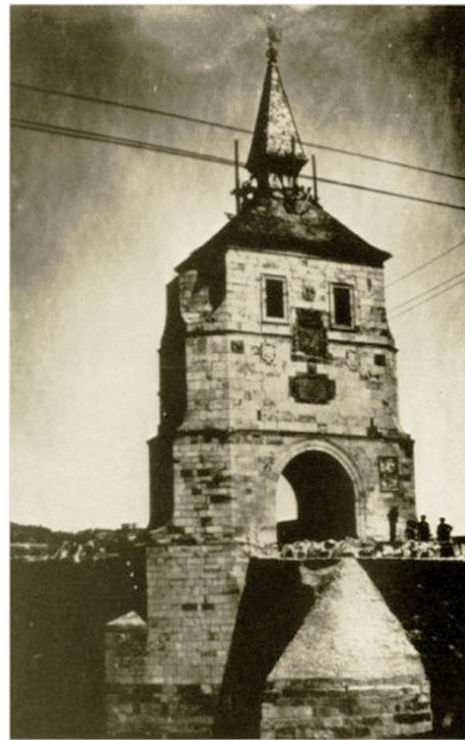
In addition, dividing the first and third equations by the second one and rearranging we get Equation (6):

$$\begin{aligned} X_T &= X_S - Y_S \frac{r_{11}(x - x_p) + r_{21}(y_t - y_p) + r_{31}f}{r_{12}(x - x_p) + r_{22}(y_t - y_p) + r_{13}f} \\ Z_T &= Z_S - Y_S \frac{r_{13}(x - x_p) + r_{23}(y_t - y_p) + r_{33}f}{r_{12}(x - x_p) + r_{22}(y_t - y_p) + r_{13}f} \end{aligned} \quad (6)$$

where all the terms at the right side of the equation are known. This can be applied to discrete points or in a scanning fashion to all the pixels that lie in the face related to the  $XZ$  plane and therefore, obtain the pseudo-3D model of the façade.

#### 4.2. Results

After analyzing more than ten images, the only historical photograph that properly worked presents a size of  $7.7 \times 12.18$  cm and is scanned with a pixel resolution of 150 dpi providing an image of  $455 \times 719$  pixels (Figure 9). The secret to success remains in the distance to the object (very close, around 70 m), as well as the well-defined perspective of the photograph towards the main three orthogonal directions. Furthermore, the selected photograph is based on the following hypotheses, as far as the building's geometry is concerned: (i) façades are planar geometric structures; (ii) lens distortion is not considered; (iii) façade edges are straight and constitute the input data of the described method; and (iv) the existence of constraints (parallelism, perpendicularity, and coplanarity) of building's edges and facades.

**Figure 9.** Historical photograph (1900) used for the single image-based modeling approach.

ZAMORA - Torre de la Gobernación (1900).

According to the proposed approach, the photograph is manually vectorized with lines clustered along the three main object directions ( $X, Y, Z$ ). As a result, the three main vanishing points ( $VP_x$ ,  $VP_y$ ,  $VP_z$ ) are computed, based on the robust Hough approach described above. The coordinates of these relevant points together with its RMSE (Root Mean Square Error), in pixel units, are outlined in Table 2. It should be noted the subpixel precision obtained.

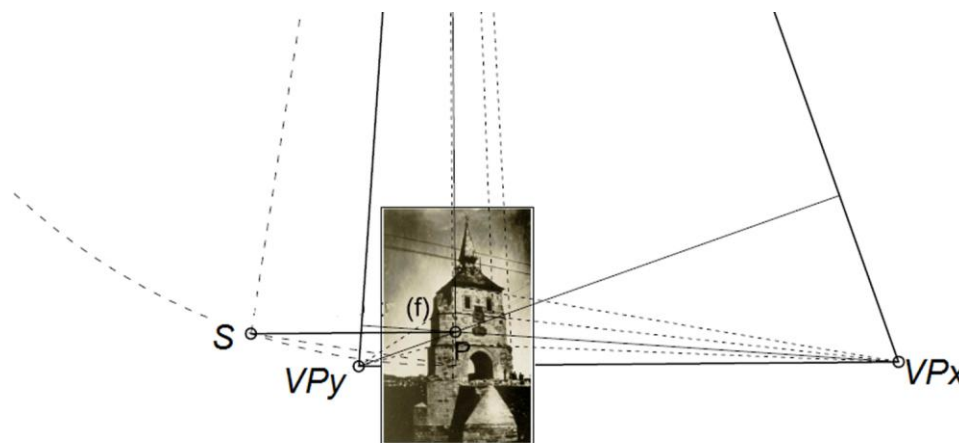
**Table 2.** Vanishing points coordinates and its errors.

Hough Transform + Danish Estimator: (units: pixels)	$VP_x$	$VP_y$	$VP_z$
$x$	2,253.8	-587.42	283.55
$y$	504.79	582.40	-7,425.58
RMSE	0.057	0.046	0.318

Computed the main structural components of the process, the geometric internal camera parameters, *i.e.*, focal length and principal point, are estimated based on the perspective pyramid construction (Figure 10) taking the three vanishing points as vertices. The principal point of the image ( $P$ ) is the orthocenter of this triangle, whereas the height of the pyramid corresponds to the focal length ( $f$ ). The solved internal geometry of the camera, the camera pose (*i.e.* orientation and position) is computed. The orientation of the camera ( $\theta, \nu, \chi$ ) is computed, based on the geometric relations developed in Equations (1–3), which establish a relationship between the orthogonal directions ( $X, Y, Z$ ) and the corresponding vanishing points ( $VP_x, VP_y, VP_z$ ), assuming the intrinsic geometric parameters of the camera known. For the spatial position ( $X, Y, Z$ )<sub>S</sub>, the user must introduce some known measurement of the building together with some geometric constraint in order to overcome the indetermination problem *i.e.*, it is not possible to compute indirectly the camera position only with one image. In our case, a known

horizontal distance (8.70 m) together with a coplanarity constraint ( $Y = 0$ ) was defined. This measure was extracted from the topographical surveying performed by the engineer Luis de Justo in 1905.

**Figure 10.** Perspective pyramid computed for the single image-based modeling approach. The vanishing points ( $VP_x$ ,  $VP_y$ ,  $VP_z$ ) constitute the base of the pyramid, whereas the point of view,  $S$ , and the focal length,  $f$ , are the vertex of the pyramid and its height, respectively.



The following table (Table 3) outlines the intrinsic and extrinsic parameters estimated for the unknown camera.

**Table 3.** Internal and external parameters of the unknown camera.

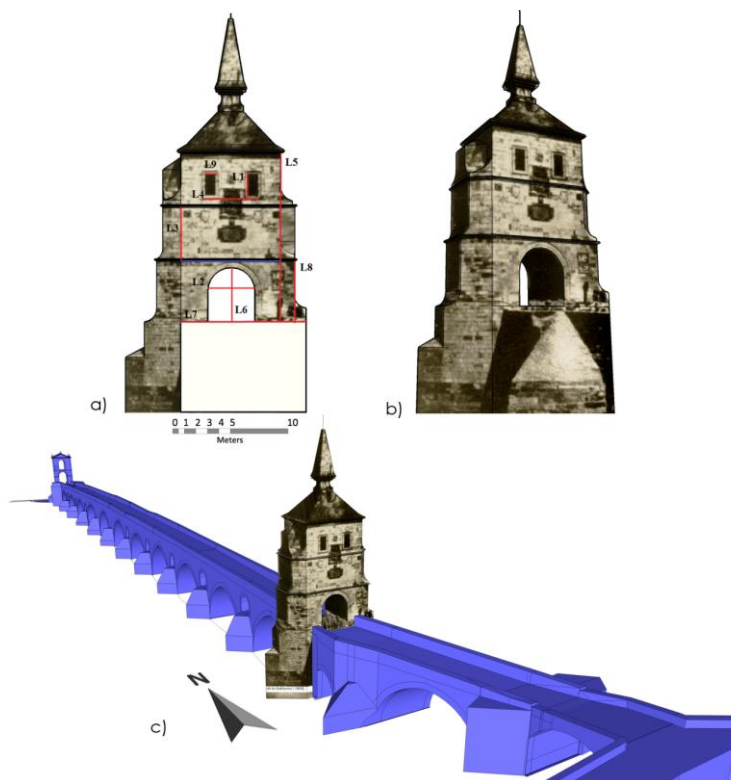
Internal Parameters (units: millimetres)		External Parameters (units: degrees, meters)	
$P [x]$ (mm)	25.29	$\theta$ : 38.114 °	$X_S$ : -29.71
$P [y]$ (mm)	65.40	$\nu$ : 105.5167 °	$Y_S$ : -60.18
$f$ (mm)	44.86	$\chi$ : 177.5282 °	$Z_S$ : -13.66

It should be noted, the more weakness along the  $Z$  axis in the vanishing points computations as the parallelism of the lines renders an intersection that is close to the infinite (Figure 10). This situation provides a weakness in this direction as the vanishing lines intersection contains more uncertainty along this vertical direction. This is confirmed in Table 2 with the worst RMSE obtained for the  $VP_z$ .

Again, from Table 3, it could be analyzed the weakness along  $Z$  direction since the vertical angle,  $\nu$ , does not provide enough perspective, only 5 ° above the horizontal. The swing angle reflects that the image has been taken in vertical position since the horizontal one is 90 °. However, this angle does not provide perspective and, thus, is not relevant for the vanishing points computation. The spatial camera position is relative with relation to the known horizontal distance defined by the user.

Fixed the camera pose, a dimensional analysis was performed based on distances. This process was performed using the collinearity condition constrained with some geometric clues, such as coplanarity, parallelism, or perpendicularity Equations (5) and (6). This dimensional analysis is outlined in Figure 11a and Table 4 together with its accuracy assessment. This quality control was performed based on the measurements existing on the topographical surveying executed by the engineer Luis de Justo in 1905. The discrepancies show an average relative error around 1%, included metric data inaccuracy.

**Figure 11.** (a) Dimensional analysis based on distances for the accuracy assessment; (b) Pseudo-3D model of the “Goberna Tower” obtained through the single image-based modeling approach; (c) Virtual 3D reconstruction that integrates the “Goberna Tower” in the Zamora Stone Bridge.



**Table 4.** Accuracy assessment: dimensional analysis of distances.

Code	Distances (m)	Discrepancies (m)	Code	Distances (m)	Discrepancies (m)
L1	1.84	$\delta_{L1} = 0.01$	L6	4.66	$\delta_{L6} = 0.04$
L2	4.08	$\delta_{L2} = 0.02$	L7	10.05	$\delta_{L7} = 0.04$
L3	4.40	$\delta_{L3} = 0.05$	L8	5.18	$\delta_{L8} = 0.02$
L4	4.45	$\delta_{L4} = 0.05$	L9	0.87	$\delta_{L9} = 0.03$
L5	14.38	$\delta_{L5} = 0.07$	Known Distance	8.70	$\delta_{KD} = 0.00$

Finally, a pseudo-3D model was generated based on the rectified facades computed geometrically from vanishing points, that is, using the collinearity equations supported by a geometric constraint (*i.e.*, working plane) on the object Equations (5) and (6). The result is showed in Figure 11b. Furthermore, in order to visualize this result integrated with its current state, a virtual recreation of the Stone Bridge with the “Goberna Tower” has been generated (Figure 11c).

### 5. Conclusions

When the lack of information is clearly due to the non-existence of the object of interest, such as historical demolished buildings, classical but solid perspective geometry statements can be of great utility, instead of advanced image processing techniques, especially in those cases in which only individual or single images exist. The main goal of this study was to provide a dimensional analysis



and even a pseudo-3D reconstruction of the demolished historical building “Goberna Tower” using single historical photographs. To this end, a single image-based modeling method has been developed and adapted to this specific case. The accuracy assessment results come to confirm that from a single view we can measure distances and areas and even to provide a simple 3D model with enough quality. The results obtained could be useful for the authorities of Zamora’s Council as they have been considering reconstructing the “Goberna Tower”. The monument would play an important touristic role but specially would meet a popular demand supported by social and cultural reasons which would be directly connected with the identity of Zamora’s society.

With relation to the workflow developed and the results obtained the main conclusions are the following:

- (a) Manual processing permits achieve better results than automatic processing. This is due to the weakness related to low number of vanishing lines, poor quality image, high number of blunders and poor perspective geometry.
- (b) Although robust estimators (especially RANSAC) have proven largely its efficiency in filtering gross errors, this is not the case. As just stated, when the image is poor both in geometry and radiometry, the automatic approach leads to an excessive number of blunders and so, the manual identification of vanishing lines is better.
- (c) An original vanishing point method based on the Hough Transform, which guarantees efficiency and quality in the results, even with unfavorable cases (a three-point perspective getting close to two-point perspective), has been successfully applied. Other methods to compute the vanishing points, such as the triangle area minimization or the Gaussian sphere, have not provided good results.
- (d) A relative error of 1% has been obtained for the accuracy assessment of the results. This value can be considered very good since the single image-based modeling approach developed involves many steps and thus the corresponding error propagation.
- (e) Finally, it should be remarked that the method is only applicable in scenes with strong geometric contents (*i.e.*, presence of structural planes and lines). In addition, the image must have perspective along the three main directions ( $X,Y,Z$ ) in order to compute the corresponding three vanishing points ( $VP_x,VP_y,VP_z$ ). Obviously, if these vanishing points are well defined more precision and reliability can be reached for the single image-based modeling approach.

### Author Contributions

All authors contributed extensively to the work presented in this paper.

### Conflicts of Interest

The authors declare no conflict of interest.

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## 4.2 A PHOTOGRAMMETRIC AND COMPUTER VISION-BASED APPROACH FOR AUTOMATED 3D ARCHITECTURAL MODELING AND ITS TYPOLOGICAL ANALYSIS

**Resumen:** Actualmente, en la digitalización del patrimonio arquitectónico, a la dificultad en el proceso de toma de datos hay que sumar un mayor grado de especialización en el manejo de software tanto láser como fotogramétrico. Gracias a los avances en la integración de la fotogrametría y la visión computacional así como en parte de los métodos y algoritmos numéricos de cálculo, es posible poder aspirar al paso del 2d (imágenes) al 3d (nubes de puntos) de forma automática, flexible y con calidad. Este artículo presenta un método novedoso concretado en el desarrollo de una herramienta, PW-Photogrammetry Workbench y su utilidad para el modelado arquitectónico. Esta herramienta permite, a partir de imágenes, generar modelos tridimensionales a escala en forma de nubes de puntos que superan incluso la resolución de los sistemas láser y que además pueden ir acompañadas de las correspondientes ortofotos con textura fotográfica de alta resolución. El método permite abordar estudios sobre la tipología de la arquitectura y ha sido testeado de forma exitosa sobre un muestreo de diez edificios religiosos representativos de cada una de las tipologías detectadas en los municipios fronterizos de la comarca de Aliste, Zamora (España).

**Palabras clave:** modelado basado en imágenes; fotogrametría; visión computacional; correspondencia; patrimonio cultural; arquitectura; desarrollo de software; análisis tipológico



Article

## A Photogrammetric and Computer Vision-Based Approach for Automated 3D Architectural Modeling and Its Typological Analysis

Jesús García-Gago<sup>1</sup>, Diego González-Aguilera<sup>1,\*</sup>, Javier Gómez-Lahoz<sup>1</sup>  
and Jesús Ignacio San José Alonso<sup>2</sup>

<sup>1</sup> Department of Cartographic and Terrain Engineering, Polytechnic School of Avila, University of Salamanca, Hornos Caleros 50, 05003 Avila, Spain;

E-Mails: [jesusmgg@usal.es](mailto:jesusmgg@usal.es) (J.G.-G.); [fotod@usal.es](mailto:fotod@usal.es) (J.G.-L.)

<sup>2</sup> Lab of Architectural Photogrammetry, High Technical School of Architecture, University of Valladolid, Av. Salamanca 32, 47014 Valladolid, Spain; E-Mail: [jesussan jose@telefonica.net](mailto:jesussan jose@telefonica.net)

\* Author to whom correspondence should be addressed; E-Mail: [daguilera@usal.es](mailto:daguilera@usal.es);  
Tel.: +34-920-353-500; Fax: +34-920-353-501.

Received: 13 March 2014; in revised form: 12 June 2014 / Accepted: 12 June 2014 /

Published: 17 June 2014

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**Abstract:** Thanks to the advances in integrating photogrammetry and computer vision, as well as in some numeric algorithms and methods, it is possible to aspire to turn 2D (images) into 3D (point clouds) in an automatic, flexible and good-quality way. This article presents a new method through the development of PW (Photogrammetry Workbench) (and how this could be useful for architectural modeling). This tool enables the user to turn images into scale 3D point cloud models, which have a better quality than those of laser systems. Moreover, the point clouds may include the respective orthophotos with photographic texture. The method allows the study of the typology of architecture and has been successfully tested on a sample of ten religious buildings located in the region of Aliste, Zamora (Spain).

**Keywords:** image-based modeling; photogrammetry; computer vision; matching; cultural heritage; architecture; software development; typological analysis

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## 1. Introduction

There is no doubt that photogrammetry is gaining an audience. Furthermore, it stands as a serious competitor against the popular laser scanner systems, both terrestrial (TLS (Terrestrial Laser Scanner)) and aerial (ALS (Airborne Laser Scanner)) and both static and dynamic systems (MLMS (Mobile LiDAR Mapping System)) [1]. The success of photogrammetry lies in two aspects: the reliability of the system and the fact that it uses the image as the most valuable source of information, as well as the beneficial “marriage” with computer vision [2]. This hybridization has made it possible to overcome two important obstacles. First, the automation in the orientation process and the reconstruction process itself, which results in dense 3D models that, in some cases, have a higher resolution than those obtained from laser scanners. Second, the flexibility of the image capturing process, as, due to the substantial technological development of photogrammetric software in recent years, it is possible to use any type of image. It even allows any type of camera, calibrated or uncalibrated [3], smartphone or tablet [4]. Photogrammetry has even a third advantage: its commitment to the accuracy and reliability of the results [5]. This means that, for many uses, photogrammetry stands out as a serious competitor against the low-cost laser systems.

Terrestrial photogrammetry makes it possible to obtain precise 3D models of highly irregular elements, in a non-contact way, that minimizes the measurement time and enables the evaluation of the structural safety of any construction [6]. It can also be combined with other techniques, such as ground-penetrating radar (GPR) for archaeological purposes, with great success [7]. In addition, the radiometric content of the data allows the introduction of analytical constraints derived from the regular shape of architectural surfaces to obtain accurate 3D CAD models [8].

There are also many tools that, for different purposes and at a low cost, or even free and open source, allow us to turn 2D images into 3D point clouds. Such a challenge requires solving two preliminary important geometric issues. First, knowing the internal parameters of the camera (focal length, principal point coordinates and lens distortion parameters) and, second, knowing the external parameters (spatial and angular position) [9]. For years, photogrammetry has succeeded in solving those issues by applying rigorous laboratory and/or field calibration processes and also thanks to a well-planned network of pass and control points. However, the integration of algorithms and techniques from computer vision has enabled such obstacles and dependence to be overcome and automatically solved during the 3D model calculation process (structure from motion (SfM)) [10,11]. More specifically, the main advances concern multiple image matching strategies based on FBM (feature-based matching) and ABM (area-based matching), which allow one to obtain, by means of collinearity equations [5], the spatial and angular position of the image, as well as a preliminary dispersed geometry of the scene in an arbitrary and local system of coordinates [12]. Once the image resections are solved, the direct process may begin multiple direct intersections; this process also sustained on the concept of collinearity, which leads to the reconstruction of the 3D model or a dimensional-metric analysis of the object or scene. In this process, major advances have once again taken place thanks to matching techniques. The process starts from the powerful, although constrained to the favorable geometry of the so-called normal case, semi-global matching (SGM) [13]. This technique allows the creation of dense 3D point clouds models from multiple images with the same resolution as the GSD (ground sample distance) of the image; that is, each pixel of the image renders a



specific point of the model. Apero-Micmac [14] is a good example of open source software, as well as other commercial photogrammetric tools (eATE, NGATE, Dense Matcher, ISAE, Match-T, Xpro, Tridicon, PhotoScan, *etc.*). Nevertheless, the use of patch-based methods based on surfels has also helped develop the creation of dense models from multiple images with oblique and converging geometry [15,16]. In this regard, Patch-based Multi-View Stereo (PMVS) [17] (Furukawa and Ponce, 2009) takes a hybrid approach based on providing a dense set of small oriented rectangular patches on the pixel level. Moreover, it includes a visibility filter, which allows deleting false matched points. However, the greatest disadvantage of these commercial and web-based tools (Bundler, Photosynth, Photofly Insight 3D, 123Catch) is that they are based on computer vision algorithms, which calculate the image orientation by using independent models. The main drawbacks of this approach are that the internal parameters of the camera are not treated in a thorough and global way and that the exterior parameters must be transmitted from one model to another, thus being subject to an exponential propagation error. Therefore, the outcome is far from the rigorous orientation of photogrammetric bundle adjustment, which is based on a global minimization error approach and, in addition, on the explicit inclusion of internal parameters, either as unknowns (self-calibration) or as previously calculated. As a result, the quality is not as good as expected. Another great disadvantage of commercial and web-based tools is the computational cost due to both the number and the size of the images, especially if we are working with large format images. Therefore, it can be concluded that there is still a lot to do and that we need to aspire to parallel and GPU programming, which may not allow us to work in real time, but will help us get acceptable processing times and image volumes.

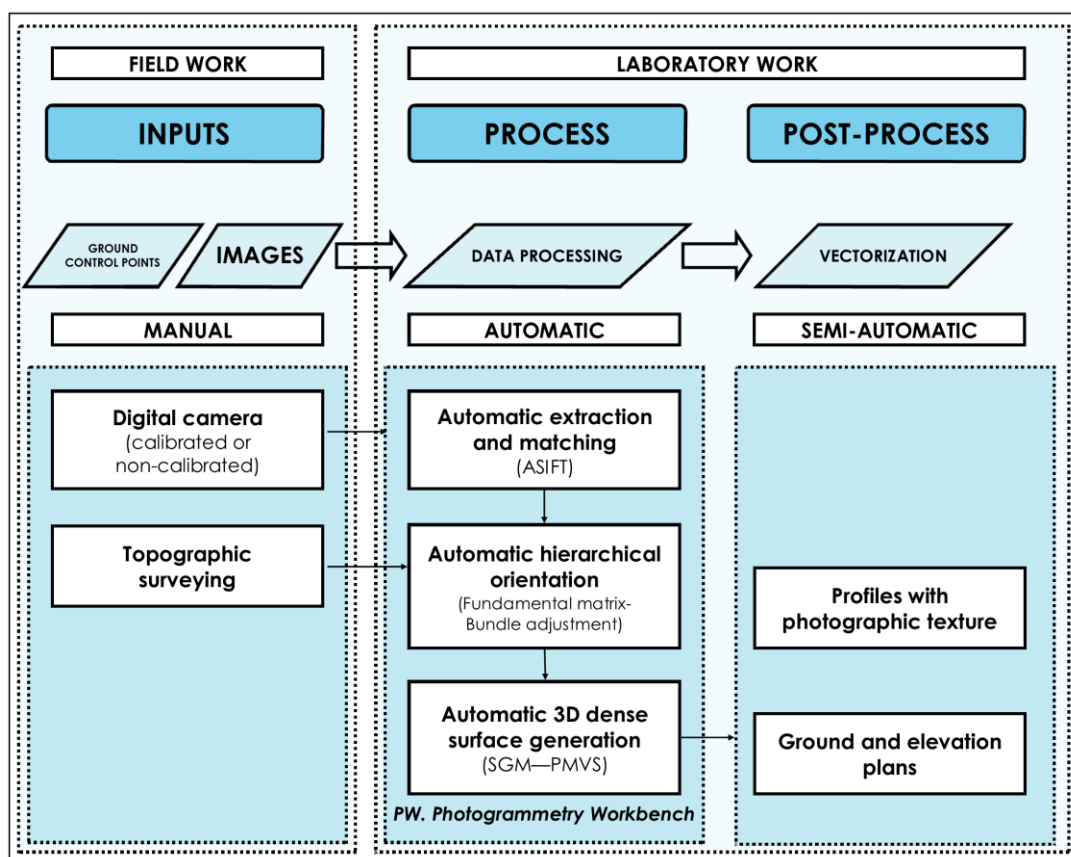
In this regard, the article presents the tool, Photogrammetry Workbench, as an attempt to bring photogrammetry and computer vision even closer with the aim of avoiding the aforementioned disadvantages, applied to architectural modeling. The starting point is to rigorously tackle the image orientation process by carrying out a preliminary approximation based on computer vision and a precise and reliable refinement resulting from photogrammetric bundle adjustment. Then, the tool enables the user to choose the generation method that creates the dense 3D model according to the geometry of the image acquisition network, which has been previously calculated. That is to generate a dense model from vertical and horizontal images with the SGM (semi-global matching) strategy, or to create the dense model from oblique multiple images by encircling the object with a “ring” and using the patch-based method. In this way, quality 3D model generation can be achieved thanks to the rigorous orientation procedures of photogrammetry. As a result of the automatic 3D modeling, graphic information is obtained—orthophotos—which enable the analysis of architectural cultural heritage.

The article is structured as follows; after the Introduction, Section 2 details the method for turning 2D images into 3D point clouds placing strong emphasis on the processing steps (image matching and object reconstruction). Section 3 covers the typological analysis of ten churches in the border towns of the region of Aliste (Zamora, Spain), using PW (Photogrammetry Workbench) for the architectural modeling. Section 4 summarizes and condenses the main article contributions together with the possible future action lines.

## 2. Methodology

From the capture of multiple images and after following a simple protocol, it is possible to obtain a non-manipulated 3D model, which allows the extraction of the relevant metric information of the building. However, one of the most critical steps in the process is the extraction and matching of the structural elements, lines and points, with high accuracy and reliability. These elements are the main support of the whole process, as they provide the necessary data to indirectly solve the spatial and angular position of the images (orientation), the camera self-calibration and the 3D reconstruction of the building. The following graphic (Figure 1) illustrates the steps taken in the 3D modeling from images.

**Figure 1.** Workflow for automatic reconstruction from images. ASIFT, affine scale-invariant transform; SGM, semi-global matching; PMVS, Patch-based Multi-View Stereo.



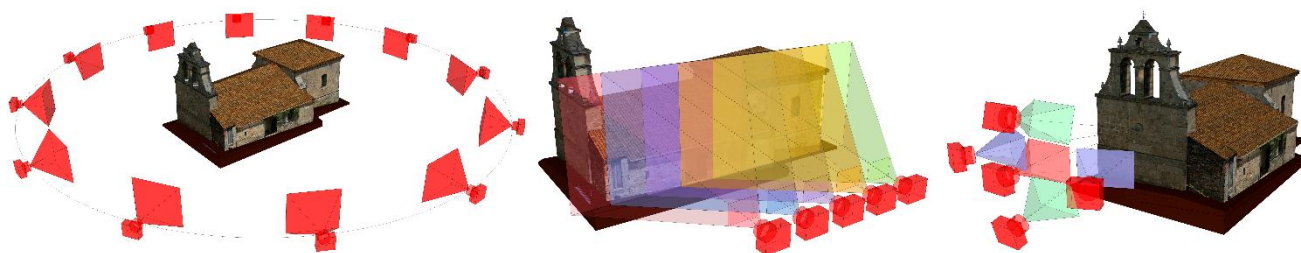
### 2.1. Data Collection Protocol

The data collection in the form of images is the key to success, as it presents the input data of the process. The CIPA (Comite International de Photogrammetrie Architecturale) 3 × 3 Rules developed by [18] were designed to cope with image acquisition for the simple photogrammetric documentation of architecture. However, nowadays, the proliferation of digital cameras and the improvement of automatic algorithms have proven that these rules must be reformulated in terms of a higher number of images required. To this end, a specific image acquisition protocol has been designed for architectural modeling, which guarantees an easy and efficient data acquisition and ensures a dense surface

reconstruction. Three different acquisition protocols are proposed in order to cope with as best as possible the size, morphology and external elements (*i.e.*, occlusions, obstacles, *etc.*) of the buildings.

- Circular or “ring” network: used to obtain a 3D model of the whole building, which will then allow establishing the sections necessary to sketch the ground plan. The images axis must converge at the center of the object, and the minimum overlap between adjacent images must be about 80%. Moreover, the number of images in the corners should be higher, so that the user can “tie” the different façades of the object. The network of the shooting process should maintain an appropriate proportion between the base (distance between shots) and the distance to the object. As a general rule, in order to ensure image correspondence in the orientation process, the distance between two adjacent camera stations must be so that the camera axis forms an angle of intersection of approximately  $15^\circ$  with the object. The number of images necessary to obtain all of the measurements depends on the size, shape, morphology and location of the object (in relation to adjacent buildings) and the focal length (Figure 2, left).
- Planar or mosaic network: particularly recommended to document the façade of a building. It requires taking some frontal images of the façade, with an overlap higher than 80% between adjacent shots (Figure 2, center).
- Independent basic network: When documenting a small and accessible façade or any isolated architectonic element, the shooting network may consist of five images forming a cross with an overlap between adjacent shots higher than 90%. The main shot is a frontal image of the façade, which is then combined with four more images of the left, right, upper and lower part of the main shot, to conform a global, slight converging perspective (Figure 2, right).

**Figure 2.** Different acquisition protocols for architectural modeling: circular or ring network (**left**), planar or mosaic network (**center**) and independent basic network (**right**).



Finally, in order to endow the object with metric properties, it is necessary to know the exact distance between two well-defined points in the image by measuring it with a tape measure or by incorporating some kind of surveying rod into the scene. That extra element must then appear in at least three images, so as to establish the scale of the model. Likewise, it should be highlighted the importance of choosing the output scale of the product, which will depend on the purpose and means of representation, as these determine the data capture [19]. Every scale entails a maximum accuracy, connected with the standard human eye acuity (0.2 mm).

Such accuracy matches the object pixel size or ground sample distance (GSD) through the following expression:

$$GSD = \frac{p \cdot D}{f} \quad (1)$$

where  $D$  is the distance to the object,  $f$  the focal length and  $p$  the pixel size.

When using the digital camera, we only need to multiply the number of pixels along the height and width of the image by the GSD to get the object size covered by every frame. Besides, it is clear that the GSD, the pixel size and the focal length will determine the shooting distance to the object and the scale of the image.

In that way, depending on the maximum shooting distance, the size of the building and the minimum overlap between images, it will be possible to determine the number of images needed to fully document each face. However, in most cases, the maximum shooting distance is determined by the characteristics of the building location. Narrow streets, buildings, vehicles and vegetation near the object may block the way to getting the necessary distance to fit the whole building into the image. This means an increase in the number of images and sometimes in the maximum accuracy and, therefore, a larger scale in the final product, but it also means higher processing times and a higher probability of error.

## 2.2. Extraction and Matching of Features

The field of architectural documentation requires robust analysis for the extraction and correspondence of points of interest in the image, as the scale, perspective and lightning are variable. In the field of photogrammetry, the classic methods on correspondence between grey levels are ABM (area-based matching) [20] and LSM (least squares matching) [21]. However, for complex scenes, a more sophisticated and stable study is needed, which provides favorable and solid solutions to the geometric and radiometric variations. Such studies might be developed by applying algorithms, like SUSAN (smallest univalue segment assimilating nucleus) [22], SIFT (scale-invariant feature transform) [23], MSER (efficient maximally stable extremal region) [24] or SURF (Speeded Up Robust Features) [25]. Nevertheless, all of these new algorithms are not robust to the perspective variations of different images.

In this respect, a variation of the SIFT algorithm called ASIFT (affine scale-invariant transform) [26] has been added to the PW tool. The most remarkable improvement is the possibility of including two additional affinity parameters to control the perspective of the images, which correspond to the two perspective angles of the optical axis of the camera,  $\varpi$  (tilt) angle and  $\varphi$  (axis) angle (Equation (2)). The ASIFT algorithm will therefore allow working with images in perspective, which are frequent in these cases. The result is a descriptor, which stays the same regardless of the scale, rotation, movement and important deformations caused by the different perspectives of the images. The following expression accounts for the resulting descriptor:

$$A = \begin{bmatrix} a & b \\ c & d \end{bmatrix} = H_{\lambda} R_1(\kappa) T_1 R_2(\varpi) = \lambda \begin{bmatrix} \cos \kappa & -\sin \kappa \\ \sin \kappa & \cos \kappa \end{bmatrix} \cdot \begin{bmatrix} t & 0 \\ 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} \cos \varpi & -\sin \varpi \\ \sin \varpi & \cos \varpi \end{bmatrix} \quad (2)$$

where  $A$  is the affine transformation with the scale  $\lambda$ ,  $\kappa$  the rotation around the optical axis (swing) and the perspective parameters for the inclination of the optical axis of the camera:  $\varphi$  (tilt) =  $\arccos(1/t)$ ,

the angle between the optical axis and the normal to the image plane, and  $\varpi$  (axis), the azimuth angle between the optical axis and a fixed vertical plane.

The extraction of features is performed following the same SIFT workflow [27], but adding the two remarked perspective parameters ( $\varpi$ ,  $\varphi$ ), that is, the ASIFT descriptor incorporates a simulation of perspective caused by a variation of the camera optical axis direction. In particular, ASIFT proceeds by the following steps: (i) each image is converted by simulating all possible perspectives caused by the change of the camera's optical axis orientation from a frontal position; (ii) these perspective rotations are performed from a finite and small number of tilt- $\varphi$  and  $\varpi$ -axis angles; (iii) for each simulated image, the key points are extracted through gradient magnitude and orientation and based on histogram analysis for each pixel in a  $4 \times 4$  neighborhood.

Finally, the matching process is carried out by the employment of the SIFT descriptors over the simulated images. These descriptors are matched firstly according to the Euclidean distance [23] and secondly filtered by the Moisan–Stival ORSA (optimized random sampling algorithm) [28]. This algorithm is a variant of RANSAC (Random Sample Consensus) [29] with an adaptive criterion to filter the mismatches by the employment of the epipolar geometry constraints.

### 2.3. Hierarchical Orientation of Images

After the extraction and matching of the aforementioned features, the orientation of the images is carried out by following a dual level that integrates computer vision with photogrammetry. The aim is to obtain an approximate orientation of the images within an arbitrary system of coordinates (computer vision), which may later be completely refined and improved for every image (photogrammetry).

First, it is necessary to carry out a relative orientation of the images through independent models by calculating the fundamental matrix using the Longuet–Higgins algorithm [30]. One of the main advantages of the fundamental matrix is that it is independent of the scene. Therefore, the matrix can be calculated from the point correspondence in the image and does not require knowing the internal parameters and initial approximations of the cameras. The fundamental matrix is defined by the following equation:

$$\mathbf{x}'^T \mathbf{F} \mathbf{x} = 0 \quad (3)$$

For each pair of matching points  $\mathbf{x}_i \leftrightarrow \mathbf{x}'_i$  (8 minimum), Equation (4) allows one to calculate the fundamental matrix. More specifically, by writing  $\mathbf{x} = (x, y, 1)$  and  $\mathbf{x}' = (x', y', 1)^T$ , each matching point allows the creation of a linear equation,

$$x' x f_{11} + x' y f_{12} + x' f_{13} + y' x f_{21} + y' y f_{22} + y' f_{23} + x f_{31} + y f_{32} + f_{33} = 0 \quad (4)$$

It should be noted that this procedure guarantees full automation in comparison with other photogrammetric approaches, where the user necessarily has to establish the initial approximations and also know the internal parameters of the camera. Horn [31] provides an algorithm to recover the baseline and relative orientation from the essential matrix, that is, assuming that an approximation for the geometric internal parameters of the camera (focal length and principal point) are available, *i.e.*, the homogeneous 2D image coordinates are expressed as 3D photo vectors.

Second, once the relative angular and spatial position of the images is solved, we carry out a comprehensive bundle adjustment by means of an iterative and least-squares process based on the

collinearity condition [5] and by adding the object coordinates to allow a full georeferencing of the images (Equation (5)). These object coordinates are incorporated into the orientation process thanks to the targets spread about the scene or by means of natural points, whose coordinates are measured by expeditious topographic survey (*i.e.*, tape measure, manual measurement devices). In those cases when the internal calibration parameters (focal length, principal point and lens distortion) are unknown, this step enables us to add the calibration parameters of the camera to the equation as unknown quantities (self-calibration).

$$\begin{aligned} (x - x_0) + \Delta x &= -f \frac{r_{11}(X - S_X) + r_{21}(Y - S_Y) + r_{31}(Z - S_Z)}{r_{13}(X - S_X) + r_{23}(Y - S_Y) + r_{33}(Z - S_Z)} \\ (y - y_0) + \Delta y &= -f \frac{r_{12}(X - S_X) + r_{22}(Y - S_Y) + r_{32}(Z - S_Z)}{r_{13}(X - S_X) + r_{23}(Y - S_Y) + r_{33}(Z - S_Z)} \end{aligned} \quad (5)$$

where  $x$  and  $y$  are the image coordinates;  $X, Y, Z$  are the object control points coordinates from the targets or natural points that allow the georeferencing of the scene;  $r_{ij}$  are the rotation matrix elements, which include the rotation of the camera;  $S_X, S_Y, S_Z$  are the object coordinates of the points of view of the camera;  $f$  is the principal distance;  $x_0, y_0$  the principal point coordinates of the image; and  $\Delta X, \Delta Y$  the translations due to the radial and tangential distortion of the lens according to Equation (6).

$$\begin{aligned} \Delta x &= -x_p - \frac{x'}{f} \Delta f + x' (r^2 k_1 + r^4 k_2 + r^6 k_3) + (2x'^2 + r^2) p_1 + 2p_2 x' y' + b_1 x' + b_2 y' \\ \Delta y &= -y_p - \frac{y'}{f} \Delta f + y' (r^2 k_1 + r^4 k_2 + r^6 k_3) + 2p_1 x' y' + (2y'^2 + r^2) p_2 \end{aligned} \quad (6)$$

This is the Fraser model [32], which takes into account additional parameters compared to the Gaussian distortion model [33]: besides the principal distance ( $f$ ) and principal point coordinates ( $x_p, y_p$ ), the radial distortion ( $k_1, k_2, k_3$ ) and tangential distortion ( $p_1, p_2$ ) parameters are included. Furthermore it considers terms for affinity ( $b_1$ ) and non-orthogonality ( $b_2$ ).

Two important considerations must be taken into account: (a) the bundle adjustment can be carried out with or without the knowledge of the camera's parameters. In the first case, the interior and the lens distortion parameters are entered by their known value. In the second case, they must be considered as unknown (self-calibration) and solved with the whole set of unknowns; (b) this is the moment in which an absolute datum can be defined. This can be done by means of the object coordinates of ground control points measured with any topographic method or even by means of geometric constraints that define the 7 parameters of the coordinate frame.

#### 2.4. Dense Model Generation

On the basis of the robust orientation of the image, a dense matching process has been developed. Depending on the geometry of the shooting configuration, the process will use either an SGM or a PMVS strategy by means of the projectivity Equation (7) [12]. This allows generating a dense model capable of determining the exact 3D coordinate of the object for each pixel.

$$x_k = C(D(R_i(X_k - S_i))) \quad (7)$$

where  $X$  is the object point,  $x$  the corresponding point in the image,  $R$  the camera rotation matrix,  $S$  the center of projection of the camera,  $C$  the internal calibration function and  $D$  the lens distortion function; and the  $k$  and  $i$  subscripts refer to the point and the image, respectively.

The SGM process consists of minimizing an energy function (8) along the 8 basic directions of a pixel (every 45 °). This function consists of a cost function,  $M$  (pixel matching cost), which accounts for the degree of similarity of the pixels between two images,  $x$  and  $x'$ , and the inclusion of two restrictions,  $P_1$  and  $P_2$ , which account for potential gross errors in the SGM process. In addition, a further restriction based on the epipolar geometry of photogrammetry [34] has been added to the SGM process. This restriction allows limiting the search space for each pixel, so that the high computational cost of generating a dense model from multiple images is reduced, thus achieving better processing times.

$$E(D) = \sum_x \left( M(x, D_x) + \sum_{x' \in N_x} P_1 T(|D_x - D_{x'}| = 1) + \sum_{x' \in N_x} P_2 T(|D_x - D_{x'}| > 1) \right) \quad (8)$$

where  $E(D)$  represents the energy function to be minimized on the basis of disparity (parallax) between matching features; function  $C$  (pixel matching cost) evaluates the degree of similarity between pixel  $p$  and its correspondent  $q$  by means of its disparity or parallax  $D_p$ ; the terms  $P_1$  and  $P_2$  represent two restrictions, which avoid potential gross errors in the dense matching process caused by disparities in one or more pixels.

On the other hand, the PMVS method allows obtaining a dense model through a hierarchical and sequential process, which includes matching, expansion and filtering. In the matching phase, the points of interest and corners are extracted by applying the Harris [35] and the difference-of-Gaussian (DoG) operators. Later, a multiple image matching process is carried out on the basis of the previous extraction and the normalized cross-correlation (NCC) operator [36]. After the initial matching, expansion and filtering functions are applied, which allow extending the initial matching to the nearest pixels, as well as detecting and deleting erroneous matches by applying visibility restrictions.

### 3. Results and Discussion

#### 3.1. Context

The area of study is the region of Aliste (Zamora), with a total of 33 towns grouped into six municipalities. The region of Aliste is located to the west of the province of Zamora (Spain), bounded on the north by the mountain range of “La Culebra”, and adjacent to the regions of Sanabria and La Carballeda. It is bordered to the east by the regions of “Tierras de Tábara” and “Tierras de Alba”, and to the south by the region of Sayago. The west is adjacent to the Portuguese border, in the area known as “la raya” (“The line”). Such a location highlights the outlying position, both geographic and socioeconomically, which has marked the region throughout time. This area has been chosen due to its geographical location, near the Portuguese border and the region of Sanabria, of which there are previous similar studies. Therefore, it is possible to undertake comparative studies of the different typologies of religious buildings. A total of 32 parish churches and five chapels have been analyzed (Table 1).

**Table 1.** Towns under analysis.

Municipality	Town	Municipality	Town	Municipality	Town
ALCAÑICES	Alcañices		Fonfría	RABANO	Rábano de Aliste
	Alcorcillo		Arcillera		San Mamed
	Santa Ana		Bermillo de Alba		Sejas de Aliste
	Vivinera		Brandilanes		Tola
FIGUERUELA	Figueruela de Arriba	FONFRÍA	Castro de Alcañices		Trabazos
	Figueruela de Abajo		Ceadea		Latedo
	Gallegos del Campo		Fornillos		Nuez de Aliste
	Moldones		Moveros		San Martín del Pedroso
	Riomanzanas		Salto de Castro	TRABAZOS	Villarino tras la Sierra
	Villarino de Manzanas		Viñas de Aliste		
	Flechas	VINAS	Ribas		
		San Blas			
		Vega de Nuez			

Due to its location, the region of Aliste has always been a border area between different people and cultures. The presence of Arabs in the area led to the depopulation of the Douro Valley, although the subsequent Christian advance in the ninth century brought the population back. The emergence of new monasteries inhabited by monks from the south of the Peninsula, who came to occupy the conquered territories, was of central importance. That is how a series of monasteries arose, which gave new value to fields that had not been farmed or were abandoned. In the same way, the individuals, nobles and priests carried out a rural repopulation through the establishment of churches that fostered rural development, thus promoting the creation of parish churches. Parish churches were not only a religious center for the population, but also the center of administrative, social, economic and cultural activities of the community.

The towns in Aliste were part of the Diocese of Braga until 1297, when the Treaty of Alcañices transferred them to the Kingdom of León, thus creating the border with Portugal. The Templar Knights settled in Aliste during the expansion of religious orders all over the Iberian Peninsula, which began in the 13th century. In the 14th century, Aliste joined the Diocese of Santiago, after being part of the Diocese of Astorga. Finally, in the 19th century, it joined the Diocese of Zamora.

### 3.2. Fieldwork

The method chosen to carry out the fieldwork is supposed to allow the documentation of a great amount of buildings in a relatively short period of time (at least five churches per day). Moreover, the cost is minimum (only a digital camera and a metric tape is necessary), and one person is enough for the dataset acquisition.

The aim is to generate the necessary graphic documentation to carry out a typological study of the religious buildings of the border municipalities in the region of Aliste (Zamora). The results are presented in the form of ground plans and profiles with photographic texture and axonometric view. The output scale is 1/200, as such documentation may be included in what several authors refer to as



the “preliminary level of documentation” [37,38], this being the minimum scale recommended. The scale demands a minimum accuracy of 40 mm.

The photos were taken with a reflex camera, Canon EOS 350D, set to the lowest ISO and with an aperture in the range from  $f/4$  to  $f/5$ . Moreover, the focal length, 18 mm, was fixed throughout the shooting process. According to Equation (1), a 1/200 scale allows a maximum distance to the object of 112.5 m, which means a footprint of  $138 \times 92$  m in every shot. Therefore, the shooting distance is lower than the maximum recommended, thus guaranteeing a higher accuracy than the minimum required for the 1/200 scale. However, the major problem was the width of the streets that surrounded the churches, as they define the maximum distance allowed to take the photos, as well as the part of the scene that can fit into every image and, therefore, the minimum number of images needed.

The circular or “ring” shot strategy was used for documenting the building, trying to maintain a constant distance to the building. The images’ network around the building is designed to keep an appropriate proportion between the distance to the object and the distance between images, which is around 0.26 (Figure 3). This factor is the result of calculating the tangent of the  $15^\circ$  angle of intersection with the object, referred to in Subsection 2.1. This factor is important for the generation of dense models, since it guarantees small baselines between cameras and, thus, a fully documented point cloud.

**Figure 3.** Images following a “ring” shot strategy around the parish church of Ceadea.



Every church had external conditioning factors (e.g., narrow perimeter streets, fences, private properties, *etc.*), which made it impossible to fit the whole object into the image, at least for one of the façades. In such cases, a planar or mosaic shot of the area was performed, making sure that the images had an overlap rate of at least 80%. We made sure of having convergent (oblique) images to “tie” the circular shots and the mosaic shots in order to obtain a precise orientation.

According to Figure 3, the best scenario was the church in Ceadea. The fact that the church is isolated from the urban area, small and low, made it possible to take the photos at a sufficient distance to close the ring with just 28 shots, which includes the roofs of the building. The mosaic network was used only for the south façade, as the minimum distance was five meters (the six last images in Figure 4). The other churches were part of the urban area and were adjacent to other buildings, which

made it impossible to fit the whole image in one single shot, thus forcing us to take more photos with an overlap rate of 80%. On the other side, the worst scenario was the church in Trabazos, which is one of the biggest churches in our study. It has a high belfry tower and three façades facing narrow streets three to four meters wide, which forced us to take 177 photos in order to close the shooting ring around the building. We had to use the mosaic network technique for every façade, except for the eastern one, which faces a big square (Figure 4).

**Figure 4.** Some of the images used to document the parish church in Trabazos.



Finally, the distance between two points in the images were measured so as to establish the metric requirements of the 3D model, which was later created in the laboratory. Simple methods (tape measure, manual measurement devices) were used to measure the distance between two distant points for each of the building faces. However, this step may be skipped by incorporating some kind of stake, whose size must be known and which must appear in at least three images to allow the establishing of the scale of the model.

### 3.3. Laboratory Work

We used PW for the laboratory work, which allows us to generate the 3D models that determine the outside geometry of the church and, therefore, the scaled 3D models and the resulting photo-realistic profiles. The interior of the churches has not been modeled through a rigorous photogrammetric procedure. Instead, the most important features (e.g., wall thickness and internal distances) were measured with tape measure in order to complete the ground plans of every church.

Following the aforementioned workflow (Figure 1), the automatic orientation of the images was undertaken, which resulted in a scattered point cloud (Figure 5, left). Then, the erroneous points were deleted for a better outcome. Afterwards, a dense point cloud was automatically generated (Figure 5, right).

In order to generate the dense point cloud of the church in Ceadea, 28 images were used, while in the case of Trabazos, 121 images were used (those more perpendicular to the faces to be modeled). Due to the different geometric characteristics and the external conditions in both buildings, the roofs of the church in Ceadea were modeled, thanks to the shooting distance. However, the modeling of the church in Trabazos was not possible, because of the adjacent buildings and the height of the church. On the contrary, and due to the proximity to the faces, the point density is higher in the model of Trabazos, and therefore, the level of detail is higher than that of the church in Ceadea.

**Figure 5.** Scattered point cloud from the matching process (**left**) and dense point cloud from the SGM or PMVS strategy (**right**). The parish church in Ceadea (**top**) and the parish church in Trabazos (**bottom**).



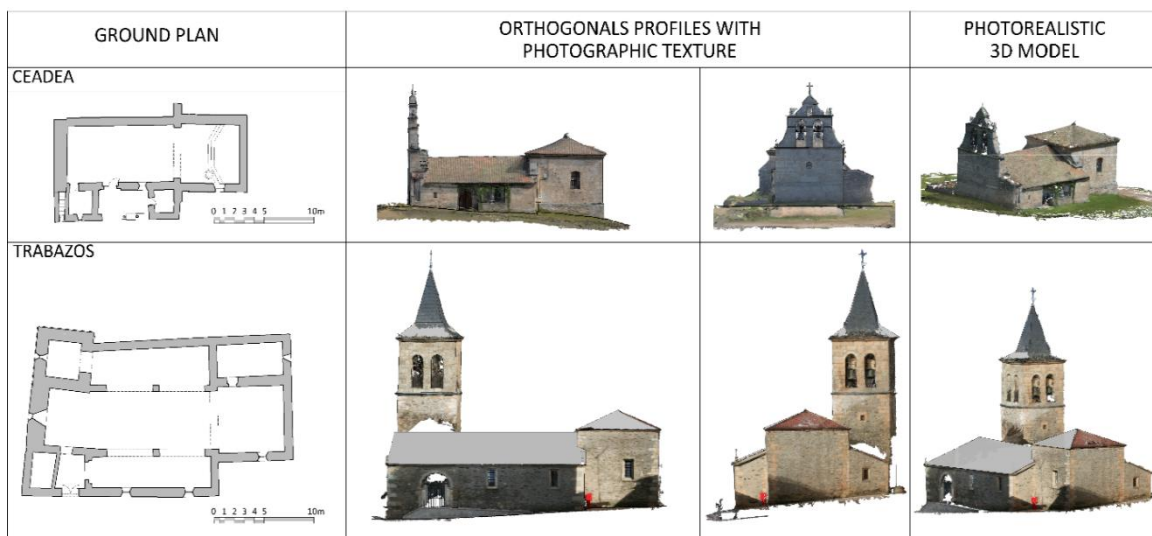
After removing noise from the images, the point cloud was divided into sections in order to outline the ground plan and export it to a CAD program, so as to draw the geometry. Moreover, we obtained the orthogonal profiles of the façades and then processed them with a CAD program to get the final product (Figure 6).

The main technical data obtained in the processing phase are recorded in Table 2.

The number of images for each model reflects the size of the building and the characteristics of its surroundings. The time spent on fieldwork is determined by the number of images and the use of a tripod depending on the lightning conditions. The time spent on laboratory work can be divided into two phases. The first phase consists of masking the images by hand, whereas the image orientation and the generation of the dense point cloud are completed automatically. The time spent on these processes is directly related to the number of images. The second phase consists of removing noise from the model, generating the orthophotos and outlining the plans. In this case, the amount of time depends on the size of the church.



**Figure 6.** Final graphic documentation of the churches of Ceadea (**top**) and Trabazos (**bottom**): ground plan, orthogonal profiles with photographic texture and photorealistic 3D model.



**Table 2.** Main technical data obtained from the processing of the ten case studies. GSD, ground sample distance.

	Fornillos	Vivinera	Rabano	Tola	Trabazos	Sejas	Santa Ana	Ribas	Ceadea	S. Martin Pedroso
<b>FIELDWORK</b>										
<b>Measurements (m)</b>	25 × 16 × 20	18 × 16 × 12	21 × 13 × 12	22 × 16 × 14	27 × 16 × 23	32 × 17 × 22	20 × 15 × 10	23 × 16 × 11	19 × 10 × 11	22 × 15 × 13
<b>No. of images</b>	86	72	127	152	177	78	38	91	28	79
<b>Distance max/min (m)</b>	22.40/10.30	16.20/4.50	30.80/3.50	25.30/2.90	25.30/2.60	16.50/2.80	15.10/4.50	19.30/9.70	30.15/5.20	31.10/5.70
<b>Fieldwork (minutes)</b>	45	40	50	60	70	45	35	40	30	45
<b>LABORATORY WORK</b>										
<b>Tie points</b>	227,666	252,895	407,975	651,467	429,726	353,976	188,221	249,567	82,149	224,492
<b>No. of 3D points</b>	22,319,921	20,913,054	39,624,832	53,447,764	44,416,441	25,141,761	11,138,274	17,894,546	4,854,354	17,302,829
<b>GSD (m)</b>	0.008	0.007	0.006	0.006	0.006	0.006	0.006	0.008	0.007	0.008
<b>RMSE (m/pix)</b>	0.0022/0.280	0.0014/0.260	0.0018/0.310	0.0037/0.330	0.0011/0.180	0.0017/0.280	0.0015/0.260	0.0023/0.300	0.0015/0.22	0.0022/0.29
<b>Laboratory work (hours)</b>	14	10	15	15	17	16	7	12	6	12

Once the graphic data of every church is collected, a typological study of the buildings, together with its classification in families, types and variants, is carried out.

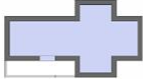

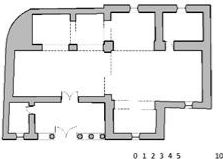






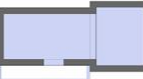





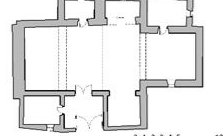
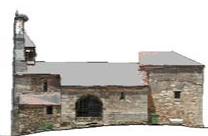


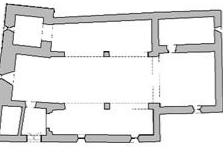
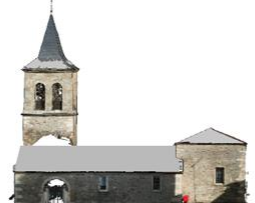

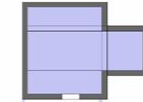
### 3.4. Typological Analysis

The working line followed by San José (1994) [39] has been a point of reference for the typological analysis. A similar study for the religious buildings of the border towns in the area of Aliste has been developed, though in this case, the last generation of low-cost photogrammetric and computer vision methods both in the shooting process and the generation of 3D models have been used. In order to classify the churches, the starting point were the families, which are made up of the different building

systems, and these, in turn, divided into subsystems and variants. The study was limited to the classification of the parish churches in the rural areas of Aliste, as they are the most numerous and representative group.

The parish churches have been classified according to the study of their ground plans, by means of analyzing the layout of the essential architectural elements: nave, chapel and transept. As a result, two groups or families have been established: churches with transept and churches with chapel. From these two groups, the different types of churches in the area were classified according to the number of naves, which resulted in new subtypes. The variants were established according to the size of the essential structures, the layout of the belfry and the complementary architectural elements attached to the main structure (e.g., chapels, sacristy, arcade, etc.).

**Figure 7.** Typological classification of the parish churches according to the ground plan of the essential architectural structures.

		SKETCH	PERSPECTIVE	GROUND PLAN	ELEVATIONS		
GROUND PLAN	TRANSEPT		FORNILLOS DE ALISTE 				
			VIVINERA 				
	CHAPEL	ONE NAVE		RABANO DE ALISTE 			
				TOLA 			
				TRABAZOS 			
		THREE NAVES					

The methodology adopted for the typological analysis of the churches consisted of visiting and studying each temple to establish by means of comparison the different types. For this, the study is based on the structures that make up the temples and the way in which they are linked together. Thus, the first classification is attained: temples with transept and temples with chapel. On this basis, the number of naves determines the variants or subtypes.

With regard to the classification, the differences previously established on the basis of shape, number and arrangement, linked together to shape the temple, are essential for gathering the studied buildings into groups with similar characteristics. This results in the final classification of the different types and its classification into typologies and variants. Thirty two parish churches and five chapels have been analyzed, and the typological classification of the churches has been outlined in accordance to the layout of the nave and either the chapel or transepts, as well as its size. We have chosen one church from each group to be included in the results (Figures 7 and 8). The parish churches consisting of one single nave and a chapel are by far the most numerous group of religious architecture in the area. The structure includes the rectangular ground plan of the nave and the square ground plan of the main chapel, which, according to Christian tradition, is oriented east-west. The final structure results from attaching the supplementary architectural elements, such as entrance arches, sacristy, belfry, *etc.*, to the main structure. The belfry is especially relevant in the volumetric configuration of the temples. In this study, every church is crowned by a bell gable, though there are different types.

**Figure 8.** Typological classification of the parish churches according to the spatial design of the essential architectural structures.

		SKETCH	PERSPECTIVE	GROUND PLAN	ELEVATIONS		
SPATIAL DESIGN	TRANSEPT		SEJAS 				
	NAVE AND CHAPEL	ONE VOLUME, SAME HEIGHT		SANTA ANA 			
		DIFFERENT VOLUMES, SAME HEIGHT		RIBAS 			
		ONE VOLUME, DIFFERENT HEIGHT		CEADEA 			
		DIFFERENT VOLUMES, DIFFERENT HEIGHT		SAN MARTIN DEL PEDROSO 			

With regard to the building system, these churches are simple constructions consisting of perimeter load-bearing walls and semicircular arches in the junction between the nave and the chapel. The roof

consists of a wood couple roof (a gabled roof in the nave and a hipped roof in the chapel) in those cases where the chapel is higher than the nave.

The variants of this type of buildings are determined by the volumetric ratio between the nave and the chapel (Figure 8). Therefore, there are some churches in which the nave and chapel are the same size and height, others where the nave and the chapel have the same width, but the chapel is higher than the nave and, finally, those where the nave and the chapel have different sizes and heights. The most numerous group within the churches in this study belong to the latter group, thus being the prevailing typology of religious architecture in the area. The last variation would be a church where the chapel and the nave have a different width and height, thus creating two well-differentiated parts within the essential spaces of the temple.

The group made up of churches with three naves and one chapel is the less numerous one. The structure consists of two side naves attached to the main nave and chapel, which are accessed from the central nave through semicircular arches on columns.

The parish churches of one nave and crossing are the biggest ones and are normally located in the most populated towns.

#### 4. Conclusions

The study shows the capacity of photogrammetric programs, which are aimed towards the full automation of the cartographic modeling process, for the architectural interpretation of religious buildings. By means of a relatively simple working methodology, used both in the shooting and processing phase, accurate and high graphic quality results can be obtained. Thanks to photogrammetric tools, the aim of creating a typological classification of the parish churches in the region of Aliste has been made easier and is based on technical data.

The following conclusions from the present study can be drawn:

- (a) The graphic quality of the models is supported basically by the point quantity, between 20 and 50 million points, which usually equals or surpasses the resolution provided by terrestrial laser systems (TLS).
- (b) Even though the data volume may seem high, the working times must be taken into account: between 30 and 60 min of fieldwork and from 6 to 16 h of laboratory work, which, once again, can be compared with laser scanner performance. The image capture times are lower than those of a laser scanner (between 1/2 and 1/3 depending on the TLS performance), whereas the laboratory work times are similar. Therefore, compared to laser scanner technology in terms of devices availability and the difficulty of processes, photogrammetry stands out as a more advantageous solution.
- (c) The high degree of accuracy (root mean square deviation of block adjustment; the results range from 1/4 to 1/3 of a pixel) is mainly due to the high level of redundancies (high number of tie points), which allows the user to adjust the images accurately
- (d) The high level of redundancies (tie points), between 80,000 and 650,000 with an average of about 300,000, is due to the high number of images, between 28 and 177 with an average of about 90. Although the number of images may seem excessive, this issue must be contrasted to the time spent in the process.

- (e) The results are highly consistent with each other: RMS are always between 0.18 and 0.33 pixels or between 0.0011 and 0.0037 mm, which guarantee the quality and reliability of the methodology chosen for the study.
- (f) The different number of images needed for each church, which ranges from 28 to 177, as stated before, depends on the surroundings of the religious building, as well as on the possibility of fitting the whole object into the image. The size of the building is also important, although all the different aspects do not result in relevant differences, either in time or accuracy.
- (g) With regard to the typological analysis, the approach developed improves the current techniques for the recording of architectural cultural heritage. Moreover, the method is suitable to carry out a typological classification study, where the reduction of image capture times (between 1/2 and 1/3, as stated above) allows the researcher to document a great number of buildings/architectural heritage in little time and by non-specialist staff, due to the simplicity of the process. Besides, the high resolution of the models, with GSDs between 0.006 and 0.008 mm, indicates that the method could be used for projects that require larger scales.
- (h) These methods are appealing to architects due to their simplicity and speed. Better quality and more robust surveys are obtained, as not only the shape of the building was accessed, but also the information about its color and texture. The fieldwork hours are reduced with no negative effect on accuracy, and it is easier to systematize the process, by following the protocol set out in the present study.

With regard to future action lines, it is worth mentioning:

The aim would be to apply the methodology to other contexts and architectural typologies, in order to compare the scope and validity of the method. This could lead to the creation of a good practices guide to help the inexperienced user choose a line of action that optimizes the relation between accuracy and working time.

It will be important to look at further ways of adding any type of metric constraint to the methodology, so as to avoid manual measuring between several points of the object, thus reducing the working times and, more importantly, reducing the potential sources of error.

Finally, the implementation of processing strategies that enable progress on the automation of point clouds should also be undertaken, in order to facilitate the process of turning point clouds into vector models.

### **Author Contributions**

All authors contributed extensively to the work presented in this paper.

### **Conflicts of Interest**

The authors declare no conflict of interest.



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#### 4.3 CONFRONTING PASSIVE AND ACTIVE SENSORS WITH NON-GAUSSIAN STATISTIC

**Resumen:** Este trabajo tiene dos hitos: en primer lugar, comparar los Modelos Digitales de Superficie (DSM) obtenidas por sistemas de sensores remotos pasivos (cámara digital) y activos (láser escáner terrestre), aplicada a los objetos arquitectónicos específicos; y en segundo lugar, poner a prueba cómo se comportan las estadísticas clásicas Gaussianas, con su principio de Mínimos Cuadrados, a los conjuntos de datos donde pueden aparecer errores groseros asimétricos y si este enfoque se debe cambiar por uno no paramétrico. El campo de la Geomática se encuentra inmerso en una carrera competitiva hacia la automatización y mejora de instrumentos y técnicas. Hoy en día, parece que estamos presenciando una mejora de la fotogrametría y su integración con la visión computacional en favor de mejorar la automatización y calidad de resultados frente a su competidor más cercano, los sistemas de escaneo láser. A través de este trabajo se realiza una comparativa entre ambos sistemas en términos de calidad. Los resultados obtenidos muestran una buena concordancia entre los dos sensores, a pesar de la asimetría presente en ambos sistemas debido a la presencia de errores groseros. Esta asimetría sugiere que los parámetros normales estándar no son adecuados para evaluar este tipo de datos, especialmente cuando la precisión es de suma importancia. En este caso, la desviación estándar no proporciona una buena estimación de los resultados, mientras que los resultados obtenidos para la desviación media absoluta y para la Bivarianza Ponderada son medidas más apropiadas.

**Palabras clave:** sensores pasivos; sensores activos; cámara digital; láser escáner; estadística no gaussiana; estadística no paramétrica; medición



Case Report

## Confronting Passive and Active Sensors with Non-Gaussian Statistics

Pablo Rodríguez-González, Jesús Garcia-Gago, Javier Gomez-Lahoz and Diego González-Aguilera \*

Department of Cartographic and Land Engineering, University of Salamanca, Polytechnic School of Avila. Hornos Caleros, 50, 05003, Avila, Spain;

E-Mails: pablorgsf@usal.es (P.R.-G.); jesusmggago@gmail.com (J.G.-G.); fotod@usal.es (J.G.-L.)

\* Author to whom correspondence should be addressed; E-Mail: daguilera@usal.es; Tel.: +34-920-353-500; Fax: +34-920-353-501.

Received: 21 May 2014; in revised form: 22 July 2014 / Accepted: 28 July 2014 /

Published: 30 July 2014

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**Abstract:** This paper has two motivations: firstly, to compare the Digital Surface Models (DSM) derived by passive (digital camera) and by active (terrestrial laser scanner) remote sensing systems when applied to specific architectural objects, and secondly, to test how well the Gaussian classic statistics, with its Least Squares principle, adapts to data sets where asymmetrical gross errors may appear and whether this approach should be changed for a non-parametric one. The field of geomatic technology automation is immersed in a high demanding competition in which any innovation by one of the contenders immediately challenges the opponents to propose a better improvement. Nowadays, we seem to be witnessing an improvement of terrestrial photogrammetry and its integration with computer vision to overcome the performance limitations of laser scanning methods. Through this contribution some of the issues of this “technological race” are examined from the point of view of photogrammetry. A new software is introduced and an experimental test is designed, performed and assessed to try to cast some light on this thrilling match. For the case considered in this study, the results show good agreement between both sensors, despite considerable asymmetry. This asymmetry suggests that the standard Normal parameters are not adequate to assess this type of data, especially when accuracy is of importance. In this case, standard deviation fails to provide a good estimation of the results, whereas the results obtained for the Median Absolute Deviation and for the Biweight Midvariance are more appropriate measures.

**Keywords:** passive sensor; active sensor; digital camera; laser scanner; non-Gaussian statistic; non-parametric statistic; measurement

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## 1. Introduction

### 1.1. Motivation

There is little doubt that use of photogrammetry has undergone a resurgence in its duel with the powerful laser scanning systems, both terrestrial (TLS-Terrestrial Laser Scanner) and aerial (ALS-Airborne Laser Scanner), and both static and dynamic (MMS-Mobile Mapping System) [1]. During the second half of the last decade, the latter seemed to pose an insurmountable challenge for the former technology which looked almost decadent, but quite surprisingly, we are witnessing a return of photogrammetry in the geomatic market, where nothing is yet decided.

The secret of the success of the recent photogrammetry proposals is based on two issues: firstly, its bid to continue trusting/relying on the strength of its information source, the image; secondly, its “marriage” with its “relative”, computer vision [2]. This hybridization has enabled the discipline to overcome two important hurdles. The first one has been surpassed by the automation of the orientation and reconstruction processes. This led to highly dense three dimensional models that in many cases surpass the resolution of laser scanning ones. The second obstacle was related to the classical rigid geometric configuration in the shooting network, namely, the so called, normal case. Nowadays, this configuration has been substituted by a highly flexible one. In addition, any type of sensor, calibrated and non-calibrated [3], or even smartphone or tablet devices [4] can be used. Besides these two recent performance developments, there is a third issue that has characterized photogrammetry over the years as a metrology discipline: its compromise with respect to accuracy in order to increase reliability [3]. This traditional line has yielded results that, in many applications, can stand as a low cost competitor to the laser systems.

On the other hand, but no less important, we are aided by a proliferation of multipurpose, low cost or even free, open source tools that deal with multiple image processing to render 3D geometric and radiometric models. This challenge requires solving two previous important geometric issues: how to compute the camera parameters (interior orientation, principal distance or focal length, coordinates of the principal point, and radial and tangential distortion parameters) and how to compute the shooting parameters (exterior orientation, world coordinates of the point of view and angular parameters—rotation matrix—of the camera axis when shooting) [4]. For years, photogrammetry addressed these problems by applying rigorous laboratory calibration protocols and later with robust and accurate control points networks, but the implementation and fusion of algorithms and techniques largely developed on the computer vision community has led to the automatic computation of these items while computing the 3D model itself.

More specifically, great advances have occurred in the use of robust strategies for image matching based on features (FBM-Feature Based Matching) and on areas (ABM-Area Based Matching) that enable the task of linking the images to one another or to some external element and thus, making



available the transition from the 2D information to the 3D information, an issue that was traditionally performed through stereoscopic skills.

As is well known this is the basis of photogrammetry since it can enable the computation of the interior and relative exterior orientations and, at the same time, the computation of a sparse model of the object on a local and arbitrary frame [5]. A certain knowledge of the frame (through control points or through an explicit datum definition), enables the application of the colinearity equations [6] that leads to an absolute model location through: (a) the reverse intersection problem that renders the absolute exterior orientation of the images and (b) the forward intersection problem that yields the absolute coordinates of any object point from corresponding image sets (at least two) of points.

In this regard, very important and powerful innovations in the field of matching have been achieved in the last years. Firstly there is the powerful but dependent on a favourable geometry (photogrammetric normal case) semi-global matching (SGM) strategy [7] which permits rendering of high density 3D point clouds with resolutions up to the Ground Sampling Distance (GSD), that is, one 3D object point for each pixel of the image. *Apero-Micmac* [8] is a good example of Open Source software beside commercial photogrammetric tools (*eATE*, *NGATE*, *Dense Matcher*, *ISAE*, *Match-T*, *Xpro*, *Tridicon*, *etc.*). In any case, other important achievements exist in the case of unfavourable geometry, such as those patch-based methods supported by surfels [9–11]. In this sense, Patch-based Multi-view Stereo (PMVS) assumes a hybrid approach, using undistorted images, orientation parameters of the images, a sparse set of points and the projection matrixes to determine a dense set of rectangular patches. Nevertheless, the major drawback of these commercial and web-based tools (*Bundler*, *Photosynth*, *Photofly*, *PMVS*, *etc.*) is that they are only based on computer vision algorithms and thus they do not provide accurate results. Last but not least, an additional drawback for these web-based methods is their computation cost, especially if large format cameras and high resolution images are involved. All these considerations suggest the need for further research towards the implementation of parallel processing techniques and the use of the graphical memory in order to minimize the processing effort.

One of the main goals of this paper is to present the Photogrammetry Workbench (PW) software as an attempt to reinforce the relation between photogrammetry and computer vision in the context of the Heritage Architecture field according to the considerations stated above, but an even more important motivation is to implement and assess some statistical tools to improve the processing of data that does not behave in a Gaussian fashion, which is the usual case when dealing with automated point clouds, regardless of whether they are generated by photogrammetry or laser scanning.

About 15 years ago, Kraus coined the motto “quantity vs. quality”. He did so to try to illustrate the change of paradigm that digital photogrammetry was leading. Traditional photogrammetry was very much based on quality, that is, on human skill, and therefore, on Gaussian statistics. As is well known [3], the redundant display that underlies aerial triangulation or the bundle adjustment is solved through the Least Squares Criteria that assumes that the image observations follow a normal distribution, namely, they can be represented by means of a standard deviation. This was representative of the human ability to perform good but few observations (redundancy not higher than 50). Contrary to this manual paradigm is the automatic one that relies heavily on the computer’s capacity to perform poor but abundant observations, that is, based on quantity. This means that besides a high number of correct

redundant observations (many more than 100) the process is plagued by a number of gross errors (outliers) and consequently, the mean—standard deviation—least squares assumptions must be revised.

## 1.2. Objectives

The main objectives of this case report were to test the comparison of photogrammetric and laser scanning point clouds and to test the performance of Gaussian and non-Gaussian statistics when comparing data sets. For this purpose an experiment was designed in which two Romanesque portals were captured by means of two laser scanning systems and photogrammetric systems (digital cameras). The photogrammetric processing of the images was done by means of two software packages: one developed in-house by the authors and a well-known commercial software (see Section 4 for a more detailed description of the experiment).

## 2. From a Set of 2D Images to a Dense 3D Point Cloud

The software here presented, PW, is a multiplatform software which integrates photogrammetric robust algorithms with automatic and flexible approaches coming from computer vision operating behind a user-friendly interface that works with terrestrial or aerial images and with vertical or oblique geometries. This software works on two parts that correspond to the classical main steps in photogrammetry: orientation and modelling. The input of the first part (orientation) is a dense set of matching points extracted from a set of images by means of a robust operator and the output is the exterior orientation parameters of these set of images. The input of the second part (modelling) are these set of orientation parameters and an optimized version of the same set of matching points used for the first part. The final output is a dense point cloud that can withstand the comparison with 3D laser scan point clouds.

### 2.1. Extraction of Matching Points from the Images

The task of finding matching features that appear in corresponding images, and the degree of variation between the patches of images to be compared are very important issues. On the most simple side of the wide range of approaches that have been implemented to deal with this question, we have the most classical matching method in photogrammetry: ABM [12]. This method works efficiently because it demands *a priori* that images have been taken under the classical geometric paradigm of aerial photogrammetry: the so called, normal case. As is well known, this implies, firstly, that images must be almost parallel to the object and thus, with no perspective effects between them. Secondly, the variation implies, secondly, that the variation of distance to the object must be kept under small thresholds (terrain surface, the object of aerial photogrammetry, is usually smooth). So, when dealing with images that exhibit important perspective variations or important scale variations, this method does not render acceptable results.

Thus, a variety of methods have been developed in recent years to handle these variations between images. It has been usually assumed that these variations can be modelled by a translation, a change in scale and a rotation. Some of these methods are Smallest Univalued Segment Assimilating Nucleus (SUSAN) [13], Scale Invariant Feature Transform (SIFT) [14], Maximally Stable Extremal Region

(MSER) [15], or Speeded Up Robust Features (SURF) [16]. None of these algorithms are robust enough to cope with high perspective variations between images.

Affine Scale Invariant Transform (ASIFT) [17] is a method that is affine invariant on a local region patch and has proved to be robust enough to deal with images that present rather large perspective effects as a whole. In ASIFT, two rotation parameters are added to the plane rotation that is included in the methods mentioned above. This provides the two degrees of freedom that render the two angles of a line (camera axis) with respect to a plane (main object plane). Consequently, the algorithm enables one to work with perspective images, which are frequent in terrestrial photogrammetry.

## 2.2. Orientation Procedure

The matching points derived from the ASIFT operator are the input for the orientation procedure which is performed in two steps. In the first one, a pairwise orientation is executed by relating the images to each other by means of the fundamental matrix model. In the second one, this initial and partial approximation to the solution is used to perform a global bundle adjustment by means of the colinearity equations which include the determination of the camera parameters (self-calibration).

### 2.2.1. Relative Orientation

The five degrees of freedom that underlie the non-linear equations (coplanarity equation [6] that relate whatever two images that share corresponding features can be expressed by a eight parameter linear model, based on the so called fundamental matrix [5] computed by the Longuet-Higgins algorithm [18] according to the equation:

$$x'xf_{11} + x'yf_{12} + x'f_{13} + y'xf_{21} + y'yf_{22} + y'f_{23} + xf_{31} + yf_{32} + f_{33} = 0 \quad (1)$$

where  $f_{ij}$  are the terms of the  $3 \times 3$  fundamental matrix and  $(x, y)$  and  $(x', y')$  are the image coordinates of every pair of matching points. Once these terms are obtained, the five geometric parameters that express the relative position (up to scale) and attitude of one image respect the other can be derived [19] provided an approximate description of the camera is known. In this way we obtain an initial estimation of a pairwise orientation of the images given an arbitrary datum.

### 2.2.2. Bundle Adjustment

The knowledge derived from the previous section provides approximations good enough to solve the so called bundle adjustment [6] which permits to establish a relationship between images and object by means of colinearity Equation (2) in a non-linear fashion, that is, an iterative approach of progressively better solutions until convergence is achieved:

$$\begin{aligned} (x - x_p) + \Delta x &= -f \frac{r_{11}(X - S_X) + r_{21}(Y - S_Y) + r_{31}(Z - S_Z)}{r_{13}(X - S_X) + r_{23}(Y - S_Y) + r_{33}(Z - S_Z)} \\ (y - y_p) + \Delta y &= -f \frac{r_{12}(X - S_X) + r_{22}(Y - S_Y) + r_{32}(Z - S_Z)}{r_{13}(X - S_X) + r_{23}(Y - S_Y) + r_{33}(Z - S_Z)} \end{aligned} \quad (2)$$

where  $x$  and  $y$  are the image coordinates;  $X, Y, Z$  are the object coordinates;  $(r_{ij}, S_X, S_Y, S_Z)$  are the parameters of the exterior orientation of each image;  $(x_p, y_p, f)$  are the geometrical parameters of the

interior orientation of the camera, that is, the image principal point coordinates and the focal length;  $(\Delta X, \Delta Y)$  are the corrections to be applied to the image coordinates of an observed point because of the radial and tangential distortion of the lens according to Equation (3):

$$\begin{aligned}\Delta x &= -x_p - \frac{x'}{f} \Delta f + x' (r^2 k_1 + r^4 k_2 + r^6 k_3) + (2x'^2 + r^2) p_1 + 2p_2 x' y' + b_1 x' + b_2 y' \\ \Delta y &= -y_p - \frac{y'}{f} \Delta f + y' (r^2 k_1 + r^4 k_2 + r^6 k_3) + 2p_1 x' y' + (2y'^2 + r^2) p_2\end{aligned}\quad (3)$$

This is the Fraser model [20] which takes into account additional parameters to the Gaussian distortion model [21]: besides the principal distance ( $f$ ), and principal point coordinates  $(x_p, y_p)$ , the parameters  $(k_1, k_2, k_3)$  are used to render the radial distortion whereas  $(p_1, p_2)$  address the tangential distortion. Furthermore, it considers terms for affinity ( $b_1$ ) and non-orthogonality ( $b_2$ ).

Two important considerations must be taken into account: (a) the bundle adjustment can be carried on with or without the knowledge of the camera. In the first case the interior and the lens distortion parameters are entered as their known value. In the second case, they must be considered as unknowns (self-calibration) and solved with the whole set of unknowns; (b) this is the moment in which an absolute datum can be defined. This can be done by means of the object coordinates of ground control points expressed in whatever geodetic system or by means of geometric restrictions that define the seven parameters of the coordinate frame.

### 2.3. Modelling Procedure

Once the orientations step is completed, the whole set of images can be processed based on the epipolarization constraints [22], that is, for a given pixel on a given image the search space of the correspondent pixel in some other image can be computed as an (epipolar) line, thus minimizing the search cost. Relying on this principle a Digital Surface Model (DSM) can be computed by means of forward ray intersection [6]. To solve this process, a SGM (Semi-Global Matching) technique [7] has been developed. The final 3D coordinates are calculated using the Equation (4):

$$X_k = C(D(R_i(x_{ik} - S_i))) \quad (4)$$

where the  $k$ -th unknown ground point ( $X_k$ ) is related to its projection ( $x_{ik}$ ) on an  $i$ -th image by means of the extrinsic parameters of this image parameterized by the rotation matrix ( $R_i$ ) and the known projection center of the image ( $S_i$ ). The intrinsic parameters are the camera matrix ( $C$ ) and the lens distortion function ( $D$ ).

### 3. Normal vs. Non-Normal Statistics

The automation of the matching task is a process where a high number of false correspondences occur. These errors must be filtered in successive steps to determine the orientation, but even though a good orientation solution may be achieved, that does not imply that the subsequent Digital Surface Model (DSM) is free from blunders since there may be correspondences that do not belong to the object but to alien elements such as vegetation, urban artefacts, traffic, people, birds, *etc.*

On the other hand, when comparing the DSM obtained by means of photogrammetry to the DSM obtained by means of laser scanning it is important to have in mind that both systems have their own sources of error and their own sensitivity to the impact of outliers. In addition, if both systems are to be compared, it is important to notice that the points on both DSMs are not the same and thus, a strategy to find the best correspondences must be applied. An additional source of gross error is that the positions of both sensors are not identical and thus, not all the object surfaces are equally captured in the data sets.

Due to the reasons mentioned above, it should be expected that the percentage of gross errors associated to the data sets increases and thus, the methods based on normal Gaussian statistic do not perform well. To do so, the steps to be applied are the following: firstly, to check the normality assumption based on statistical graphics (QQ-plots) and numerical methods (skewness and kurtosis indices). Secondly, to test the accuracy measures of discrepancies dataset for the normal distribution based on mean error ( $\mu$ ), standard deviation ( $\sigma$ ) and their corresponding confidence intervals ( $CI$ ). And thirdly, to apply a robust model based on non-parametric estimation using sample quantiles as reference and adding the median ( $m$ ) and the biweight midvariance ( $BWMV$ ) as robust measures of the mean and standard deviation, respectively.

Therefore, we propose a break with the “deeply rooted custom” of the zero and normal distribution of errors being considered as the appropriate standard measure of accuracy in the photogrammetric and laser scanning studies (e.g., as adopted by the National Standard for Spatial Data Accuracy—NSSDA), incorporating and establishing a comparison between parametric and nonparametric statistical methods.

Although the sensitivity of normality tests to non-normal data could seem an efficient alternative, it should be remarked that these tests do not work properly with large datasets since the central limit theorem comes into play [23], so that normality tests were only applied in those cases with a reduced number of observations. To large datasets, a better diagnostic for checking a deviation from the normal distribution is the visual plot quantile-quantile (QQ-plot). In this case, the quantiles of the empirical distribution function are plotted against the theoretical quantiles of the normal distribution. If the distribution follows a Gaussian function, the QQ-plot should be a diagonal straight line. The skewness parameter (Equation (5)) provides an indication of departure from symmetry in a distribution (asymmetry around the mean value), whereas kurtosis parameter (Equation (6)) is a measure of whether the data are peaked or flat relative to a normal distribution. If the distribution is perfectly normal, skewness and kurtosis values of zero are obtained:

$$\text{Skewness} = \frac{\sum_{i=1}^n (x_i - \mu)^3}{(n-1)\sigma^3} \quad (5)$$

$$\text{Kurtosis} = \frac{\sum_{i=1}^n (x_i - \mu)^4}{(n-1)\sigma^4} - 3 \quad (6)$$

where  $\mu$  is the mean,  $n$  is the number of data points and  $\sigma$  is the standard deviation.

When the datasets follow a normal distribution the classical accuracy measures such as mean error ( $\mu$ ) and standard deviation ( $\sigma$ ) are considered. Likewise, confidence intervals ( $CI$ ) are provided for parameters based on the theory of errors and considering the interval ( $x \pm 1.96\sigma$ ), where  $x$  is the

parameter and  $\sigma$  its standard deviation for a confidence level of 95%. In those cases where some minimum outliers could remain, the parametric approaches establish the  $3\sigma$  rule to remove outliers which can corrupt the true statistical distribution of errors. If after applying the  $3\sigma$  rule error data sets still follow a non-normal distribution, robust and non-parametric methods for the derivation of accuracy measures should therefore be applied. For a small Gaussian sample size, the  $3\sigma$  rule could be replaced by Chauvenet's criterion [24] that rejects those errors which have a probability of occurrence that is less than the probability of occurrence corresponding to a proportion of  $1 - 1/(4n)$  of the sample, being  $n$  the sample size [25]. For those cases for which the distribution of the data is not known, other approaches for deriving accuracy measures need to be applied. Interquartile range is an unbiased estimator of standard deviation [26], whereas the *BWMV* (Equation (7)) is a robust estimator of the statistical dispersion for heavy tailed distributions [27]:

$$BWMV = \frac{n \sum_{i=1}^n a_i (x_i - m)^2 (1 - U_i^2)^4}{\left( \sum_{i=1}^n a_i (1 - U_i^2) (1 - 5U_i^2) \right)^2} \quad (7)$$

$$U_i = \frac{\mu_i - m}{9MAD} \quad (8)$$

where  $m$  is the median,  $n$  is the number of points,  $U$  is a parameter that comes from Equation (8),  $\mu$  is the mean and  $MAD$  is the median absolute deviation, being:

$$MAD = m(|x_i - m_x|) \quad (9)$$

where  $m$  denotes de median and  $m_x$  is the median of the data. Finally, the value of the parameter  $a$  (Equation (7)) could be 0 or 1 depending on the  $U$  value. If  $-1 \leq U \leq 1$ , thus  $a$  is 1; in any case  $a$  is 0.

#### 4. Methodology

Two similar sites were chosen to undertake the experiment: the portals of two Romanesque churches—San Pedro and San Segundo—located in the city of Ávila (Spain) (see Figure 1). For these two sites, two data sets with two different instruments, digital camera and terrestrial laser scanner (Table 1) were acquired:

- A double data set by means of convergent photogrammetry, using two different reflex cameras (Canon EOS 500D, Nikon D80).
- A double data set by means of two different types of terrestrial laser scanner, Faro Photon 80 and Trimble GX (Table 1).
- In addition, when processing the photogrammetric data sets, two different tools were used, the commercial software (CS), Agisoft Photoscan, and the PW-Photogrammetry Workbench, the in-house software.

This gives us a total of six DSMs for each of the portals: two laser scanner data sets processed once each, and two images data sets processed by two methods each. When comparing photogrammetry vs. laser scanner all the photogrammetric DSMs (four per portal) were compared with all the laser

scanning DSMs (two per portal) which gives a total of eight comparisons per portal. The camera positions are depicted in Figure 2 along with images of both portals and some examples of the points cloud. To guarantee a common reference frame some control points were accurately observed by means of a total station, Topcon IS Imaging Station.

**Table 1.** Main characteristics of the instruments that have been used.

<b>Laser Scanner</b>		
Model	Trimble GX	Faro Photon 80
Principle	Time of Flight-ToF	Phase Shift-PS
Wavelength	534 nm (Visible - Green)	785 nm (Near Infrared)
Field of view	360 °H × 60 °V	360 °H × 320 °V
Standard deviation	1.4 mm at 50 m	2 mm at 25 m
Measurement Range	2–350 m	0.6–72 m
Spot size (beam diameter)	3 mm a 50 m	8 mm a 50 m
Scanning speed	5,000 points/sec	120,000 points/s
<b>Reflex Cameras</b>		
Model	Nikon D80	Canon 500D
Sensor type	CCD (DX format)	APS-C CMOS
Sensor size	23.6 × 15.8 mm	22.3 × 14.9 mm
Resolution	10.2 MP	15.1 MP
Image size	3872 × 2592 pixels	4752 × 3168 pixels
Pixel density	2.7 MP/cm <sup>2</sup>	4.5 MP/cm <sup>2</sup>
File format	12-bit compressed RAW, JPG	14-bit compressed RAW, JPG
<b>Total Station</b>		
Model	Topcon IS Imaging Station	
Minimum Reading	1"/5"   (0.1/0.5 mgon)	
Accuracy	1", 3"   (0.3 mgon)	
Tilt Correction	Dual Axis	
Compensating Range	±6'	
Non-Prism (range)	1.5 m 250 m	
Prism (accuracy)	Fine 0.2 mm/1 mm ± (2 mm+2 ppmxD*) m.s.e.	

Both cameras have been chosen for their medium-range performance together with their affordable cost. They provide a 4.7–6  $\mu\text{m}$  pixel size (see Table 1) that guarantees small enough Ground Sample Distances (GSDs): about 3 mm for a focal length of 17–18 mm and a shooting distance of about 10 m. These values, which concern the *a priori* accuracy as well as those that express the *a posteriori* accuracy, are collected on Table 2 and further commented below this table.

The laser scanners are different in their performance: different scanning speed and different vertical field of view (see Table 1). Both sensors are also different in their accuracy: 1.4 mm at 50 m and 2 mm at 25 m. (see Table 1). For the specific case of the two portals data acquisition, the *a priori* accuracy values (as well as the *a posteriori* values) are collected on Table 2 and further commented immediately below.

The total station was chosen for its performance and high accuracy. In any case, this last issue is not very important since its role was to provide a unique coordinate frame (see Figure 2) for all the data sets so that they could be compared to each other. In other words, it is the relative (and not the absolute) accuracy which is relevant here.

**Table 2.** *A priori* and *a posteriori* accuracies. For *a priori* photogrammetric accuracies the first term stands for planimetric accuracy whereas the second term stands for relief direction accuracy.

	<i>A Priori</i> Accuracy (mm)	<i>A Posteriori</i> Accuracy (mm)
Canon-CS	2.8/4.8	4.4
Canon-PW	2.8/4.8	3.9
Nikon-CS	3.4/5.8	5.4
Nikon-PW	3.4/5.8	3.7
Faro	3.6	4.2
Trimble	1.7	3.5

The software to process the laser scanners point clouds was Trimble Realworks for a time of flight laser scanner and Faro Scene for the phase-shift sensor, whereas the georeferencing of the points clouds according to the total station coordinates was performed in Helios in-house software (own developed software).

Finally, it should be remarked that both portals exhibit an *a priori* radiometric good behaviour. The surfaces materials are wood or stone with rich density level patterns so that matching algorithms can perform well. Figure 1 below shows examples of both set of images whereas Figure 2 shows examples of some of the Digital Surface Models obtained.

**Figure 1.** (a) Portal of San Segundo; (b) Portal of San Pedro.



(a)



(b)



**Figure 2.** (a) Perspective view of the DSM of San Pedro obtained by PW software, showing the local coordinate system; (b) Cenital view of the point cloud of San Pedro, showing the photogrammetric shooting geometry; (c) Perspective view of the DSM of San Pedro obtained by CS software; (d) Perspective view of the DSM of San Pedro obtained by Trimble GX laser scanner; (e) Perspective view of the DSM of San Pedro obtained by Faro Photon 80 laser scanner.

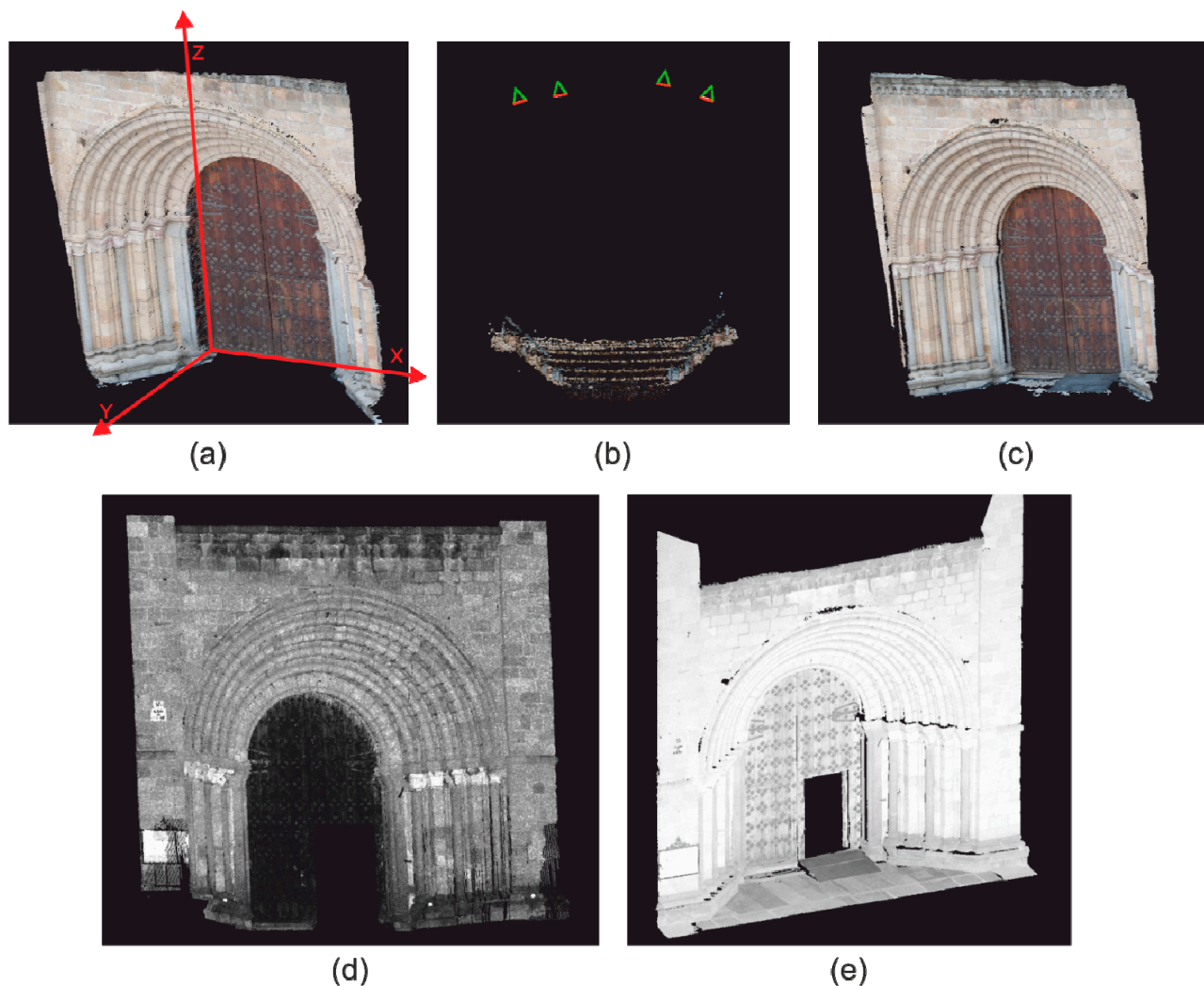


Table 2 shows values of the *a priori* and *a posteriori* accuracy of the datasets. For the *a priori* photogrammetric accuracy, the Ground Sample Distance (GSD) is assumed for planimetry ( $XZ$  plane) while  $GSD \cdot D/B$  is assumed for the relief direction ( $Y$  axis), where  $D$  is the average distance along the  $Y$  axis between camera stations and object and  $B$  is the maximum distance between camera stations. For the *a priori* laser scanning accuracy, the Reshetyuk equation [28] is used. For the photogrammetric case, a posterior accuracy, the sigma naught of the bundle adjustment “projected” on the object (by means of the quotient between the GSD and the pixel size) is used. For the *a posteriori* laser scanning accuracy, the root mean square error (RMSE) of geo-referencing of the point cloud with the Ground Control Points (GCP) is used. In order to provide a good assessment of the degree of agreement between DSM from photogrammetry and laser scanning the following procedure was assumed:

- (a) To match the points of the laser scanning DSM to the points of the photogrammetric DSM a minimum distance approach was applied.
- (b) Once a pair of points was set, the difference of the three coordinate components was evaluated. This threefold strategy is due to the fact that a 2.5D photogrammetric structure configuration must be assumed rather than a 3D one and a different behaviour for the fundamental plane  $XZ$  and for the relief direction ( $Y$ ) must be expected.  $X$  is the width dimension of the portal,  $Z$  is the height of the portal;  $Y$  is the depth of the portal.

## 5. Experimental Results

As a previous step and in order to assess the agreement between photogrammetric results and laser scanner results, the non-parametric correlation coefficients were computed, by means of Spearman's correlation coefficient. On Table 3 the results for the Spearman coefficient between data sets for the three coordinates are collected. From them we can see a very high and consistent agreement regarding the  $X$  and  $Z$  coordinates (higher for the  $Z$  case) and a not so high and not so consistent agreement for the  $Y$  coordinate. These results confirm that photogrammetric and laser scanning derived point clouds are very largely equivalent for the planimetric dimensions ( $XZ$ ), but that along depth dimension ( $Y$ ) the agreement should be addressed much more carefully.

**Table 3.** Spearman's correlation between laser scanning and photogrammetric sensors.

Case	Laser	Camera	Software	$X$	$Y$	$Z$			
San Pedro	Faro	Canon	PW	0.9992	0.9868	0.9997			
			CS	0.9998	0.6751	0.9994			
		Nikon	PW	0.9998	0.9965	0.9971			
			CS	0.9999	0.9948	0.9999			
	Trimble	Canon	PW	0.8731	0.6084	0.9328			
			CS	0.7981	0.4232	0.9991			
		Nikon	PW	0.9998	0.9977	0.9996			
			CS	0.9997	0.9849	0.9997			
				San Segundo	Faro	Canon	PW	0.9702	0.9520
			CS			0.9998	0.6219	0.9994	
Nikon	PW	0.9999	0.9993		0.9995				
	CS	0.9957	0.8806		0.9987				
Trimble	Canon	PW	0.9999	0.9950	0.9998				
		CS	0.9996	0.9178	0.9992				
	Nikon	PW	0.9998	0.9857	0.9992				
		CS	0.9985	0.8766	0.9985				

After this, and in order to assess how well the Gaussian and non-Gaussian parameters, discussed on Section 4, describe the differences between pairs of data sets, for both portals, the items that appear on Table 4 (first column) were computed for the three coordinate components ( $X, Y, Z$ ) for each of the comparisons resulting from the laser scanners (Faro Photon 80, Trimble GX) against the photogrammetric approaches (PW, CS) using digital cameras (Canon 500D, Nikon D80). This means that, as described on the previous section, for each pair of data sets (one from scanner laser and the

other from photogrammetry) and for each of the three coordinates, a new data set was computed consisting of the difference between matching points from both original data sets. Once obtained this “discrepancy set” the items of the first column were computed by means of statistical tools of Matlab software as well as own implemented statistical software (STAR). Certainly, not all these parameters have the same significance but they are shown here for illustration purposes. Table 4 is an example showing the results obtained for the *Z* component.

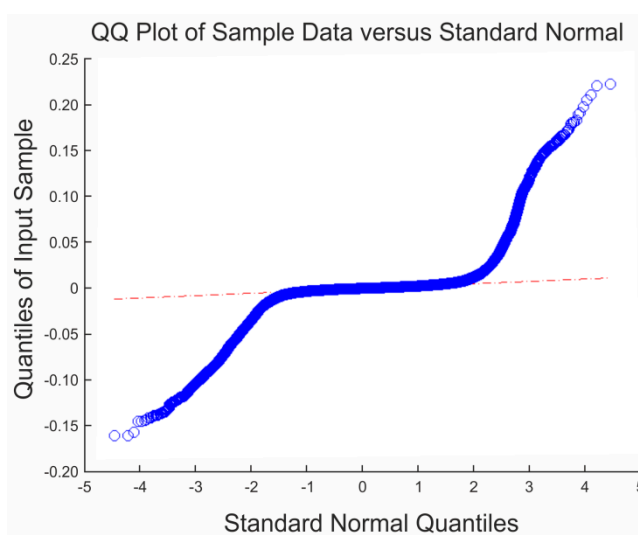
**Table 4.** Statistical values calculated for the *Z* discrepancies in the case of the portal of San Pedro. *SEM* stands for Standard Error of the Mean. *LCI-UCI*, for Lower-Upper Confidence Interval. *MAD* stands for Median Absolute Deviation. *BWMV*, for Biweight Midvariance.  $\pm 2.326 \cdot \sigma$ , for the range of values of the frequencies Gaussian distribution curve that leaves outside 2% of the sample (see text below for an explanation) *LB-UP*, for Lower-Upper percentage of Blunders (see below for an explanation). All values except Kurtosis and Skewness (adimensional) and percentages are in meters.

	FARO				TRIMBLE			
	Canon		Nikon		Canon		Nikon	
	PW	CS	PW	CS	PW	CS	PW	CS
Sample size (n)	125,379	164,950	138,089	156,154	93,028	128,412	105,628	118,837
Min (m)	-0.1124	-0.1494	-0.0786	-0.0984	-0.1085	-0.1362	-0.1041	-0.1132
Max (m)	0.1742	0.1474	0.0713	0.1294	0.2004	0.1660	0.1104	0.1132
Sample mean (m)	0.0010	0.0011	0.0007	0.0012	0.0007	0.0009	0.0007	0.0009
Standard deviation (m)	0.0084	0.0088	0.0038	0.0045	0.0093	0.0090	0.0056	0.0049
Median (m)	0.0003	0.0006	0.0003	0.0006	0.0001	0.0005	0.0003	0.0005
Quantile 25 (m)	-0.0011	-0.0013	-0.0009	-0.0013	-0.0015	-0.0013	-0.0010	-0.0015
Quantile 75 (m)	0.0019	0.0027	0.0016	0.0027	0.0017	0.0024	0.0016	0.0025
SEM (m)	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
LCI of the mean (m)	0.0010	0.0010	0.0007	0.0012	0.0007	0.0008	0.0006	0.0008
UCI of the mean (m)	0.0011	0.0011	0.0007	0.0012	0.0008	0.0009	0.0007	0.0009
LCI of the SD (m)	0.0084	0.0088	0.0038	0.0045	0.0093	0.0089	0.0056	0.0049
UCI of the SD (m)	0.0084	0.0089	0.0038	0.0045	0.0094	0.0090	0.0056	0.0049
MAD (m)	0.0015	0.0020	0.0012	0.0020	0.0016	0.0019	0.0013	0.0020
SQRT(BWMV) (m)	0.0026	0.0035	0.0021	0.0033	0.0028	0.0031	0.0023	0.0031
Percentile 0.1 (m)	-0.0029	-0.0034	-0.0020	-0.0028	-0.0037	-0.0031	-0.0025	-0.0030
Percentile 0.9 (m)	0.0048	0.0075	0.0038	0.0060	0.0045	0.0059	0.0042	0.0049
Kurtosis (adim.)	93.59	29.07	37.11	33.75	104.11	39.79	74.62	60.53
Skewness (adim.)	6.11	-0.02	0.94	1.64	6.89	0.48	2.97	2.72
Percentile 0.01(m)	-0.0159	-0.0272	-0.0073	-0.0073	-0.0168	-0.0274	-0.0120	-0.0092
Percentile 0.99 (m)	0.0288	0.0311	0.0140	0.0162	0.0302	0.0327	0.0175	0.0168
$\pm 2.326 \cdot \sigma$ (m)	$\pm 0.0196$	$\pm 0.0205$	$\pm 0.0087$	$\pm 0.0105$	$\pm 0.0217$	$\pm 0.0209$	$\pm 0.0130$	$\pm 0.0114$
LB ( $-2.326 \cdot \sigma$ ) (%)	0.72%	1.66%	0.71%	0.43%	0.65%	1.54%	0.88%	0.65%
UB ( $+2.326 \cdot \sigma$ ) (%)	1.78%	2.32%	2.78%	3.93%	1.45%	2.11%	1.84%	2.41%

The last five rows of Table 4 show the behaviour of gross errors according to the following criteria: *Percentile 0.01* and *Percentile 0.99* collect the observed values that correspond to such percentiles whereas  $\pm 2.326\sigma$ , shows the value of observations that lie outside the range of a standard deviation of  $\pm 2.326$ . This threshold is chosen to agree with the percentile 0.01–0.99 criteria, that is, that includes 98% of the sample (or leaves out 2% of the sample). The two last rows show the percentage of observations whose value is smaller than  $-2.326\sigma$  or larger than  $+2.326\sigma$ , respectively (according to strict Gaussian theory it should always be 1%).

Besides this, the QQ-plots of all of the 48 comparisons were obtained. Figure 3 shows two examples. From the visualization of every one of these plots it could be concluded that there is a large departure from the normal distribution of all the samples due to the presence of gross errors.

**Figure 3.** Examples of QQ-plots.



In order to assess and discuss the results, the following Tables 5 and 6 show a synthesis of all the comparisons for each of the portals. Each cell gives the average and the [minimum ; maximum] interval are presented for the following parameters: Mean (mm), Standard Deviation (mm), Median (mm), Interquartile (Q25–Q75) (mm), Median Absolute Deviation (MAD) (mm), Biweight Midvariance (BWMV) (mm), Kurtosis (adimensional), Skewness (adimensional) and percentage of left (lower) and right (upper) blunders (values larger than  $\pm 2.326$ ). From these tables it is possible to highlight the following issues:

The sample mean should show that a certain disagreement is presented between the data sets. For the  $X$  coordinate in San Segundo, the values are slightly smaller than 1 mm and for the  $Z$ , the values are even smaller. All the results are very consistent, with small deviations within them and always with the same sign for  $X$  and  $Z$ . In the case of San Pedro a systematic effect occurs between data sets that involve Faro or Trimble laser scanner (Table 7). The total displacement between both lasers samples is around 2 mm, affects the width dimension ( $X$ ) and does not appear on the height dimension ( $Z$ ). This question also appears when looking at the median values (see infra) and could be due to an  $X$  shift when referencing both laser data sets at San Pedro.

**Table 5.** Synthesis of parametric and non-parametric statistical results for the portal of San Segundo. All magnitudes, except Kurtosis and Skewness (adimensional) and percentages, are in millimetres. *LB-UB* stand for Lower-Upper Blunders (see text for explanation).

	<i>X</i>	<i>Y</i>	<i>Z</i>
Sample mean	-1.0 ∈ [-1.6; -0.4]	2.8 ∈ [-2.0; 7.8]	0.1 ∈ [0.0; 0.5]
Standard deviation	5.4 ∈ [3.6; 7.7]	9.2 ∈ [4.8; 19.3]	4.5 ∈ [2.6; 6.9]
Median	-0.3 ∈ [-0.5; -0.1]	2.4 ∈ [-1.6; 7.7]	0.1 ∈ [0.0; 0.2]
Quantile 25	-1.8 ∈ [-2.6; -1.2]	-0.7 ∈ [-4.3; 3.5]	-1.3 ∈ [-1.8; -1.1]
Quantile 75	1.2 ∈ [0.9; 1.6]	5.9 ∈ [0.6; 12.2]	1.5 ∈ [1.0; 2.0]
<i>MAD</i>	1.5 ∈ [1.1; 2.0]	3.3 ∈ [2.2; 4.6]	1.4 ∈ [1.0; 1.9]
$\sqrt{BWMV}$	2.4 ∈ [1.8; 3.2]	5.0 ∈ [3.4; 6.7]	2.3 ∈ [1.8; 3.0]
Kurtosis	61.68 ∈ [18.72; 149.71]	129.48 ∈ [26.47; 496.93]	125.84 ∈ [28.22; 311.97]
<i>LB</i>	0.28% ∈ [0.05; 0.50]	2.36% ∈ [0.14; 4.08]	1.59% ∈ [0.97; 2.28]
<i>UB</i>	2.96% ∈ [1.52; 5.14]	0.73% ∈ [0.11; 1.87]	1.32% ∈ [0.83; 1.59]

**Table 6.** Synthesis of parametric and non-parametric statistical results for the portal of San Pedro. All magnitudes, except Kurtosis and Skewness (adimensional) and percentages, are in millimeters. *LB-UB* stand for Lower-Upper Blunders (see text for explanation).

	<i>X</i>	<i>Y</i>	<i>Z</i>
Sample mean	0.0 ∈ [-2.0; 2.0]	-0.1 ∈ [-1.4; 1.6]	0.9 ∈ [0.7; 1.2]
Standard deviation	11.2 ∈ [5.4; 25.7]	11.9 ∈ [7.0; 24.1]	6.8 ∈ [3.8; 9.3]
Median	0.2 ∈ [-1.0; 2.7]	-0.2 ∈ [-1.5; 2.7]	0.4 ∈ [0.1; 0.6]
Quantile 25	-2.0 ∈ [-3.4; -1.0]	-3.4 ∈ [-5.9; -1.6]	-1.2 ∈ [-1.5; -0.9]
Quantile 75	2.2 ∈ [1.0; 6.8]	2.8 ∈ [1.7; 7.6]	2.1 ∈ [1.6; 2.7]
<i>MAD</i>	2.1 ∈ [1.3; 4.4]	3.1 ∈ [1.8; 4.9]	1.7 ∈ [1.2; 2.0]
$\sqrt{BWMV}$	3.7 ∈ [2.4; 8.4]	5.2 ∈ [2.9; 8.1]	2.8 ∈ [2.1; 3.5]
Kurtosis	41.41 ∈ [21.39; 61.42]	101.66 ∈ [18.21; 341.64]	59.07 ∈ [29.07; 104.11]
<i>LB</i>	1.56 ∈ [0.21; 2.74]	1.27 ∈ [0.78; 1.91]	2.33 ∈ [1.45; 3.93]
<i>UB</i>	1.81 ∈ [0.28; 4.86]	1.49 ∈ [0.30; 2.98]	0.91 ∈ [0.43; 1.66]

**Table 7.** Systematic effect that appears between both laser data sets concerning the width dimension (*X*) in San Pedro. The systematic trend involves the mean and the median. Values in millimetres.

	FARO				TRIMBLE			
	Canon		Nikon		Canon		Nikon	
	PW	CS	PW	CS	PW	CS	PW	CS
Sample mean	-1.3	-1.3	-1.0	-2.0	1.3	2.0	1.5	0.6
Median	-0.1	-0.4	-0.2	-1.0	0.2	2.7	0.4	0.0

Regarding the depth dimension (*Y* coordinate) in the case of San Pedro it can be appreciated that the values are very similar to the planimetric dimensions values, but that the range variation of these values is slightly higher (and, thus, worse) than the planimetric ones. In the case of San Segundo, there appears a difference between 1 and 2 mm between photogrammetry and laser scanner for five of the cases and of 5, 6 and 7 mm for the other three cases which always involve the Trimble laser scanner.

Consequently, although there seems to be a discrepancy between photogrammetry and laser scanner this is too small to declare as significant. In any case, if some systematic trend is appearing it affects the comparative performance between the scanners rather than between the laser scanning and the photogrammetric ones (see Table 7).

Regarding the values of the median, it must be said, first of all, that they always confirm the behaviour that has been stated above concerning the values of mean. The values of the median are sometimes smaller than the values of the mean, sometimes equivalent and sometimes larger but the differences are always very small and always affect the three coordinate components  $X$ ,  $Y$  and  $Z$  in a very consistent way.

In addition, under the assumption that the sample median is a more robust estimator than the mean is, the values of this parameter should show a significant disagreement between the data sets but this disagreement is not apparent from the values that have been obtained: the median shows consistently the same small discrepancies that the mean does. Thus, it can be concluded that the existence of blunders or observations that do not conform to the Normal Distribution does not have an influence on the disagreement between data sets. But, as has been said before, the discrepancies are too small to be regarded as significant.

Concerning the values of the standard deviation, it can be seen that for  $X$  and  $Z$ , in the case of San Segundo, consistent values around 5 mm are obtained and not as much consistent values around 8 mm are obtained for  $Y$ . This confirms what is predicted by theory: the precision along the depth direction ( $Y$ ) is worse than for the planimetric coordinates ( $X$ ,  $Z$ ). For these cases (San Segundo), the maximum values (up to 17 mm along  $Y$  direction) are obtained when using the Nikon camera and the commercial photogrammetric software (CS).

In San Pedro, the standard deviation results are worse than for San Segundo, concerning the  $X$  and  $Z$  coordinates: around 11 mm for the former and around 7 mm for the latter. Also, the consistency is not as high as in the San Segundo case: for both dimensions, the results for the Canon camera are twice as worse than for the Nikon camera. The  $Y$  results are also worse than the results of San Segundo, about 11 mm, and also show the same differential performance between both cameras.

In any case, the values of the standard deviation are always worse than the a priori values that should be expected from theory. They also show that the  $Y$  dimension accuracy is worse than the  $X$ - $Z$  dimensions accuracy at should be expected. This fact is not as clear for San Pedro as it is for San Segundo.

When analysing the percentage of blunders, the first result that must be remarked is that this percentage is 1.6% on average, that is, slightly above what should be expected (1%) and this is not an excessive number of outliers, but much more relevant than this raw and small number is the large variety of results that can be found: from sets that only show 0.05% of blunders to sets where the number of gross errors is 5.4%. Furthermore: there is almost always a significant lack of symmetry between the number of gross errors at the left (lower) and at the right (upper) on the distribution of the frequencies curve. So, what should be highlighted is that it is not a matter of a high number of blunders but of an asymmetric distribution of them.

Confirming what has just been said, all the values that are obtained for the interquartiles as well as for the skewness, show that the data sets do not conform to the symmetry of the Normal curve. It should be remembered that this result is also apparent from the QQ-plots. It should be added that the values of the quantiles (Q25 and Q75) and the median are always consistent with the values of the

skewness showing a lack of symmetry to the left or to the right. The consistency is also high for all the comparisons within the same dimension,  $X$ ,  $Y$  or  $Z$ .

Finally, when examining the values of the Median Absolute Deviation (MAD) and the Biweight Midvariance (BWMV), very consistent results are achieved for  $X$  and  $Z$ , slightly better for  $Z$  than for  $X$ . This behaviour ( $Z$  better than  $X$ ) can be due to the fact that both portals are less complex (in their shapes) along height ( $Z$ ) direction than along width ( $X$ ) direction. It must also be noted that the  $X$ - $Z$  values are significantly better in the case of San Segundo than in the case of San Pedro. This could be related to the same explanation: San Pedro surfaces are more articulated than San Segundo surfaces. In any case, the values of the Biweight Midvariance for  $X$  and  $Z$  lie between 2 and 4 mm and these values certainly meet the a priori expectations. It should be remembered that, on the contrary, the standard deviation values do not meet what the theory predicts.

The *MAD* and *BWMV* results for the depth dimension ( $Y$  coordinate) are also very consistent within them. They are also very similar between the two portals. These values also meet the a priori expectations and, therefore, also show the relation with the planimetric accuracy values that theory states. In addition, this relation between the accuracy of  $X$ - $Z$  dimensions and  $Y$  dimension, expressed through *MAD* and *BWMV*, is much clear than when it is expressed through the standard deviation.

## 6. Conclusions

The DSMs obtained from photogrammetry are largely equivalent to the DSMs obtained from the laser scanner. Some very small inconsistencies have arisen, but these affect the comparative performance of the laser scanners or the comparative performance of the cameras rather than the comparative performance of photogrammetry and laser scanning.

All sets show a large lack of symmetry that leads to the conclusion that the standard Normal parameters are not adequate to assess this type of data. The Normal distribution fails to appropriately describe the data for the cases that have been examined. In particular, this is especially the case when assessing accuracy through the standard deviation, since this parameter fails to provide a good estimation of the results.

Use of non-Normal statistics gives a more appropriate description of the data and yields results that meet what may be expected concerning the assessment of accuracy. The results obtained for the Median Absolute Deviation and for the Biweight Midvariance agree with the values predicted by the theory.

This can be extended to what usually happens in photogrammetry in a 2.5D case. The planimetric dimensions show better results than the relief (depth) dimension according roughly to the factor  $D/B$  (distance to the object-camera base quotient). Some results appear to agree with the shape itself of the objects but these values are not apparent enough to consider them a straightforward conclusion.

Regarding future work and in order to extend the validity of these results in the wider field of imaging, this type of experiment could be applied to other cases in which some other shapes, depth variations, images settings or some other network designs (to include real 3D cases) should be analysed. Also, other materials (metal or uniformly painted walls) that should not be as favourable as the ones tested here (wood and stone) must be considered. Of course, more hardware and software should be tested to extend the validity of the conclusions presented here.

## Author Contributions

All authors contributed extensively to the work presented in this paper.

## Conflicts of Interest

The authors declare no conflict of interest.

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## 5. CONCLUSIONES

En esta Tesis Doctoral se han propuesto, desarrollado y probado diferentes metodologías para la documentación geométrica del patrimonio arquitectónico y su análisis dimensional mediante métodos de bajo coste basados en la fotogrametría de una y múltiples imágenes. Estos métodos resultan sencillos, rápidos en su aplicación, acortan los tiempos de trabajo de campo sin pérdida de calidad respecto a otros sistemas y son accesibles para la mayoría de los técnicos intervinientes en la conservación del patrimonio arquitectónico, implicándolos de una forma más activa en el proceso del levantamiento arquitectónico.

A continuación se pasan a reseñar las conclusiones más relevantes asociadas a cada una de las tres líneas de trabajo desarrolladas:

- En primer lugar, se ha planteado en esta Tesis Doctoral un método de trabajo para realizar el análisis dimensional y la reconstrucción parcial 3D de un edificio histórico desaparecido, del que sólo existen imágenes individuales, utilizando para ello los principios geométricos de la fotogrametría oblicua. El caso de estudio ha sido la desaparecida “Torre de la Goberna”, una de las dos torres que caracterizaban la imagen del medieval puente de piedra sobre el Duero, en Zamora, que fue demolida tras la reforma de 1905. El método desarrollado ha permitido la obtención de medidas a partir de una sola fotografía antigua para afrontar posteriormente la reconstrucción parcial, métrica y gráfica, de la Torre.

El método propuesto para el análisis dimensional y reconstrucción parcial 3D de un edificio desaparecido a partir de una única fotografía antigua está basado en el software sv3DVision (Gonzalez-Aguilera y Gomez-Lahoz, 2008), desarrollado en el año 2005 en la Universidad de Salamanca. Este software permite llevar a cabo los procesos de extracción de líneas y puntos de fuga, los procesos de orientación (interna y externa) de la imagen, así como los procesos de análisis dimensional y reconstrucción parcial del objeto.

En el caso de la Torre de Goberna la primera fase del proceso, consistente en la extracción y clasificación de las líneas de fuga, se ha realizado de forma asistida por

el usuario, debido a que la imagen antigua presenta unas pésimas condiciones de color y además la perspectiva en la dirección vertical (punto de fuga cercano al infinito) es muy pobre. En cambio, la segunda fase del proceso, consistente en el cálculo de los 3 puntos de fuga asociados a las direcciones X,Y,Z, se ha aplicado con éxito de forma automática mediante un método específico basado en la Transformada de Hough, garantizando eficiencia y calidad en los resultados, incluso en la reseñada dirección vertical donde la intersección de las líneas de fuga representa un caso desfavorable.

En la última fase, como resultado del análisis dimensional, se ha obtenido un error relativo del 1% en la evaluación de la exactitud de los resultados. Este valor puede ser considerado suficientemente satisfactorio ya que el método de modelado basado en una imagen implica la concatenación de muchos pasos y por lo tanto la correspondiente propagación de errores.

No obstante, conviene reseñar que el método sólo es aplicable en escenas arquitectónicas regulares con fuerte contenido geométrico (es decir, la presencia de planos estructurales y aristas) y donde son aplicables restricciones geométricas en forma de planeidad, ortogonalidad y paralelismo entre sus formas. Además, la imagen debe tener perspectiva a lo largo de las tres direcciones principales (X, Y, Z) con el fin de calcular los tres puntos de fuga correspondientes (VPX, VPY, VPZ). Obviamente, si estos puntos de fuga están bien definidos, se puede conseguir mayor automatismo, precisión y fiabilidad en el modelado basado en una única imagen.

- Por otro lado, se ha demostrado en esta Tesis Doctoral la capacidad de los programas fotogramétricos basados en múltiples imágenes que se orientan hacia la automatización total del proceso a la hora de obtener modelos cartográficos válidos para la interpretación arquitectónica de edificios religiosos. Con una metodología de trabajo que resulta ser, tanto en la fase de toma como en la fase de procesamiento, relativamente sencilla pueden obtenerse resultados de alta calidad métrica y gráfica.

El enfoque desarrollado mejora las técnicas actuales para el registro del patrimonio cultural arquitectónico, siendo adecuado para llevar a cabo un estudio de clasificación tipológica, donde la reducción de los tiempos de captura de imágenes permite al investigador documentar un gran número de edificios en poco tiempo y

por personal no especializado, debido a la simplicidad del proceso. Además, dada la alta resolución de los modelos obtenidos, el método podría ser utilizado en proyectos que requieran escalas superiores: (1/100 – 1/50).

Algunas de las ventajas del método propuesto son: reducción de los tiempos de trabajo de campo sin pérdida de precisión, facilidad para sistematizar el proceso de toma siguiendo un sencillo protocolo y facilidad y rapidez en su aplicación, acometiendo levantamientos de edificios y fachadas de calidad, en los que junto a la geometría se obtiene información de la textura del edificio.

La calidad gráfica de los modelos generados se basa en buena medida en la cantidad de puntos calculados: entre 20 y 50 millones de puntos, lo que sitúa a la metodología fotogramétrica en niveles de resolución similares o incluso superiores a los obtenidos mediante los sistemas láser escáner terrestre. Los tiempos de captura de imagen son más bajos que los requeridos por los sistemas láser, mientras que los tiempos de trabajo de laboratorio son similares. Sin embargo, cuando se trabaja con el láser escáner, la disponibilidad de dispositivos y la dificultad de proceso son peores que el instrumental y el software necesarios con la fotogrametría.

Las precisiones obtenidas (error medio cuadrático del ajuste del bloque) son satisfactorias (se obtienen resultados que se sitúan entre 1/4 y 1/3 de píxel), lo que se debe, en gran medida, al alto nivel de redundancias que permiten ajustar la red de toma fotográfica de forma precisa y robusta. Este elevado número de redundancias es, a su vez, debido al elevado número de imágenes capturadas. Aunque pudiera parecer un número de imágenes excesivo, debe tenerse en cuenta que los tiempos invertidos son completamente asumibles, entre 30 y 60 minutos de trabajo de campo y de 6 a 16 horas de trabajo en el laboratorio.

Los resultados son altamente coherentes entre sí lo que refrenda la calidad y fiabilidad de la metodología empleada.

Las diferencias que se aprecian en los números de imágenes obtenidas se deben principalmente a las características del entorno donde se sitúa el edificio religioso y la posibilidad o no de alejarnos lo suficiente para encuadrar todo el objeto en la imagen, así como a las dimensiones del edificio, no traduciéndose en diferencias significativas ni en los tiempos invertidos ni las precisiones alcanzadas.

- Finalmente y como cierre de esta Tesis Doctoral, se ha propuesto un método para realizar un diagnóstico de la calidad (accuracy assessment) de los modelos fotogramétricos comparando modelos densos 3D generados mediante fotogrametría de múltiples imágenes (con distintas cámaras y con distinto software) con modelos densos 3D obtenidos mediante dos sistemas de láser escáner, de tiempo de vuelo y de diferencia de fase. Para diagnosticar dicha calidad, se han utilizado métodos estadísticos clásicos Gaussianos y robustos no paramétricos, arrojando unos resultados que verifican que dichos modelos son equivalentes en gran medida.

Bajo el supuesto de que la mediana de la muestra es un estimador más robusto que la media, los valores de este parámetro deberían mostrar discrepancias importantes entre los conjuntos de datos, pero esto no se observa con los valores obtenidos: la mediana presenta consistentemente las mismas discrepancias que la media. Por lo tanto, la existencia de errores u observaciones que no se ajustan a la distribución normal, no tienen una influencia en las discrepancias entre los conjuntos de datos.

En los dos casos analizados, los valores de la desviación estándar son siempre peores que los valores a priori esperados por la teoría. También se observa que la precisión en la dimensión Y (profundidad) es peor que en las dimensiones planimétricas (X y Z).

Habría que destacar que no se trata de un alto número de errores, sino de una distribución asimétrica de los mismos. Los conjuntos de datos no se ajustan a la simetría de la curva normal.

Al observar los valores de la Desviación Media Absoluta y la Bivarianza Ponderada se obtienen resultados muy consistentes para X y Z, y también para la dimensión de profundidad, Y. Estos resultados también cumplen las expectativas marcadas a priori y muestran la relación con los valores de la precisión planimétrica de la teoría. Esta relación entre la exactitud de las dimensiones X y Z y la dimensión Y, expresada mediante la Desviación de la Media Absoluta y la Bivarianza Ponderada es mucho más clara que cuando se expresa a través de la desviación estándar.

Todos los conjuntos de datos estudiados muestran una gran falta de simetría, debido a la presencia de errores groseros, lo que lleva a la conclusión de que los

parámetros normales estándar no son adecuados para evaluar este tipo de datos. Más concretamente, se concluye que la estadística Normal Gaussiana no puede llegar a los valores adecuados para los casos que se han examinado, en especial cuando analizamos la desviación estándar resultante de la comparación entre modelos, la cual no proporciona una buena estimación de los resultados.

En cambio, la estadística basada en métodos no paramétricos, rinde mejor y arroja resultados que cumplen con lo que cabría esperar en relación con la evaluación de la exactitud. Los resultados obtenidos por los parámetros de la Desviación de la Media Absoluta y para la Bivarianza Ponderada están de acuerdo con los valores predichos por la teoría.





ANEXO - FACTOR DE IMPACTO DE LAS PUBLICACIONES



**ARTÍCULO 1: HISTORICAL SINGLE IMAGE-BASED MODELING: THE CASE OF  
GOBIERNA TOWER, ZAMORA (SPAIN)**

**ARTÍCULO 2: A PHOTGRAMMETRIC AND COMPUTER VISION-BASED  
APPROACH FOR AUTOMATED 3D ARCHITECTURAL MODELING AND ITS  
TYPOLOGICAL ANALYSIS**

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Descripción de factor de impacto y documentación de la revista.

*Nombre de la revista:* **REMOTE SENSING**

**[www.mdpi.com/journal/remotesensing](http://www.mdpi.com/journal/remotesensing)**

*Editorial:* **MDPI**

*ISSN:* **2072-4292**

*Factor de impacto (2013):* **2.623**

*Ranking de la revista:* **6/27**

*Cuartil:* **Q1**



Journal: Remote Sensing

Mark	Journal Title	ISSN	Total Cites	Impact Factor	5-Year Impact Factor	Immediacy Index	Citable Items	Cited Half-life	Citing Half-life
	<a href="#">REMOTE SENS-BASEL</a>	2072-4292	1739	<a href="#">2.623</a>	<a href="#">2.729</a>	<a href="#">0.883</a>	316	<a href="#">2.4</a>	<a href="#">7.5</a>
<a href="#">Cited Journal</a> <a href="#">Citing Journal</a> <a href="#">Source Data</a> <a href="#">Journal Self Cites</a>									

CITED JOURNAL DATA CITING JOURNAL DATA IMPACT FACTOR TREND RELATED JOURNALS

Journal Information

**Full Journal Title:** Remote Sensing  
**ISO Abbrev. Title:** Remote Sens.  
**JCR Abbrev. Title:** REMOTE SENS-BASEL  
**ISSN:** 2072-4292  
**Issues/Year:** 12  
**Language:** ENGLISH  
**Journal Country/Territory:** SWITZERLAND  
**Publisher:** MDPI AG  
**Publisher Address:** POSTFACH, CH-4005 BASEL, SWITZERLAND  
**Subject Categories:** REMOTE SENSING [SCOPE NOTE](#)

**Eigenfactor<sup>®</sup> Metrics**  
**Eigenfactor<sup>®</sup> Score**  
 0.00559  
**Article Influence<sup>®</sup> Score**  
 0.624

[VIEW JOURNAL SUMMARY LIST](#) [VIEW CATEGORY DATA](#)

Journal Rank in Categories: [JOURNAL RANKING](#)

Journal Impact Factor

Cites in 2013 to items published in: 2012 = 421    Number of items published in: 2012 = 184  
 2011 = 421    2011 = 137  
 Sum: 842    Sum: 321  
 Calculation:  $\frac{\text{Cites to recent items}}{\text{Number of recent items}} = \frac{842}{321} = 2.623$

5-Year Journal Impact Factor

Cites in {2013} to items published in: 2012 = 421    Number of items published in: 2012 = 184  
 2011 = 421    2011 = 137  
 2010 = 423    2010 = 141  
 2009 = 195    2009 = 73  
 2008 = 0    2008 = 0  
 Sum: 1460    Sum: 535  
 Calculation:  $\frac{\text{Cites to recent items}}{\text{Number of recent items}} = \frac{1460}{535} = 2.729$

Journal Self Cites

The tables show the contribution of the journal's self cites to its impact factor. This information is also represented in the [cited journal graph](#).

<b>Total Cites</b>	1739	<b>Self Cites</b>	669 (38% of 1739)
<b>Cites to Years Used in Impact Factor Calculation</b>	842	<b>Self Cites to Years Used in Impact Factor Calculation</b>	317 (37% of 842)
<b>Impact Factor</b>	2.623	<b>Impact Factor without Self Cites</b>	1.636

Journal Immediacy Index

Cites in 2013 to items published in 2013 = 279  
 Number of items published in 2013 = 316  
 Calculation:  $\frac{\text{Cites to current items}}{\text{Number of current items}} = \frac{279}{316} = 0.883$

### Journal Cited Half-Life ▲

The cited half-life for the journal is the median age of its items cited in the current JCR year. Half of the citations to the journal are to items published within the cited half-life.

**Cited Half-Life: 2.4 years**

Breakdown of the citations *to the journal* by the cumulative percent of 2013 cites to items published in the following years:

Cited Year	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003-all
# Cites from 2013	279	421	421	423	195	0	0	0	0	0	0
Cumulative %	16.04	40.25	64.46	88.79	100.00	100.00	100.00	100.00	100.00	100.00	100

#### Cited Half-Life Calculations:

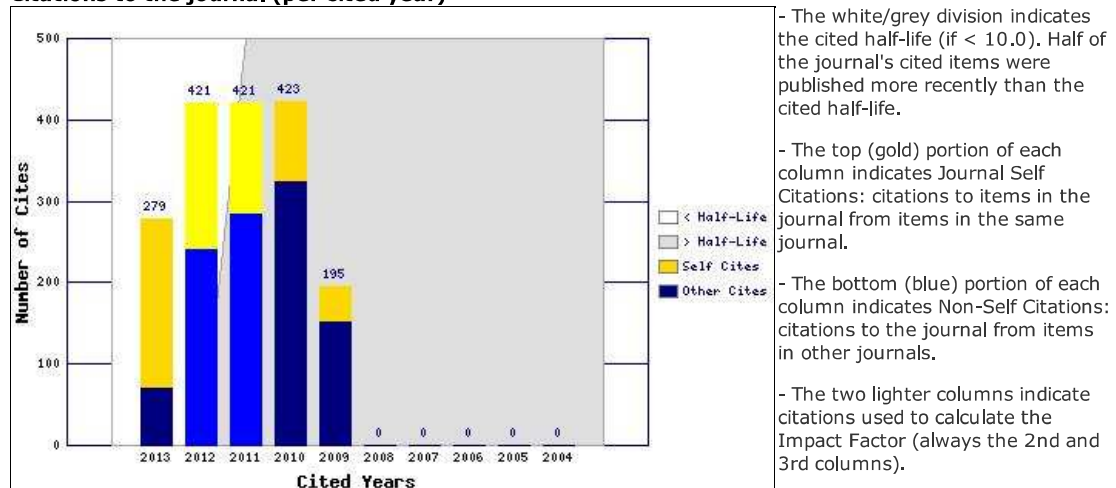
The cited half-life calculation finds the number of publication years from the current JCR year that account for 50% of citations received by the journal. Read help for more information on the calculation.

### Cited Journal Graph ▲

[Click here for Cited Journal data table](#)

This graph shows the distribution by cited year of citations to items published in the journal REMOTE SENS-BASEL.

#### Citations to the journal (per cited year)



### Journal Citing Half-Life ▲

The citing half-life for the journal is the median age of the items the journal cited in the current JCR year. Half of the citations in the journal are to items published within the citing half-life.

**Citing Half-Life: 7.5 years**

Breakdown of the citations *from the journal* by the cumulative percent of 2013 cites to items published in the following years:

Cited Year	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003-all
# Cites from 2013	746	1352	1313	1131	1027	1015	886	857	800	703	6015
Cumulative %	4.71	13.24	21.53	28.67	35.15	41.55	47.14	52.55	57.60	62.04	100

#### Citing Half-Life Calculations:

The citing half-life calculation finds the number of publication years from the current JCR year that account for 50% of citations in the journal. Read help for more information on the calculation.

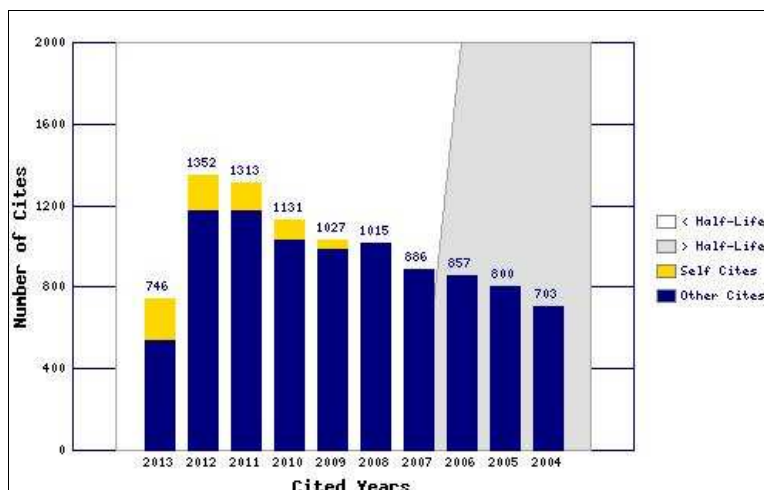
### Citing Journal Graph ▲

[Click here for Citing Journal data table](#)

This graph shows the distribution by cited year of citations from current-year items in the journal REMOTE SENS-BASEL.

#### Citations from the journal (per cited year)

- The white/grey division indicates the citing half-life (if < 10.0). Half of the citations from the journal's



current items are to items published more recently than the citing half-life.

- The top (gold) portion of each column indicates Journal Self-Citations: citations from items in the journal to items in the same journal.

- The bottom (blue) portion of each column indicates Non-Self Citations: citations from the journal to items in other journals.

### Journal Source Data ▲

	Citable items			Other items
	Articles	Reviews	Combined	
<b>Number in JCR year 2013 (A)</b>	311	5	316	5
<b>Number of references (B)</b>	14620	1197	15817	28.00
<b>Ratio (B/A)</b>	47.0	239.4	50.1	5.6

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 Rank in Category: Remote Sensing

**Journal Ranking** 

For **2013**, the journal **Remote Sensing** has an Impact Factor of **2.623**.

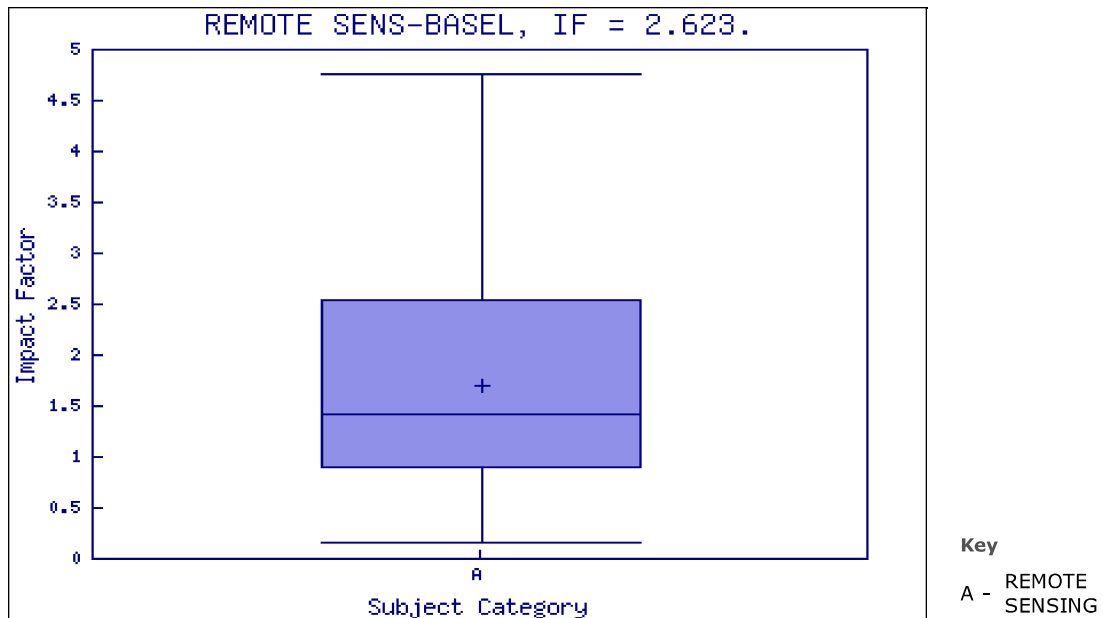
This table shows the ranking of this journal in its subject categories based on Impact Factor.

Category Name	Total Journals in Category	Journal Rank in Category	Quartile in Category
REMOTE SENSING	27	6	Q1

**Category Box Plot** 

For **2013**, the journal **Remote Sensing** has an Impact Factor of **2.623**.

This is a box plot of the subject category or categories to which the journal has been assigned. It provides information about the distribution of journals based on Impact Factor values. It shows median, 25th and 75th percentiles, and the extreme values of the distribution.





**ARTÍCULO 3: CONFRONTING PASSIVE AND ACTIVE SENSORS WITH NON-  
GAUSSIAN STATISTIC**

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Descripción de factor de impacto y documentación de la revista.

Nombre de la revista: **SENSORS**

**[www.mdpi.com/journal/sensors](http://www.mdpi.com/journal/sensors)**

Editorial: **MDPI**

ISSN: **1424-8220**

Factor de impacto (2013): **2.048**

Ranking de la revista: **10/57**

Cuartil: **Q1**





## Journal Self Cites ▲

The tables show the contribution of the journal's self cites to its impact factor. This information is also represented in the [cited journal graph](#).

<b>Total Cites</b>	9689	<b>Self Cites</b>	1323 (13% of 9689)
<b>Cites to Years Used in Impact Factor Calculation</b>	3315	<b>Self Cites to Years Used in Impact Factor Calculation</b>	689 (20% of 3315)
<b>Impact Factor</b>	2.048	<b>Impact Factor without Self Cites</b>	1.622

## Journal Immediacy Index ▲

Cites in 2013 to items published in 2013 = 332  
 Number of items published in 2013 = 955  
 Calculation:  $\frac{\text{Cites to current items}}{\text{Number of current items}} = \frac{332}{955} = 0.348$

## Journal Cited Half-Life ▲

The cited half-life for the journal is the median age of its items cited in the current JCR year. Half of the citations to the journal are to items published within the cited half-life.

**Cited Half-Life: 3.7 years**

Breakdown of the citations *to the journal* by the cumulative percent of 2013 cites to items published in the following years:

Cited Year	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003-all
<b># Cites from 2013</b>	332	1770	1545	1667	1698	1471	641	252	71	31	211
<b>Cumulative %</b>	3.43	21.69	37.64	54.85	72.37	87.55	94.17	96.77	97.50	97.82	100

### Cited Half-Life Calculations:

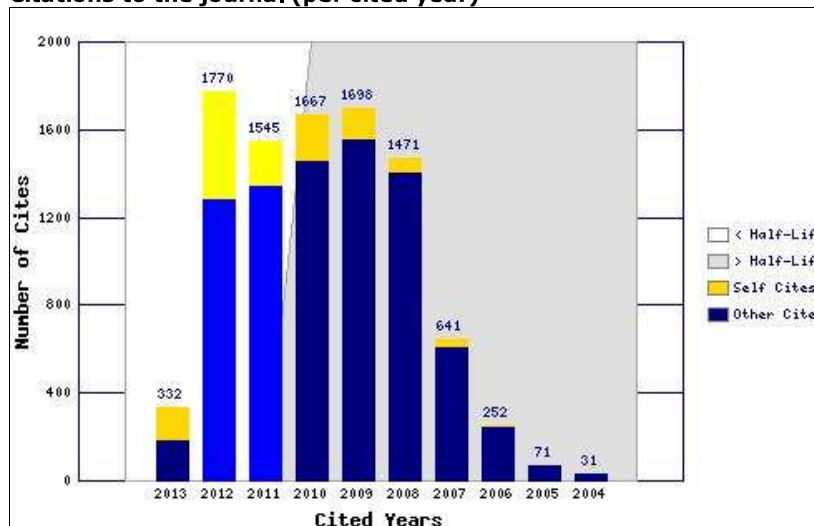
The cited half-life calculation finds the number of publication years from the current JCR year that account for 50% of citations received by the journal. Read help for more information on the calculation.

## Cited Journal Graph ▲

[Click here for Cited Journal data table](#)

This graph shows the distribution by cited year of citations to items published in the journal SENSORS-BASEL.

### Citations to the journal (per cited year)



- The white/grey division indicates the cited half-life (if < 10.0). Half of the journal's cited items were published more recently than the cited half-life.
- The top (gold) portion of each column indicates Journal Self Citations: citations to items in the journal from items in the same journal.
- The bottom (blue) portion of each column indicates Non-Self Citations: citations to the journal from items in other journals.
- The two lighter columns indicate citations used to calculate the Impact Factor (always the 2nd and 3rd columns).

## Journal Citing Half-Life ▲

The citing half-life for the journal is the median age of the items the journal cited in the current JCR year. Half of the citations in the journal are to items published within the citing half-life.

**Citing Half-Life: 6.6 years**

Breakdown of the citations **from the journal** by the cumulative percent of 2013 cites to items published in the following years:

Cited Year	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003-all
# Cites from 2013	1240	3403	3272	3162	2813	2549	2204	2013	1790	1522	11576
Cumulative %	3.49	13.06	22.27	31.16	39.08	46.25	52.45	58.11	63.15	67.43	100

#### Citing Half-Life Calculations:

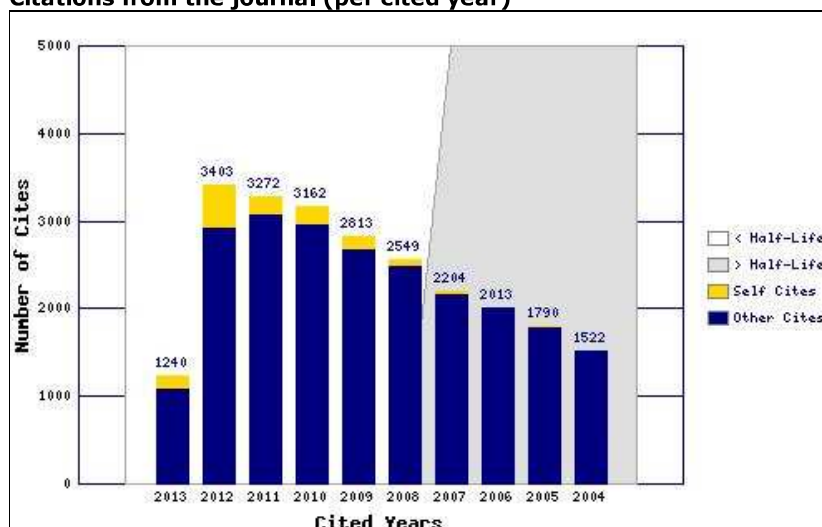
The citing half-life calculation finds the number of publication years from the current JCR year that account for 50% of citations in the journal. Read help for more information on the calculation.

#### Citing Journal Graph

[Click here for Citing Journal data table](#)

This graph shows the distribution by cited year of citations from current-year items in the journal SENSORS-BASEL.

#### Citations from the journal (per cited year)



- The white/grey division indicates the citing half-life (if < 10.0). Half of the citations from the journal's current items are to items published more recently than the citing half-life.

- The top (gold) portion of each column indicates Journal Self-Citations: citations from items in the journal to items in the same journal.

- The bottom (blue) portion of each column indicates Non-Self Citations: citations from the journal to items in other journals.

#### Journal Source Data

	Citable items			Other items
	Articles	Reviews	Combined	
Number in JCR year 2013 (A)	875	80	955	10
Number of references (B)	27140	8262	35402	142.00
Ratio (B/A)	31.0	103.3	37.1	14.2

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 Rank in Category: **SENSORS****Journal Ranking** 

For **2013**, the journal **SENSORS** has an Impact Factor of **2.048**.

This table shows the ranking of this journal in its subject categories based on Impact Factor.

Category Name	Total Journals in Category	Journal Rank in Category	Quartile in Category
CHEMISTRY, ANALYTICAL	76	36	Q2
ELECTROCHEMISTRY	27	15	Q3
INSTRUMENTS & INSTRUMENTATION	57	10	Q1

**Category Box Plot** 

For **2013**, the journal **SENSORS** has an Impact Factor of **2.048**.

This is a box plot of the subject category or categories to which the journal has been assigned. It provides information about the distribution of journals based on Impact Factor values. It shows median, 25th and 75th percentiles, and the extreme values of the distribution.

