

1           Roads to improve the performance of hybrid  
2   thermosolar gas turbine power plants: working fluids  
3           and multi-stage configurations

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7   **Abstract**

This paper presents a general thermodynamic model for hybrid Brayton central tower thermosolar plants. These plants have been proved to be technically feasible but R+D efforts need to be done in order to improve its commercial interest. From the thermodynamic viewpoint it is necessary to increase its performance to get larger power production with reduced fuel consumption, and so reduced emissions. We develop a model for multi-step compression and expansion stages with that aim. The model is flexible and allows to simulate recuperative or non-recuperative plants, with an arbitrary number of stages and working with different subcritical fluids. The results for multi-step configurations are compared with those obtained for a plant with one turbine and one compressor. Different working fluids are analyzed, including air, nitrogen, carbon dioxide, and helium. Several plant layouts and the corresponding optimal pressure ratios are analyzed. It is concluded that configurations with two-stages compression with intercooling combined with one or two expansion stages can significantly improve overall plant efficiency and lower fuel consumption. Power block efficiencies can reach 0.50 and overall plant efficiency can attain values about 0.40 working with air or CO<sub>2</sub>. For instance, comparing with a single stage plant running with air, a plant with subcritical CO<sub>2</sub>, two compression stages with intercooling and single step expansion can reach an overall efficiency about 19% larger and a fuel conversion rate around 23% larger. For such configuration, the specific fuel consumption is predicted to be about 108 kg/(MW h) at design point conditions.

8   *Keywords:*

<sup>9</sup> Thermosolar hybrid power plants, Multi-stage Brayton cycle, Overall plant  
<sup>10</sup> efficiency, Improved plant design  
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12 **Nomenclature**

13	$A_a$	aperture area of the solar field
14	$A_r$	solar receiver area
15	$a_c$	isentropic compressor pressure ratio
16	$a_t$	isentropic turbine pressure ratio
17	$C$	solar collector concentration ratio
18	$c_w$	specific heat of the working fluid
19	$f$	solar share
20	$G$	direct solar irradiance
21	$h_1$	radiation heat loss coefficient for the solar collector
22	$h_2$	effective convection and conduction loss coefficient for the solar collector
23		
24	$\dot{m}$	mass flow rate of the working substance
25	$\dot{m}_f$	fuel mass flow rate in the main combustion chamber
26	$\dot{m}_{fi}$	fuel mass flow rate in reheaters
27	$P$	power output
28	$ \dot{Q}_C $	heat losses at the combustion chamber
29	$ \dot{Q}_H $	total heat-transfer rate absorbed from the working fluid
30	$ \dot{Q}_{iHC} $	heat losses at the heat exchanger associated to the combustion chamber
31		
32	$ \dot{Q}_{HC} $	heat rate input from the combustion chamber
33	$ \dot{Q}'_{HC} $	heat rate transferred from the combustion chamber to the associated heat exchanger
34		
35	$ \dot{Q}_{HS} $	heat rate input from the solar collector

36	$ \dot{Q}_{iHS} $	heat losses at the solar receiver
37	$ \dot{Q}'_{HS} $	heat rate transferred from the solar collector to the associated heat
38		exchanger
39	$ \dot{Q}_l $	losses associated to heat transfers in the solar field
40	$ \dot{Q}_L $	heat-transfer rate between the working fluid and the ambient
41	$Q_{LHV}$	lower heating value of the fuel
42	$ \dot{Q}_{reh} $	heat rate input from the reheaters
43	$r_e$	fuel conversion rate
44	$r_p$	overall pressure ratio
45	$T_{HC}$	working temperature of the combustion chamber
46	$T_{HS}$	working temperature of the solar collector
47	$T_L$	ambient temperature
48	$T_x$	working fluid temperature after the heat input from the recuperator
49	$T_{x'}$	working fluid temperature after heat input from the solar collector
50	$T_y$	working fluid exhaust temperature
51	$T_1$	compressors inlet temperature
52	$T_2$	temperature after last compressor
53	$T_3$	turbines inlet temperature
54	$T_4$	temperature after last turbine
55	$U_L$	effective conduction-convection heat transfer coefficient
56	$\alpha$	effective emissivity
57	$\varepsilon_{HC}$	combustion chamber heat exchanger effectiveness
58	$\varepsilon_{HS}$	solar collector heat exchanger effectiveness

59	$\varepsilon_L$	cold side heat exchanger effectiveness
60	$\varepsilon_c$	isentropic efficiency of the compressors
61	$\varepsilon_r$	recuperator effectiveness
62	$\varepsilon_t$	isentropic efficiency of the turbines
63	$\gamma$	adiabatic coefficient of the working fluid
64	$\eta$	overall thermal efficiency
65	$\eta_c$	combustion efficiency
66	$\eta_h$	thermal efficiency of the Brayton heat engine
67	$\eta_s$	solar collector efficiency
68	$\eta_0$	optical efficiency
69	$\rho_H$	irreversibilities due to pressure drops in the heat input
70	$\rho_L$	irreversibilities due to pressure drops in the heat release
71	$\sigma$	Stefan-Boltzmann constant

## 72 1. Introduction

73 Concentrating solar power (CSP) is one of the promising renewable en-  
74 ergy technologies that can contribute to decrease the dependence on fossil  
75 fuels for the generation of electricity and so, the environmental impact of  
76 energy production [1, 2, 3]. Unlike other renewable resources this technol-  
77 ogy is suited to produce non-intermittent power with the implementation of  
78 thermal storage or hybridization concepts [4, 5, 6]. We deal with the last,  
79 CSP plants that ensure an almost constant energy injection to the grid in  
80 the range of a few megawatts. These plants are not completely free of fos-  
81 sil fuel consumption and pollutant emissions because a backup combustion  
82 chamber ensures the power output to the grid but control is not complicated  
83 and energy release to the grid is predictable. For instance, the plants that  
84 work following a closed Brayton-like thermal cycle require a reduced water  
85 consumption compared with those working on Rankine cycles and can reach  
86 similar efficiencies [7]. This point is especially advantageous in arid regions  
87 with appropriate solar resources. To get those efficiencies quite high tur-  
88 bine inlet temperatures have to be reached in the solar receivers, about 800  
89 - 1000 °C [8, 9, 10, 11]. Several experimental prototypes have shown that  
90 this is feasible using ceramic materials in central tower volumetric receivers  
91 or other alternatives. Pioneer demonstration size plants have arrived at the  
92 same conclusion: the technology is practicable but it is still necessary a R+D  
93 activity to look for ways to improve the overall plant efficiency in order to get  
94 commercially interesting levelized costs of electricity. Particularly, thermo-  
95 economic studies show that there is still a wide margin for improvement in  
96 the power block [1].

97 Along this work line thermodynamic studies about possible refinements  
98 on the basic Brayton cycle and the effects of the working fluid are important  
99 to guide future plant designs. These studies allow to model the plant in  
100 terms of a reduced number of parameters and to predict realistic values  
101 for efficiencies or other output records [12, 13, 14, 15]. Thus, sensitivity  
102 studies and optimization analyses can be done in more general terms than  
103 those done, for instance, with simulation software [16, 17, 18, 19]. Both  
104 techniques are complementary. Probably, general thermodynamic models  
105 are to be developed first in order to select adequate plant concepts and then  
106 detailed component-to-component simulations are required to solve technical  
107 issues and to get to very detailed predictions of plant performance.

108 One of the main drawbacks to the consideration Brayton cycles in CSP ap-

109 plications is that for the compression stage much power is required, so the net  
110 power output becomes reduced. One possibility to avoid this handicap is to  
111 operate at supercritical conditions. Extensive work has been devoted to this  
112 issue, specially considering carbon dioxide as working fluid [12, 20, 21, 22].  
113 Near the critical region fluids show numerical values for compressibility sim-  
114 ilar to liquids. Compression work can be reduced but high temperatures  
115 can be maintained. Nevertheless, as critical pressure for CO<sub>2</sub> is about 74  
116 bar, high pressures have to be used which lead to several technical prob-  
117 lems [23]. Moreover, wide fluctuations of thermodynamic properties near  
118 the critical point make difficult to develop thermodynamic models relying on  
119 ideal gas approximations. With respect to the turbomachinery much scarce  
120 experience has been acquired in components working with critical or trans-  
121 critical fluids [24, 25, 20]. An alternative way to reduce compression work  
122 is by joining these concepts: recuperation and multi-stage compression with  
123 intercooling [1, 24, 26, 27]. Additionally, if expansion is performed in sev-  
124 eral turbines with intermediate reheaters, temperature at the exit of the last  
125 turbine is high and so the potential for recuperation.

126 Even though there is a great amount of works on the possibilities of the  
127 use of supercritical CO<sub>2</sub> in CSP systems [12, 24], to our knowledge there  
128 are much scarce thermodynamical investigations on subcritical fluids as CO<sub>2</sub>  
129 together with multi-stage compression with intercooling and multi-stage ex-  
130 pansion with reheating. Our work deals with this point. We shall investigate  
131 plant configurations for central tower hybrid CSP plants working on closed  
132 atmospheric Brayton cycles for several working fluids, including subcritical  
133 CO<sub>2</sub>, helium, nitrogen, and air. Plant performance will be compared by  
134 taking similar conditions for all fluids. Although the peculiarities of heat  
135 exchangers and turbomachinery of course rely on the type of fluid we shall  
136 assume components with similar effectivenesses or isentropic efficiencies, *i.e.*,  
137 we do not deal with details on the design and performance of plant com-  
138 ponents, but it is assumed that with the appropriate design particularities  
139 components can have similar effectivenesses or isentropic efficiencies. To get  
140 that aim we develop a thermodynamical model that incorporates the main  
141 irreversibilities existing in all the subsystems in these plants: solar, combus-  
142 tion chamber, and thermal engine. A simplified model was developed and  
143 validated in previous works by our group for the case of air and single-stage  
144 compression and expansion [28, 29, 30]. In this work it is extended for an  
145 arbitrary number of compression/expansion steps, recuperation, and for sub-  
146 critical fluids by explicitly considering the temperature dependence of specific

147 heats. Although the model allows for on-design and off-design analyses, in  
148 this work we shall consider design point parameters from an experimental  
149 facility as reference case to compare with [11, 31]. The compression ratio is a  
150 key parameter in the design of any plant involving Brayton-like cycles. In our  
151 study, first the results for different fluids at the same compression ratio will  
152 be compared and later specific values of the pressure ratio for each working  
153 fluid leading to maximum overall plant efficiency will be calculated.

154 In Sec. 2 the thermodynamic model and the main hypotheses assumed  
155 will be detailed. Explicit equations for heat transfers, subsystem efficiencies,  
156 and overall thermal efficiency will be developed. Section 3 contains infor-  
157 mation about the considered reference plant, the design parameters, and the  
158 particularities of the elected working fluids. Numerical predictions on plant  
159 performance assuming the pressure ratio of the reference plant will be com-  
160 pared in Sec. 4 for different working gases. In Sec. 5 a numerical analysis to  
161 maximize plant performance in terms of the pressure ratio will be performed  
162 for each fluid. Section 6 is specifically devoted to plant configurations with  
163 two compression steps and intercooling. The plant performance for this kind  
164 of plant layouts will be compared for all the fluids considered.

## 165 2. Plant thermodynamics

166 The considered system is a gas-turbine power plant hybridized with a  
167 central tower solar concentration system. An sketch of the whole system is  
168 depicted in Fig. 1. Briefly, the working fluid enters the first compressor at a  
169 temperature  $T_1$ , and exits the last one ( $N_c$ ) at a temperature  $T_2$ . Between  
170 each pair of compressors, an intercooler is considered with the aim that the  
171 inlet temperature at each compressor is always  $T_1$ . After the last compressor  
172 the heat input in the power unit is divided in three subsequent steps:

- 173 1. A recuperator is used to take advantage of the residual heat after the  
174 last turbine. The fluid temperature at the recuperator exit is denoted  
175 as  $T_x$ .
- 176 2. When solar conditions are adequate, the fluid is redirected through the  
177 the solar receiver and its temperature increases up to  $T_{x'}$ .
- 178 3. During night or poor insolation conditions the working fluid is con-  
179 ducted directly to the combustion subsystem. We are considering a  
180 closed cycle, so the heat input from combustion is done through a heat



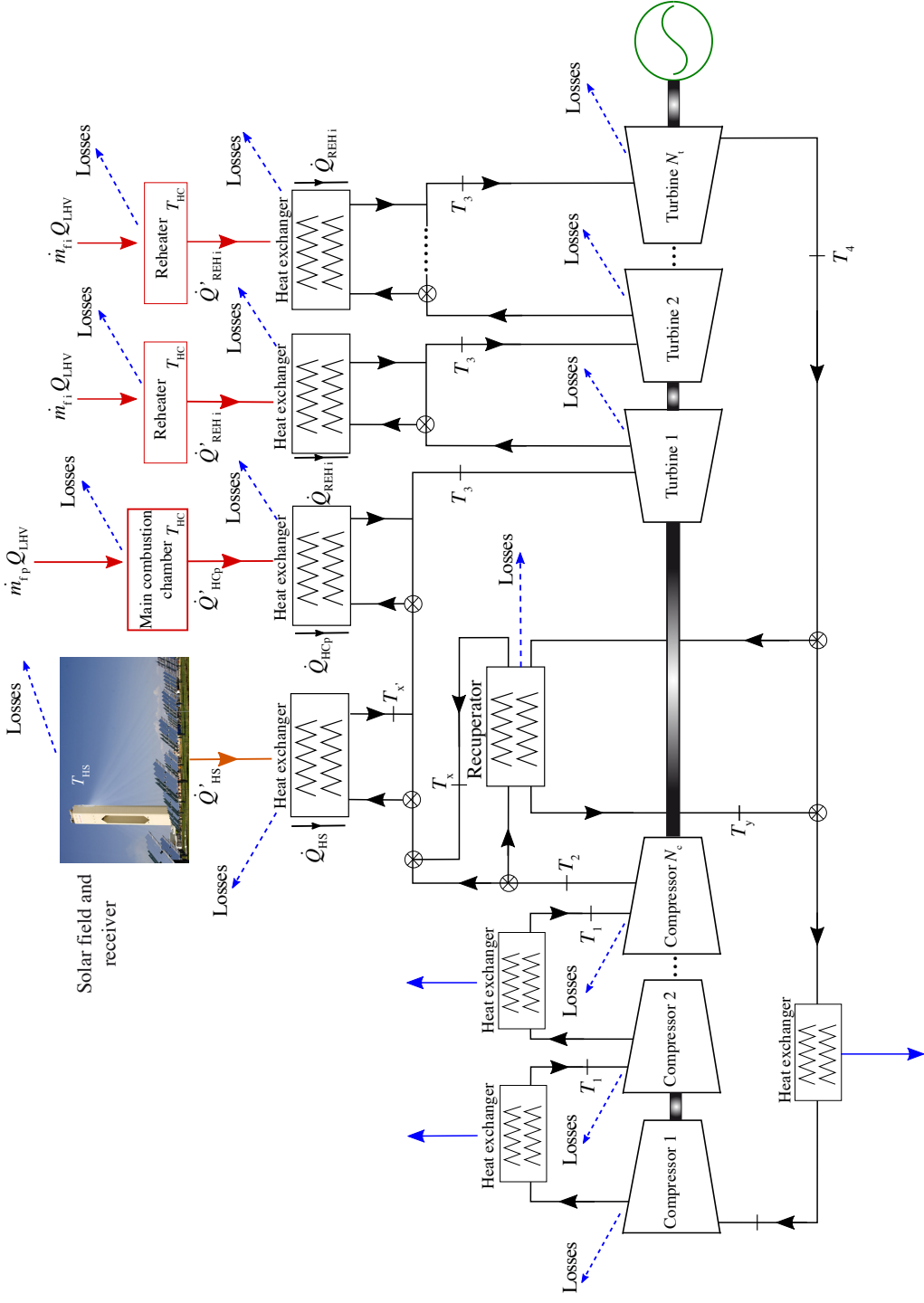


Figure 1: Scheme of the hybrid solar gas-turbine plant considered. The plant includes a solar subsystem (solar field and central tower receiver), a main combustion chamber, an arbitrary number of compressors ( $N_c$ ), and an arbitrary number of turbines ( $N_t$ ). Between compressors  $N_c - 1$  intercoolers are considered and, similarly, between turbines  $N_t - 1$  intermediate reheaters. Losses in the thermodynamic model are shown in the picture. The ambient temperature,  $T_L$ , and the effective temperature of the solar receiver,  $T_{HS}$ , are fluctuating quantities, since depend on seasonal and meteorological conditions.

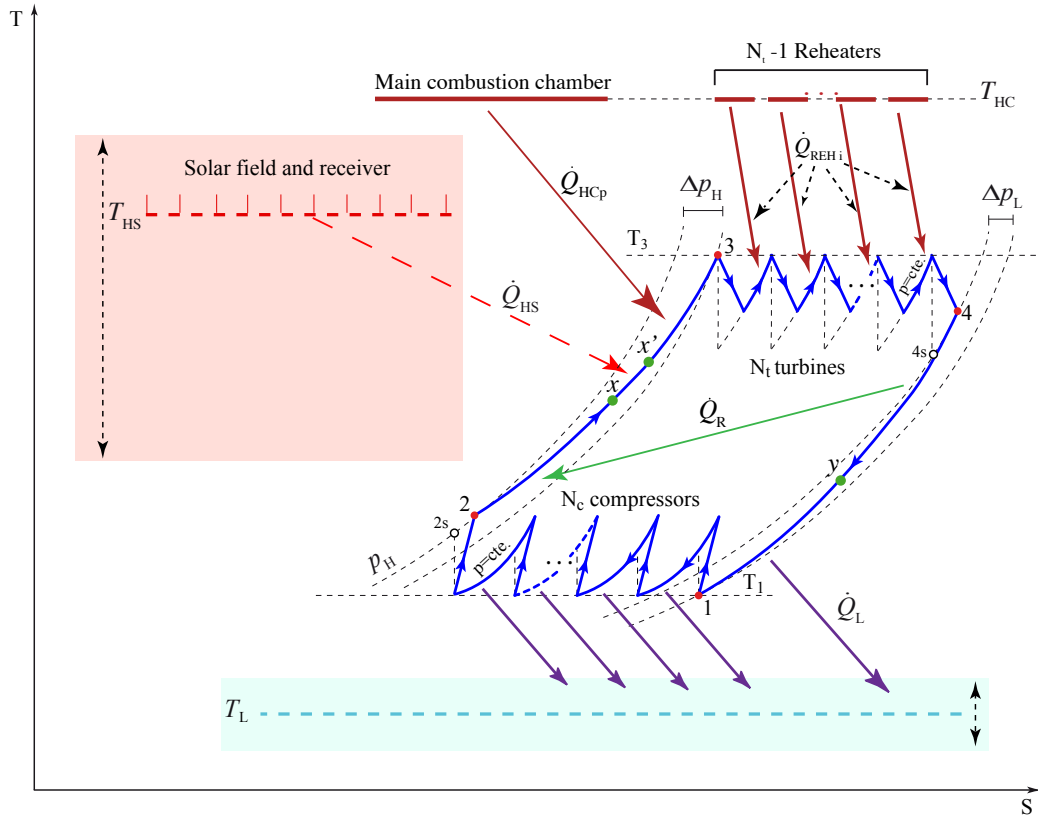


Figure 2: Temperature-entropy diagram of the considered plant layout.

181       exchanger associated to the main combustion chamber. Independently  
 182       of solar conditions the combustion chamber ensures that the first tur-  
 183       bine inlet temperature is stable,  $T_3$ .

184       The expansion stroke is performed by means of an arbitrary number of tur-  
 185       bines,  $N_t$ . A number  $N_t - 1$  of intermediate reheaters make that for any  
 186       turbine the inlet temperature is  $T_3$ . Afterwards the expansion process (tem-  
 187       perature  $T_4$ ) the fluid is redirected through the recuperator to another heat  
 188       exchanger that ensures that the process is closed and cyclic, so the tempera-  
 189       ture at the compressor entrance in the following cycle is  $T_1$ . Figure 2 contains  
 190       a  $T - S$  diagram of the thermodynamic cycle the plant follows.

191 *2.1. Heat fluxes, subsystem efficiencies, and overall efficiency*

192 The overall plant thermal efficiency,  $\eta$ , is defined as the fraction between  
 193 the net mechanical power output,  $P$ , and the total heat input rate in the  
 194 whole system. The latter is the sum of the heat input flows of the solar part  
 195 and the combustion chamber:

$$\eta = \frac{P}{G A_a + \dot{m}_f Q_{\text{LHV}}} \quad (1)$$

196 where  $G$  is the direct normal irradiance,  $A_a$  the aperture area of the heliostats  
 197 field,  $Q_{\text{LHV}}$  the lower heating value of the fuel, and  $\dot{m}_f$  is the sum of the fuel  
 198 mass flows entering into the combustion chamber,  $\dot{m}_{fp}$ , as well as into the  
 199 reheaters,  $\dot{m}_{fi}$ :

$$\dot{m}_f = \dot{m}_{fp} + \sum_{i=1}^{N_t-1} \dot{m}_{fi} \quad (2)$$

200 so, the overall efficiency is:

$$\eta = \frac{P}{G A_a + \left( \dot{m}_{fp} + \sum_{i=1}^{N_t-1} \dot{m}_{fi} \right) Q_{\text{LHV}}} \quad (3)$$

201 Once expressed the efficiency in general terms, we shall rewrite it from  
 202 the efficiencies of the subsystems that constitute the plant.

203 The solar collector efficiency,  $\eta_s$ , is defined as the ratio between the useful  
 204 energy per unit time provided by the collector,  $|\dot{Q}'_{\text{HS}}|$  (see Fig. 1), and the  
 205 solar energy rate it receives,  $GA_a$ :  $\eta_s = |\dot{Q}'_{\text{HS}}|/GA_a$ . The solar central tower  
 206 transfers a fraction of the useful heat collected by the heliostats,  $|\dot{Q}'_{\text{HS}}|$ , to  
 207 the working fluid, that is denoted  $|\dot{Q}_{\text{HS}}|$ . Introducing  $\varepsilon_{\text{HS}}$ , the effectiveness  
 208 of the solar receiver (considered as a heat exchanger),  $|\dot{Q}_{\text{HS}}| = \varepsilon_{\text{HS}}|\dot{Q}'_{\text{HS}}|$ , the  
 209 solar collector efficiency can be expressed as:  $\eta_s = |\dot{Q}_{\text{HS}}|/(\varepsilon_{\text{HS}}GA_a)$ .

210 In a similar way the efficiency of the main combustion process,  $\eta_{cp}$ , is de-  
 211 fined as the quotient between the heat flux from the combustion chamber and  
 212 the energy contents of the entering fuel,  $\dot{m}_f Q_{\text{LHV}}$ . The combustion chamber  
 213 produces a heat rate,  $|\dot{Q}'_{\text{HCp}}|$ , transferred to the working fluid through a heat  
 214 exchanger whose effectiveness is  $\varepsilon_{\text{HCp}} = |\dot{Q}_{\text{HCp}}|/|\dot{Q}'_{\text{HCp}}|$ , where  $|\dot{Q}_{\text{HCp}}|$  is the  
 215 actual heat rate received by the working fluid from combustion. As a result,  
 216 the combustion chamber efficiency can be written as:

$$\eta_{cp} = \frac{|\dot{Q}_{\text{HCp}}|}{\dot{m}_{fp} \varepsilon_{\text{HCp}} Q_{\text{LHV}}} \quad (4)$$

217 The combustion efficiency for each intermediate reheater,  $\eta_{ci}$ , is calculated  
 218 alike:

$$\eta_{ci} = \frac{|\dot{Q}_{rehi}|}{\dot{m}_{fi} \varepsilon_{rehi} Q_{LHV}} \quad (5)$$

219 Each one has an associated heat exchanger with effectiveness,  $\varepsilon_{rehi} = |\dot{Q}_{rehi}|/|\dot{Q}'_{rehi}|$ .

220 The total heat input rate that the fluid absorbs from combustion is given  
 221 as:

$$|\dot{Q}_{HC}| = |\dot{Q}_{HCp}| + |\dot{Q}_{reh}| \quad (6)$$

222 where

$$|\dot{Q}_{reh}| = \sum_{i=1}^{N_t-1} |\dot{Q}_{rehi}| \quad (7)$$

223 The efficiency of the thermal engine itself,  $\eta_h$ , is the ratio between the me-  
 224 chanical power output and the total heat input rate:

$$\eta_h = \frac{|\dot{W}|}{|\dot{Q}_H|} = \frac{P}{|\dot{Q}_{HS}| + |\dot{Q}_{HCp}| + |\dot{Q}_{reh}|} \quad (8)$$

225 Thus, the overall system efficiency,  $\eta$ , given by Eq. (1), is:

$$\eta = \frac{P}{\frac{|\dot{Q}_{HS}|}{\varepsilon_{HS} \eta_s} + \left[ \frac{|\dot{Q}_{HCp}|}{\varepsilon_{HCp} \eta_{cp}} + \sum_{i=1}^{N_t-1} \frac{|\dot{Q}_{rehi}|}{\varepsilon_{rehi} \eta_{ci}} \right]} \quad (9)$$

226 Assuming identical efficiencies for the main combustion chamber and for  
 227 reheaters,  $\eta_{cp} = \eta_{ci} \equiv \eta_c$  and  $\varepsilon_{HCp} = \varepsilon_{rehi} \equiv \varepsilon_{HC}$ , the thermodynamic  
 228 efficiency can be written as:

$$\eta = \frac{P}{\frac{|\dot{Q}_{HS}|}{\varepsilon_{HS} \eta_s} + \frac{|\dot{Q}_{HC}|}{\varepsilon_{HC} \eta_c}} = \eta_h \eta_s \eta_c \left( \frac{|\dot{Q}_{HS}| + |\dot{Q}_{HC}|}{\frac{\eta_c |\dot{Q}_{HS}|}{\varepsilon_{HS}} + \frac{\eta_s |\dot{Q}_{HC}|}{\varepsilon_{HC}}} \right) \quad (10)$$

229 It is interesting to define a solar share,  $f$ , as the ratio between the heat input  
 230 rate from the sun and the total one:

$$f = \frac{|\dot{Q}_{HS}|}{|\dot{Q}_{HS}| + |\dot{Q}_{HC}|} \quad (11)$$

231 Depending on solar conditions, the solar share fluctuates in the interval  $[0,1]$ .  
 232  $f = 1$ , means that all the heat input has solar origin and  $f = 0$  means

233 that all the heat input comes from combustion, for instance by night. With  
 234 this definition it is possible to express the overall plant efficiency in terms  
 235 of the efficiency of the solar subsystem,  $\eta_s$ , that of the combustion chambers  
 236  $\eta_c$ , the efficiency of the Brayton heat engine  $\eta_h$ , the *solar share*  $f$ , and the  
 237 effectivenesses of the heat exchangers between subsystems  $\varepsilon_{HS}$  and  $\varepsilon_{HC}$ :

$$\eta = \eta_h \eta_s \eta_c \left[ \frac{1}{\frac{\eta_c f}{\varepsilon_{HS}} + \frac{\eta_s(1-f)}{\varepsilon_{HC}}} \right] = \eta_h \eta_s \eta_c \left[ \frac{\varepsilon_{HS} \varepsilon_{HC}}{\eta_c f \varepsilon_{HC} + \eta_s(1-f) \varepsilon_{HS}} \right] \quad (12)$$

238 In the particular case of only solar heat input,  $f = 1$ , so  $\eta = \eta_h \eta_s \varepsilon_{HS}$ , and  
 239 for only combustion  $f = 0$ , and  $\eta = \eta_h \eta_c \varepsilon_{HC}$ .

240 It is interesting to define an efficiency with an economic meaning, the *fuel*  
 241 *conversion rate* as the ratio between the power output and the heat input  
 242 rate with an associated cost [32]:

$$r_e = \frac{P}{\dot{m}_f Q_{LHV}} \quad (13)$$

243 For pure solar operation ( $\dot{m}_f = 0$ ),  $f = 1$ , and  $r_e \rightarrow \infty$  and for only com-  
 244 bustion operation,  $f = 0$ , so  $r_e = \eta$ . It can be expressed in terms of the  
 245 efficiency of the subsystems and the solar share as:

$$r_e = \frac{\eta \eta_s \eta_h \varepsilon_{HS}}{\eta_s \eta_h \varepsilon_{HS} - \eta f} \quad (14)$$

## 246 2.2. Solar subsystem model

247 Next we briefly summarized the model for the losses and efficiency in the  
 248 solar subsystem, considered as an heliostat field with aperture area  $A_a$  and a  
 249 central tower receiver with area  $A_r$ . The solar power collected in the aperture  
 250 is  $|\dot{Q}_s| = GA_a$ . Nevertheless, the energy flux collected at the tower has to  
 251 include optical losses associated to absorption at the heliostats, shadowing  
 252 and blocking, spillage, ambient humidity and others. The most simple way  
 253 to globally account for these effects is by defining an optical efficiency,  $\eta_0$ ,  
 254 so the heat input rate reaching the tower receiver is  $|\dot{Q}_r| = \eta_0 GA_a$ . Also  
 255 there are heat transfer losses in the receiver due to convection, conduction  
 256 and radiation. Heat losses can be expressed as [33, 34]:

$$|\dot{Q}_l| = A_r \alpha \sigma (T_{HS}^4 - T_L^4) + A_r \bar{U}_L (T_{HS} - T_L) \quad (15)$$

257 where  $\alpha$  the emissivity of the receiver surface,  $\bar{U}_L$  is an overall conduction and  
 258 convection heat transfer coefficient, and  $\sigma$  the Stefan-Boltzmann constant.

259 So,  $|\dot{Q}'_{HS}| = |\dot{Q}_r - \dot{Q}_l|$ , represents the effective heat flux that the receiver  
 260 could transfer to the working fluid, assuming that it behaves as a heat ex-  
 261 changer. The energy rate finally absorbed by the working fluid considering  
 262 the effectiveness of the receiver,  $\varepsilon_{HS}$  is:

$$|\dot{Q}_{HS}| = \varepsilon_{HS} \{ \eta_0 G A_a - A_r [ \alpha \sigma (T_{HS}^4 - T_L^4) + \bar{U}_L (T_{HS} - T_L) ] \} \quad (16)$$

263 This energy rate, as depicted in Fig. 2 increases the working fluid temperature  
 264 from  $T_x$  to  $T_{x'}$ . The efficiency of the solar subsystem,  $\eta_s$ , can be written as:

$$\eta_s = \eta_0 [ 1 - h_1 (T_{HS}^4 - T_L^4) - h_2 (T_{HS} - T_L) ] \quad (17)$$

265 where  $C$  is the concentration ratio,  $C = A_a/A_r$  and  $h_1, h_2$  are losses param-  
 266 eters, defined as:  $h_1 = \alpha \sigma / (\eta_0 G C)$  and  $h_2 = \bar{U}_L / (\eta_0 G C)$ .

### 267 2.3. Combustion subsystem

268 The maximum energy that could be obtained from combustion is  $\dot{m}_f Q_{LHV}$   
 269 considering ideal combustion and no losses in the combustion chamber. But  
 270 actually the useful energy that can be transferred to the working fluid is only  
 271 a fraction of that energy rate,  $\eta_{cp} \dot{m}_f Q_{LHV}$ . Moreover, we are considering  
 272 a closed cycle, so the heat is transferred to the power unit through a heat  
 273 exchanger associated to the combustion chamber with effectiveness,  $\varepsilon_{HCp}$ .  
 274 Thus, the heat rate that is actually released to the working fluid can be  
 275 written as:  $|\dot{Q}_{HCp}| = \varepsilon_{HCp} |\dot{Q}'_{HCp}| = \varepsilon_{HCp} \eta_{cp} \dot{m}_{fp} Q_{LHV}$ . The same argument  
 276 applies for the intermediate reheaters, so:

$$|\dot{Q}_{reh}| = \sum_{i=1}^{N_t-1} \varepsilon_{rehi} |\dot{Q}'_{rehi}| = \sum_{i=1}^{N_t-1} \varepsilon_{rehi} \eta_{ci} \dot{m}_{fi} Q_{LHV} \quad (18)$$

277 Assuming that combustion efficiencies are the same for all the reheaters and  
 278 equal to that of the main combustion and also that all the associated heat  
 279 exchangers are similar:

$$|\dot{Q}_{reh}| = \varepsilon_{HC} \eta_c Q_{LHV} \sum_{i=1}^{N_t-1} \dot{m}_{fi} \quad (19)$$

### 280 2.4. Multi-stage Brayton power unit model

281 In this section a model for the multi-stage Brayton cycle is proposed  
 282 and its thermal efficiency,  $\eta_h$ , evaluated. The working fluid is considered

283 as an ideal gas with temperature dependent specific heats,  $c_w(T)$ , following  
 284 an irreversible recuperative Brayton cycle with multiple compression and  
 285 expansion steps. The temperature-entropy diagram of the cycle is depicted  
 286 in Fig. 2. In the following the main cycle stages are modeled together with  
 287 the main irreversibility sources associated to each:

- 288 • In the first process ( $1 \rightarrow 2$ ), the working fluid is compressed through an  
 289 arbitrary number,  $N_c$ , of compressors. They are considered identical,  
 290 so the isentropic efficiency of any of them is:  $\varepsilon_c = (T_{2s} - T_1)/(T_2 - T_1)$ ,  
 291 where  $T_{2s}$  would be temperature after compressions if they were isen-  
 292 tropic (see Fig. 2). Between each pair of compressors, it is considered  
 293 an intercooler, so the inlet temperature of all compressors is the same,  
 294  $T_1$ .
- 295 • Between states 2 and 3, three subsequent heat inputs increase the fluid  
 296 temperature. First, a non-ideal recuperator increases temperature from  
 297  $T_2$  up to  $T_x$ . Its effectiveness is defined as:  $\varepsilon_r = (T_x - T_2)/(T_4 - T_2) =$   
 298  $(T_y - T_4)/(T_2 - T_4)$ . A non-recuperative plant is easily simulated by  
 299 taking  $\varepsilon_r = 0$ . Second, if solar conditions are good enough, the fluid  
 300 receives a solar heat input rate,  $|\dot{Q}_{HS}|$ , that rises up the temperature  
 301 from  $T_x$  to  $T_{x'}$ . And third, the main combustion chamber provides  
 302 the required energy to reach the turbines inlet temperature,  $T_3$ , that is  
 303 assumed as a fixed input parameter. So, in principle (apart from fluc-  
 304 tuations of the ambient temperature), the only oscillating temperature  
 305 during heat input due to irradiance oscillations is  $T_{x'}$ . Although each  
 306 subprocess during heat input has its own pressure losses, for simplic-  
 307 ity we consider a parameter that globally measures the whole pressure  
 308 losses in the fluid during the heating process,  $\rho_H = (p_H - \Delta p_H)/p_H$ ,  
 309 where  $p_H$  is the highest pressure (compressor exit) and  $p_H - \Delta p_H$  is the  
 310 pressure at the first turbine inlet.
- 311 • At the state 3 the working fluid attains its maximum temperature and  
 312 it is expanded by  $N_t$  subsequent gas turbines. Any of them is charac-  
 313 terized by an isentropic efficiency  $\varepsilon_t = (T_4 - T_3)/(T_{4s} - T_3)$ . To ensure  
 314 that the temperature at any turbine inlet is  $T_3$ ,  $N_t - 1$  intermediate  
 315 reheaters are required. After the last turbine, the fluid reaches state 4.
- 316 • Finally, the fluid recovers the conditions of state 1 by means of a heat  
 317 release that is split in two processes. The first associated to recu-  
 318 peration that ends at temperature  $T_y$  and the second through a heat

319 exchanger that cools the fluid up to  $T_1$ . Its effectiveness is defined as:  
 320  $\varepsilon_L = (T_1 - T_y)/(T_L - T_y)$ . The global pressure decay in  $4 \rightarrow 1$  is measured  
 321 by introducing a parameter:  $\rho_L = (p_L - \Delta p_L)/p_L$  where  $p_L$  is the  
 322 fluid pressure after the last turbine and  $p_L - \Delta p_L$  the lowest pressure. It  
 323 is convenient to define an overall pressure ratio as  $r_p = p_H/(p_L - \Delta p_L)$ .

324 Next, the objective is to obtain cycle temperatures and heat rates in terms  
 325 of the parameters associated to cycle size and geometry, and thermal losses.  
 326 By convenience we define two parameters,  $a_c$  and  $a_t$ , related to pressure ratios  
 327 of compressors and turbines:

$$a_c = \frac{T_{2s}}{T_1} = \left( \frac{p_H}{p_L - \Delta p_L} \right)^{(\bar{\gamma}_{12}-1)/\bar{\gamma}_{12}} = r_p^{(\bar{\gamma}_{12}-1)/\bar{\gamma}_{12}} \quad (20)$$

328

$$a_t = \frac{T_3}{T_{4s}} = \left( \frac{p_H - \Delta p_H}{p_L} \right)^{(\bar{\gamma}_{34}-1)/\bar{\gamma}_{34}} \quad (21)$$

329 In these definitions it was considered that processes  $1 \rightarrow 2s$  and  $3 \rightarrow 4s$  are  
 330 isentropic.  $\bar{\gamma}_{12}$  is the mean value of the adiabatic constant in the temperature  
 331 interval  $[T_1, T_2]$  and similarly for  $\bar{\gamma}_{34}$ . Those temperature intervals are not  
 332 large, so it is reasonable to work on average values instead of temperature  
 333 dependent parameters. From the definitions of  $\rho_H$  and  $\rho_L$  it is easy to show  
 334 that the overall pressure ratio and  $a_t$  are related by:

$$a_t = (\rho_H \rho_L r_p)^{(\bar{\gamma}_{34}-1)/\bar{\gamma}_{34}} \quad (22)$$

335 From all the assumptions and definitions before it is possible to obtain  
 336 analytical expressions for all the cycle temperatures after some algebraic  
 337 calculations:

$$T_1 = \varepsilon_L T_L + T_y (1 - \varepsilon_L) \quad (23)$$

338

$$T_2 = T_1 + \frac{1}{\varepsilon_c} (T_{2s} - T_1) = T_1 Z_c \quad (24)$$

339

$$T_3 = \varepsilon_{HC} T_{HC} + T_{x'} (1 - \varepsilon_{HC}) \quad (25)$$

340

$$T_4 = T_3 - \varepsilon_t (T_3 - T_{4s}) = T_3 Z_t \quad (26)$$

341

$$T_x = \varepsilon_r T_4 + T_2 (1 - \varepsilon_r) \quad (27)$$

342

$$T_y = \varepsilon_r T_2 + T_4 (1 - \varepsilon_r) \quad (28)$$



343

$$T_{x'} = \varepsilon_{HS} T_{HS} + T_x (1 - \varepsilon_{HS}) \quad (29)$$

344 where other two definitions were included:

$$Z_c = 1 + \frac{1}{\varepsilon_c} (a_c^{1/N_c} - 1) \quad (30)$$

345

$$Z_t = 1 - \varepsilon_t \left( 1 - \frac{1}{a_t^{1/N_t}} \right) \quad (31)$$

346 By using all these equations, temperatures  $T_2$  and  $T_4$  can be written as func-  
 347 tions of the temperatures of the heat sources,  $T_{HS}$  and  $T_{HC}$ , the ambient  
 348 temperature,  $T_L$ , the overall pressure ratio,  $r_p$  and the irreversibility param-  
 349 eters. This leads to:

$$T_2 = \frac{(1-\varepsilon_L)(1-\varepsilon_r)[\varepsilon_{HC}T_{HC}+\varepsilon_{HS}T_{HS}(1-\varepsilon_{HC})]+\varepsilon_L T_L [Z_t^{-1}-(1-\varepsilon_{HC})(1-\varepsilon_{HS})\varepsilon_r]}{[Z_c^{-1}-(1-\varepsilon_L)\varepsilon_r][Z_t^{-1}-(1-\varepsilon_{HC})(1-\varepsilon_{HS})\varepsilon_r]-(1-\varepsilon_{HC})(1-\varepsilon_{HS})(1-\varepsilon_L)(1-\varepsilon_r)^2} \quad (32)$$

350

$$T_4 = \frac{[\varepsilon_{HC}T_{HC}+\varepsilon_{HS}T_{HS}(1-\varepsilon_{HC})][Z_c^{-1}-(1-\varepsilon_L)\varepsilon_r]+\varepsilon_L T_L (1-\varepsilon_{HC})(1-\varepsilon_{HS})(1-\varepsilon_r)}{[Z_c^{-1}-(1-\varepsilon_L)\varepsilon_r][Z_t^{-1}-(1-\varepsilon_{HC})(1-\varepsilon_{HS})\varepsilon_r]-(1-\varepsilon_{HC})(1-\varepsilon_{HS})(1-\varepsilon_L)(1-\varepsilon_r)^2} \quad (33)$$

351 Any other temperature can be obtained in the same terms by substituting  
 352 Eqs. (32) y (33) in Eqs. (23)-(29).

353 Now it is feasible to calculate all the components of the heat input rate,  
 354  $|\dot{Q}_H| = |\dot{Q}_{HS}| + |\dot{Q}_{HCp}| + |\dot{Q}_{reh}|$ , by using temperature equations and Eqs. (6)  
 355 and (7):

$$|\dot{Q}_{HS}| = \dot{m} \int_{T_x}^{T_{x'}} c_w(T) dT = f |\dot{Q}_H| \quad (34)$$

356

$$|\dot{Q}_{HCp}| = \dot{m} \int_{T_{x'}}^{T_3} c_w(T) dT \quad (35)$$

357

$$|\dot{Q}_{reh}| = \dot{m} \sum_{j=1}^{N_t-1} \int_{T_j}^{T_3} c_w(T) dT \quad (36)$$

where  $T_j$  is the temperature at the exit of turbine  $j$ . In order to obtain  
 an analytical expression for the last equation it will be assumed that the  
 difference between  $T_3$  and the temperatures at turbines exit,  $T_j$ , is not large,

so a mean value for  $c_w(T)$ ,  $\bar{c}_{w,34}$  is considered. This hypothesis allows to write:

$$\begin{aligned} |\dot{Q}_{reh}| &= \dot{m} \sum_{j=1}^{N_t-1} \int_{T_{j_s}}^{T_3} c_w(T) dT \simeq \dot{m} \varepsilon_t \bar{c}_{w,34} \sum_{j=1}^{N_t-1} (T_3 - T_{j_s}) \\ &= \dot{m} \bar{c}_{w,34} \varepsilon_t (N_t - 1) (1 - a_t^{-1/N_t}) T_3 \end{aligned} \quad (37)$$

358 The heat released by the working fluid to the cold source in the closed cycle  
359 can be expressed as:

$$|\dot{Q}_L| = \dot{m} \int_{T_1}^{T_y} c_w(T) dT + \dot{m} \sum_{k=1}^{N_c-1} \int_{T_1}^{T_k} c_w(T) dT \quad (38)$$

360 Assuming that the difference between  $T_1$  and the temperature at any com-  
361 pressor exit is not large, a mean value of the specific heat,  $\bar{c}_{w,12}$ , is taken in  
362 order to calculate the total heat release rate:

$$|\dot{Q}_L| = \dot{m} \int_{T_1}^{T_y} c_w(T) dT + \dot{m} \frac{\bar{c}_{w,12}}{\varepsilon_c} \sum_{s=1}^{N_c-1} (T_{k_s} - T_1) \quad (39)$$

363 The second term at the right side can be calculated as:

$$\dot{m} \frac{\bar{c}_{w,12}}{\varepsilon_c} \sum_{s=1}^{N_c-1} (T_{k_s} - T_1) = \dot{m} \frac{\bar{c}_{w,12}}{\varepsilon_c} (N_c - 1) (a_c^{1/N_c} - 1) T_1 \quad (40)$$

364 and finally,

$$|\dot{Q}_L| = \dot{m} \int_{T_1}^{T_y} c_w(T) dT + \dot{m} \frac{\bar{c}_{w,12}}{\varepsilon_c} (N_c - 1) (a_c^{1/N_c} - 1) T_1 \quad (41)$$

365 The power output provided by the plant is then calculated as:

$$P = |\dot{Q}_H| - |\dot{Q}_L| \quad (42)$$

366 and its thermal efficiency through:

$$\eta_h = \frac{P}{|\dot{Q}_H|} \quad (43)$$

367 Before finishing this section we recall that from the plant scheme we have  
 368 assumed (see Fig. 2), the following conditions for the temperatures at the  
 369 hot side:

$$T_3 \geq T_{x'} \geq T_x \quad (44)$$

$$T_{HS} \geq T_x \quad (45)$$

$$T_{HC} \geq T_{x'} \quad (46)$$

372 Also, in summary, its worth to note that with respect to the dependence of  
 373 specific heats with temperature it was assumed that temperature changes in  
 374 compression (1  $\rightarrow$  2) and expansion (3  $\rightarrow$  4) processes are small so mean  
 375 values were taken ( $\bar{c}_{w,12}$  and  $\bar{c}_{w,34}$ , respectively). Nevertheless, during heat  
 376 input and release, of course changes could be large so explicit polynomials  
 377 for  $c_w(T)$  will be taken. These assumptions allow to obtain straightforward  
 378 analytical expressions for all the temperatures in the cycle and so, to ana-  
 379 lyze the sensitivity of the performance of the whole plant to any design or  
 380 irreversibility parameter. Accounted irreversibilities for the thermodynamic  
 381 engine are external (arising from the coupling of the heat engine to the ex-  
 382 ternal heat sources,  $\varepsilon_{HS}$  and  $\varepsilon_{HC}$ ) and internal (associated to compressors,  
 383  $\varepsilon_c$ , turbines,  $\varepsilon_t$ , recuperator,  $\varepsilon_r$ , and pressure losses,  $\rho_H$  and  $\rho_L$ ).

### 384 3. Numerical computations

#### 385 3.1. Design point conditions and model validation

386 The thermodynamic model presented in this work in the particular case  
 387 of single stage compression and expansion was applied in previous works by  
 388 our group in order to predict the performance records of a project developed  
 389 by *Abengoa Solar* near Seville, Spain, called *Solugas Project* [11, 31]. In this  
 390 project a natural gas commercial single stage air gas turbine (*Caterpillar*  
 391 *Mercury 50*) was modified in order to be hybridized with a central tower  
 392 solar receiver.

393 First, the model was validated for the turbine working at full load on  
 394 an only combustion mode. This turbine operates at a pressure ratio  $r_p =$   
 395 9.9 with an air gas flow  $\dot{m} = 17.9$  kg/s. The turbine inlet temperature  
 396 is  $T_3 = 1423$  K and provides 4.6 MWe fueled with natural gas [30, 35].  
 397 The manufacturer reports a thermal efficiency after generator,  $\eta_{he} = 0.385$   
 398 for  $T_L = 288$  K. Our model is capable to reproduce the thermal efficiency  
 399 of the turbine with a deviation below 0.5% and the power output below

400 1.5%. The following parameters were assumed in the model:  $\varepsilon_{HC} = 0.98$ ,  
401  $\varepsilon_{HS} = 0.78$ ,  $\varepsilon_L = 1$ ,  $\rho_H = \rho_L = 0.97$  (relative global pressure losses about  
402 9.2%),  $\varepsilon_c = 0.885$ ,  $\varepsilon_t = 0.815$ , and  $\varepsilon_r = 0.775$ . Details on the calculations  
403 and explicit tables with the parameters can be found in [30].

404 The plant developed for the Solugas project was also simulated operating  
405 in hybrid conditions at design point solar irradiance ( $G = 860 \text{ W/m}^2$ ) and  
406 ambient temperature ( $T_L = 288 \text{ K}$ ). The parameters considered for the solar  
407 subsystem are:  $\eta_0 = 0.73$ ,  $\alpha = 0.1$ ,  $\varepsilon_{HS} = 0.95$ ,  $C = 425.2$ , and  $\bar{U}_L = 5$   
408  $\text{W}/(\text{m}^2 \text{ K})$ . In these conditions the model (considering dry air with tempera-  
409 ture dependent specific heat) predicts an overall plant efficiency,  $\eta = 0.32$ , a  
410 fuel conversion efficiency,  $r_e = 0.58$ , a solar share  $f = 0.32$ , a specific fuel con-  
411 sumption,  $m_f = 132 \text{ kg}/(\text{MW h})$ , and a mechanical power output  $P = 5.06$   
412  $\text{MW}$ . The objective of this work is focused on the analysis of the model pre-  
413 dictions if the single stage gas turbine was substituted by a multi-step one,  
414 and also on the influence of other possible working fluids, different from air.  
415 In the next subsection we motivate the interest of using different working  
416 fluids in the search for improved plant performance. The basic parameters  
417 of the Solugas plant will be assumed as reference values.

### 418 3.2. Working fluids

419 The advantages of closed gas turbines when comparing with open ones  
420 and Rankine cycles are diverse [7, 36]: closed-cycle gas turbines at high  
421 temperatures can reach efficiencies similar to steam cycles, lead to simpler  
422 plant designs (less number of heat exchangers, pumps, and piping), and have  
423 more compact components and so lower size for a fixed rated power output.  
424 Moreover, unlike open-cycle Brayton plants can use dirty fuels as biomass and  
425 other heat sources (nuclear and solar for instance). And moreover, different  
426 working fluids (depending on their thermal and transport properties, and  
427 practical issues) can be used. This work is focused on the last point.

428

429 Among the working fluids that have been used in closed-cycle prototype  
430 or real installations, the most usual are: air, nitrogen, helium, carbon diox-  
431 ide, other noble gases as argon and neon, and also gas mixtures [7]. Main  
432 advantages of air closed-cycle plants are the wide design experience and prac-  
433 tice and, of course, that air is inexpensive and abundant. On the contrary,  
434 these plants have considerable pressure losses, require high turbine inlet tem-  
435 peratures that contribute to materials oxidization, and air has a low heat

	He	N <sub>2</sub>	Dry air	CO <sub>2</sub>
$M$ (g/mol)	4.00	28.01	28.97	44.01
$T_c$ (K)	5.1953	126.19	132.84	304.13
$p_c$ (bar)	2.2761	33.958	38.501	73.773
$a$	20.7862	32.3518	38.6449	25.4812
$b$	–	–0.02031	–0.044282	0.051549
$c$	–	$4.2182 \times 10^{-5}$	$7.9699 \times 10^{-5}$	$-2.7778 \times 10^{-5}$
$d$	–	$-2.7814 \times 10^{-8}$	$-5.3556 \times 10^{-8}$	$4.6551 \times 10^{-9}$
$e$	–	$6.3098 \times 10^{-12}$	$1.2726 \times 10^{-11}$	$4.81185 \times 10^{-13}$
$\bar{\gamma}$	1.6667	1.3561	1.3458	1.1986
$\bar{c}_w$ [J/(g K)]	5.1965	1.1354	1.1202	1.1587

Table 1: Thermodynamic properties of the considered working fluids: molecular weight ( $M$ ), critical temperature and pressure ( $T_c$  and  $p_c$  respectively) and mean values of the constant pressure specific heat ( $\bar{c}_w$ ) and adiabatic coefficient ( $\bar{\gamma}$ ), in the temperature interval [288, 1430] K. The coefficients of the fits of  $c_w(T)$  (in units of J/(mol K)) correspond to the function:  $c_w(T) = a + bT + cT^2 + dT^3 + eT^4$ . Data for the fits were taken from [37] at a pressure  $p = 5$  bar.

436 transfer coefficient. For nitrogen considerations are similar because the ex-  
437 perience from air turbines can be applied and most properties are alike. One  
438 difference with air is the behavior of materials at high temperatures that in  
439 this case nitrides instead of oxidizes.

440 The use of helium is related with the development of nuclear reactors.  
441 References [7, 38] give a detailed historical review of several facilities of this  
442 type. Helium is inert and non-toxic, has a good heat transfer coefficient, and  
443 low pressure losses. As drawbacks we highlight that turbomachinery design  
444 experience is not so broad as for air, requires high turbine inlet temperature,  
445 leakage is high, and actually more number of turbomachinery stages are  
446 required [39].

447 Carbon dioxide has been used as working fluid for closed Brayton cy-  
448 cle plants from 1950, mainly partially condensed or supercritical. From late  
449 1990s and early 2000s there have been a renewed interest because research  
450 and development work has rapidly evolved turbomachinery and heat ex-  
451 changers. A recent review has been published by Crespi *et al.* [40]. Solar ap-  
452 plications are being also investigated and analyzed nowadays [12, 25, 41, 42].  
453 CO<sub>2</sub> is non-toxic and inert, has a favorable critical point and in supercritical  
454 conditions turbomachinery is small and compact and gives good efficiencies

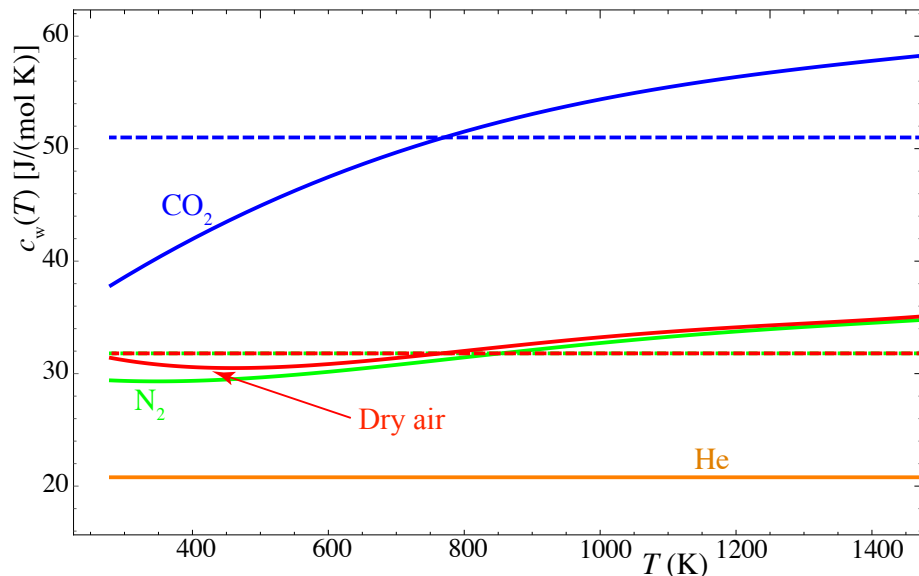


Figure 3: Evolution with temperature of the constant pressure molar heats of the working fluids considered in the work. Average values are shown in dashed lines. Data were taken from [37] at a pressure  $p = 5$  bar.

455 at moderate turbine temperatures. Similarly to helium, design experience  
 456 is not wide. Moreover, thermodynamic properties vary considerably in the  
 457 vicinity of the critical point, so detailed investigation on compressors, tur-  
 458 bines and other machinery is required. Nevertheless, works on subcritical  
 459 CO<sub>2</sub> with solar applications are scarce. We highlight the work by Najjar *et*  
 460 *al.* [36].

461 In our study four working fluids are considered: air, nitrogen, helium, and  
 462 carbon dioxide. Table 1 contains several thermodynamic properties relevant  
 463 to the application of our model as critical point conditions and evolution  
 464 with temperature of molar heat, that is plotted in Fig. 3. The figure shows  
 465 that carbon dioxide has a molar heat about twice larger than a monoatomic  
 466 gas like He and that its dependence with temperature in the interval from  
 467 ambient temperature to the temperature at turbine inlet is large. Air and N<sub>2</sub>  
 468 are in between CO<sub>2</sub> and He. The dependence of their  $c_w(T)$  with temperature  
 469 is not large in the operation interval.

470 Figure 4 displays a  $p-T$  diagram with the liquid-vapor coexistence curve  
 471 and the approximate processes experienced by the fluids in the Brayton cycle  
 472 (in the single stage case). It was assumed atmospheric pressure at compres-

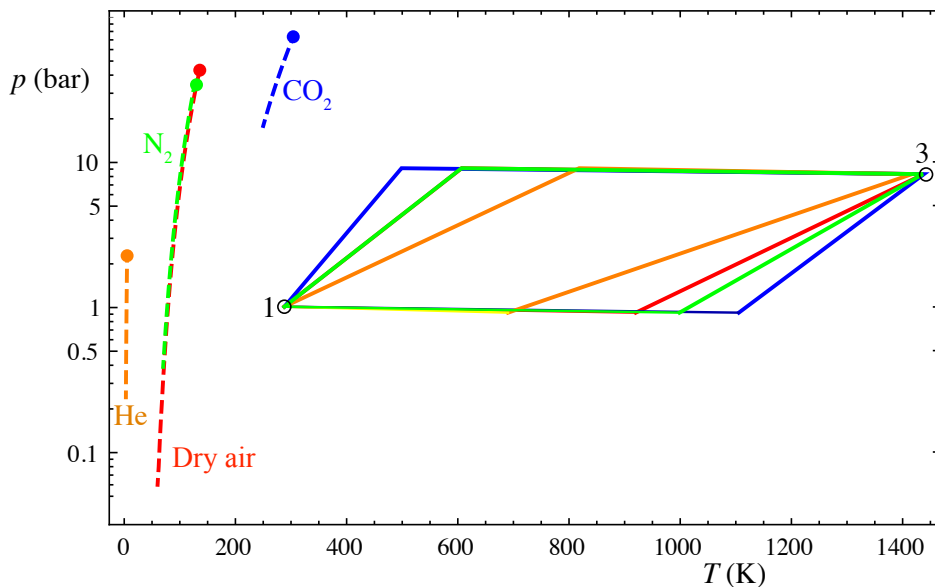


Figure 4:  $p - T$  approximate diagrams of the Brayton cycles followed by the considered working fluids. The vertical axis is represented in logarithmic scale. Dashed lines represent the liquid-vapor coexistence lines. Critical points for each fluid are shown as filled circles.

473 sor inlet and a pressure ratio of 9.9 as in the Solugas project. Within these  
 474 hypotheses, the considered gases are in subcritical conditions except for He,  
 475 that performs a transcritical cycle because pressure of states 2 and 3 are  
 476 above the critical pressure. The aim of our work is to analyze the influence  
 477 of the working fluid on the performance of the plant from a purely thermo-  
 478 dynamic model. It is noteworthy to mention that technical issues related to  
 479 piping and turbomachinery design are not considered in detail. We assume  
 480 pressure drops in the cycle and isentropic efficiencies for compressors and tur-  
 481 bines similar for all fluids. And also the same pressure at the compressor inlet  
 482 and the same global plant size (working fluid mass flow). Although from a  
 483 technical engineering viewpoint an exhaustive study of the mentioned issues  
 484 would be imperative, we intend to investigate the role played by the thermo-  
 485 dynamic properties of the fluids, specially that played in the heat absorption  
 486 and heat release processes through the molar heat,  $c_w(T)$ . In consequence  
 487 conclusions about the influence of the working fluid on plant output records,  
 488 for different plant layouts in terms of the number of compression/expansion  
 489 processes at similar conditions, can be extracted.

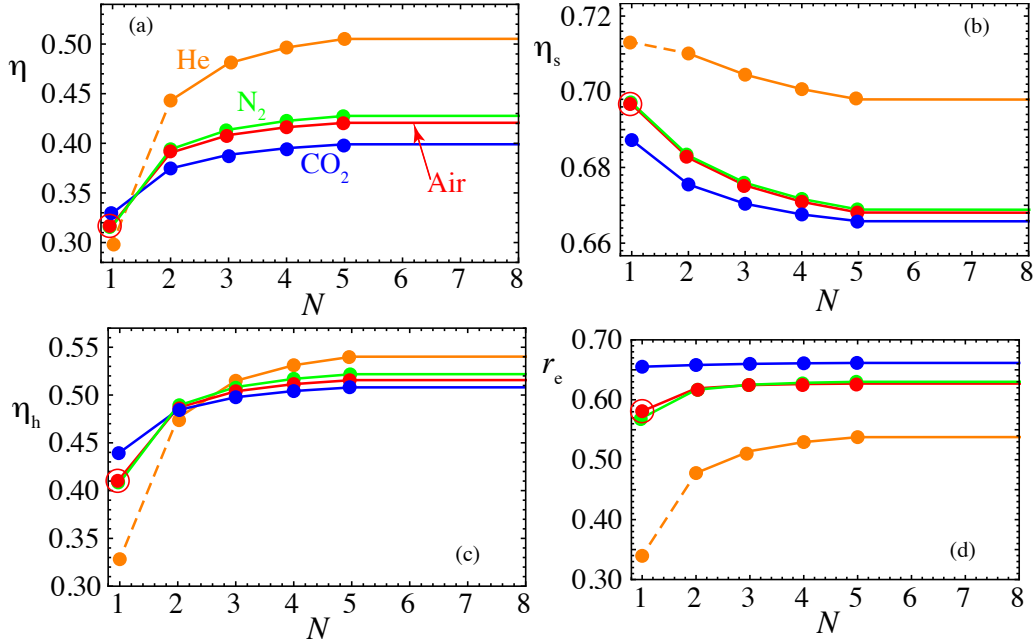


Figure 5: Evolution of plant efficiencies ( $\eta$ , overall plant efficiency;  $\eta_s$ , solar subsystem efficiency;  $\eta_h$ , heat engine efficiency; and  $r_e$ , fuel conversion rate) with the number of compression/expansion stages assumed identical,  $N_t = N_c \equiv N$ , for all the fluids considered. The reference values corresponding to the Solugas project (air as working fluid and  $N = 1$ ) are marked with an open circle. Lines between points are just a guide for the eye. Lines are dashed for He between  $N=1$  and the other cases because for  $N=1$  no regeneration is considered. The input data are those in Sec. 3.

#### 490 4. Numerical predictions on plant performance

491 Model predictions within the considerations detailed in the previous sec-  
 492 tion are presented hereafter. Most significant plant efficiencies are plotted  
 493 in Fig. 5 in terms of the number of compression,  $N_c$ , and expansion steps,  
 494  $N_t$ , assumed identical:  $N_t = N_c = N$ . In all the plots the reference values  
 495 corresponding to the Solugas project (air as working fluid and  $N = 1$ ) are  
 496 marked with an open circle. Table 2 displays the relative increments with  
 497 respect to that case. For instance, in the case of air, when considering two  
 498 compressors with intercooling and two turbines with reheating ( $N = 2$ ), the  
 499 overall plant efficiency,  $\eta$ , experiences an increase about 23% with respect to  
 500  $N = 1$ . The addition of more compression/expansion stages could increase  
 501 overall efficiency up to 37% approximately.

502 The evolution of the global efficiency curves for all fluids are similar: a



503 rapid increase from  $N = 1$  to  $N = 2$  or  $3$  and a subsequent slower increase up  
 504 to an asymptotic value. This evolution for the overall efficiency,  $\eta$  (Fig. 5(a)),  
 505 comes essentially from that of the Brayton heat engine,  $\eta_h$ , displayed in  
 506 Fig. 5(b). The behavior of air and nitrogen is similar, although the curve for  
 507 nitrogen is slightly above that for air. On the contrary,  $\text{CO}_2$  shows values for  
 508  $\eta$  larger than those for air or nitrogen for  $N = 1$ , but the increase with the  
 509 number of compression/expansion stages is slower. The case of He is different.  
 510 First, for the case  $N=1$  no regeneration was considered. This is due that for  
 511 the considered pressure ratio (assumed for all the fluids at the design point  
 512 of Solugas project) is too high for regeneration to be advantageous (see the  
 513 graph corresponding to He in Fig. 4). We will be back to this point below,  
 514 when presenting the plots for cycle temperatures. And second, the overall  
 515 efficiencies for  $N \geq 2$  are quite above those for air or nitrogen. For instance,  
 516 for  $N = 2$ ,  $\eta$  increases about 39% with respect to the reference case for He  
 517 and 23% for air or nitrogen. This larger values of  $\eta$  for He are essentially  
 518 associated to the values of the solar subsystem efficiency,  $\eta_s$  (Fig. 5(c)), that  
 519 are larger for He (we shall return this point when presenting the results for  
 520 temperatures). The values of  $\eta_h$  for helium are above those for air but only  
 521 slightly for  $N \geq 3$ .

522 The evolution of solar subsystem efficiencies,  $\eta_s$ , with  $N$  displays a mono-  
 523 tonic decreasing behavior because the operating temperatures of the solar  
 524 collector increases with  $N$  and so losses become larger. Anyway, the interval  
 525 of numerical values in which  $\eta_s$  evolves is quite narrow (see the vertical axis  
 526 in the plot for  $\eta_s$ ). The behavior of the fuel conversion rate,  $r_e$  (the ratio  
 527 between the power output and the heat input with an economic cost), is  
 528 quite diverse and interesting (Fig. 5(d)).  $r_e$  is larger for  $\text{CO}_2$  than for the  
 529 other fluids, and it is almost independent of  $N$ . These values are about 13%  
 530 over that for the reference case (see Table 2). Nevertheless, for air,  $\text{N}_2$  and  
 531 He,  $r_e$  increases with  $N$ . The poorest values of  $r_e$  are those for helium.

532

Working fluid	Dry Air			N <sub>2</sub>			He			CO <sub>2</sub>		
	2	$N \rightarrow \infty$	1	2	$N \rightarrow \infty$	1 <sup>(NR)</sup>	2	$N \rightarrow \infty$	1	2	$N \rightarrow \infty$	
N												
$\eta$	22.623	36.956	-0.560	23.713	39.868	-5.894	39.132	67.745	3.577	17.656	29.970	
$\eta_h$	17.967	28.476	-0.985	18.489	30.611	-20.427	15.373	38.138	6.655	17.388	26.674	
$\eta_s$	-2.026	-6.030	0.0593	-1.941	-5.894	2.359	1.932	-1.986	-1.352	-3.037	-5.563	
$r_e$	6.531	8.119	-1.921	6.139	9.180	-41.557	-17.778	-2.833	12.768	13.262	14.245	

Table 2: Percentage relative variations of the estimated efficiencies with respect to the reference values of the Solugas project (points marked with an open circle in Fig. 5). In the case of He and N=1, no regeneration is considered (NR). The pressure ratio was taken in all cases as in the gas turbine of the Solugas project,  $r_p = 9.9$ . The case of a large number of compression/expansion stages is represented as  $N \rightarrow \infty$ .

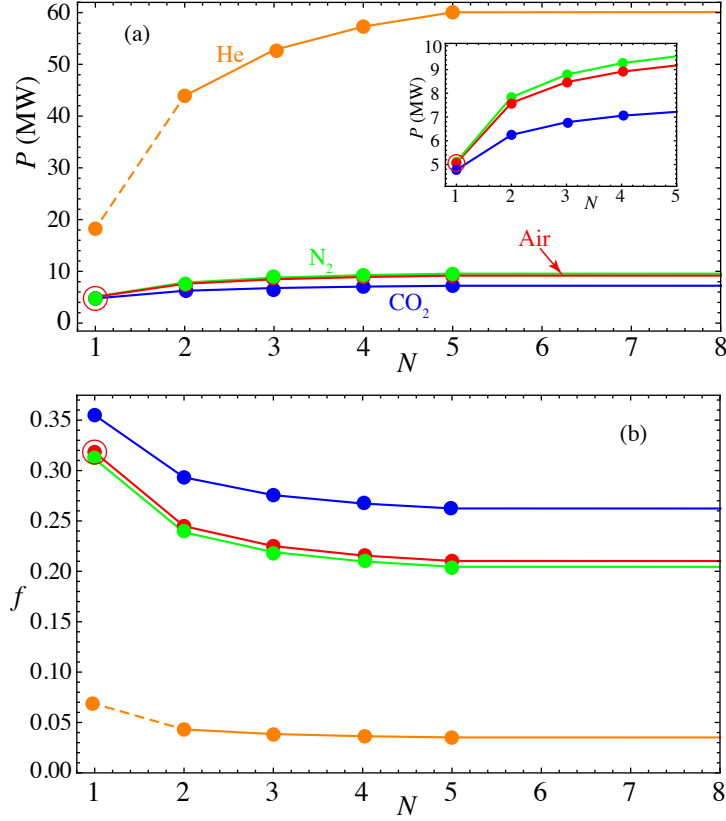


Figure 6: Evolution of the power output,  $P$ , and the solar share,  $f$ , with  $N$ . Open circles show the values corresponding to the reference Solugas plant. The inset shows a zoom with the behavior of air,  $N_2$ , and  $CO_2$  with changing values of  $N$ .

533 The power output is much larger for He than for the other fluids as dis-  
534 played in Fig. 6(a). This is an effect associated to the conditions in which  
535 we are comparing the results for the different fluids. Helium has a molar  
536 mass much lower than air or the other fluids and on the contrary a constant  
537 pressure specific heat about 4 times larger than them (see the mean values  
538 in Table 1). The numerical magnitude of power output is proportional to  
539  $\dot{m}c_w$ . As we are assuming that the working fluid mass flow is the same for  
540 all fluids, power output for He is for  $N = 1$  larger than for the rest of consid-  
541 ered fluids in the same proportion that  $c_w$ . This effect is amplified for larger  
542 values of  $N$  due to the heat input in the reheaters between turbines. For  
543 the other fluids power output increases with  $N$  up to approximately  $N = 3$ .  
544 For larger  $N$  power output remains almost constant. The increase is larger

545 for air and nitrogen. The inset in the figure shows that for  $N \geq 2$  expected  
 546 power output is larger for  $N_2$  than for air.

547 The solar share,  $f$ , (Fig. 6(b)) decreases for all fluids with the number of  
 548 compression/expansion stages. This is associated to the increase of heat input  
 549 from combustion in the intermediate reheaters between turbines. Largest  
 550 solar share is observed for  $CO_2$  and  $N = 1$ , where  $f \simeq 0.35$ . On the other  
 551 side, solar heat input for helium is always very small. Thus, in order to in-  
 552 crease the fluid temperature from the compressor outlet to the turbine, the  
 553 heat input from the combustion chamber is the most important term. The  
 554 solar subsystem size (aperture area) in the reference plant is undersized for  
 555 He and in consequence, the fuel conversion efficiency,  $r_e$ , is low.

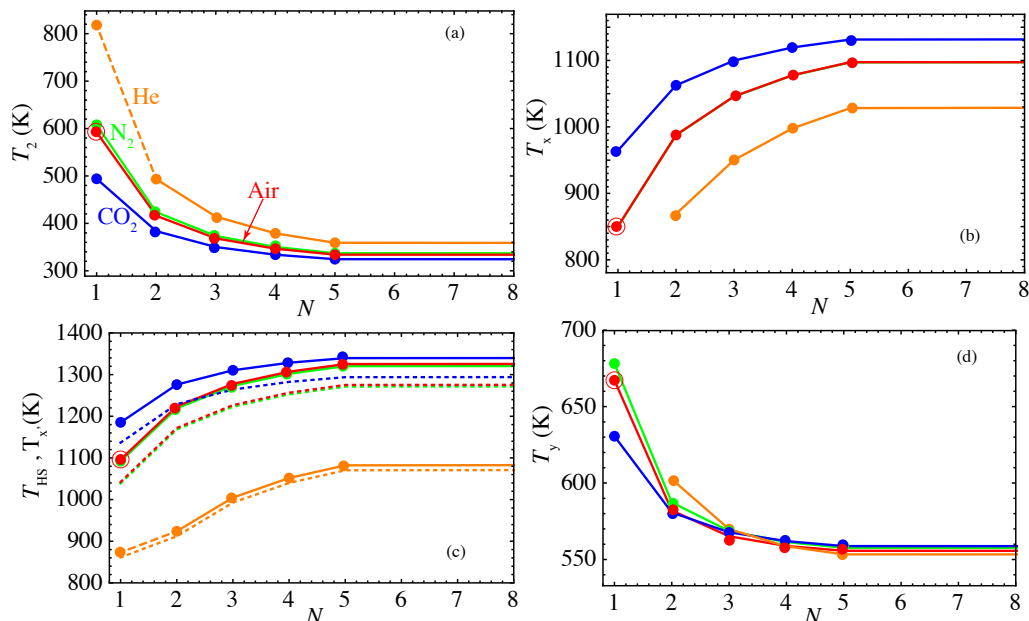


Figure 7: Sensitivity of some plant temperatures to  $N$ :  $T_2$ , compressors outlet temperature;  $T_{HS}$ , solar collector working temperature;  $T_{x'}$ , temperature of the fluid after absorbing the solar heat (shown in dotted lines in the bottom left plot);  $T_x$ , fluid temperature after regeneration; and  $T_y$ , gas temperature at the output of the regeneration hot stream. Open circles show the values corresponding to the reference Solugas plant. In the case of He and  $N=1$  no regeneration is considered so the corresponding points in  $T_x$  and  $T_y$  does not appear in the plots.

556 Several cycle temperatures are depicted in Fig. 7. The temperature at  
 557 the compressors exit,  $T_2$  decreases with  $N$  and reaches very high values for

558 He, especially for  $N = 1$ . This is the reason why regeneration in this case  
 559 (for the considered value of the pressure ratio,  $r_p = 9.9$ ) is meaningless. For  
 560 all the fluids, as  $N$  increases, the values of  $T_2$  decrease, because intercooling  
 561 between compressors makes the temperature decrease before the fluid enters  
 562 the following compressor. The effective temperature of the solar collector,  
 563  $T_{HS}$  and the temperature the fluid reaches after the solar heat input,  $T_{x'}$   
 564 always increase con  $N$  and are larger for  $\text{CO}_2$ . Except for He, all numeri-  
 565 cal values are above 1000 K. Lowest values are reached for He. From the  
 566 viewpoint of the solar receiver, this means that helium is a good refrigerant.  
 567 Temperatures of the fluids after regeneration in the cold part of the cycle,  
 568  $T_y$ , are relatively high in all cases, although decrease with  $N$ . This makes  
 569 feasible to combine the Brayton cycle with a bottoming one as a Rankine  
 570 in order to take advantage of residual heat. This conclusion is valid for any  
 571 working fluid.

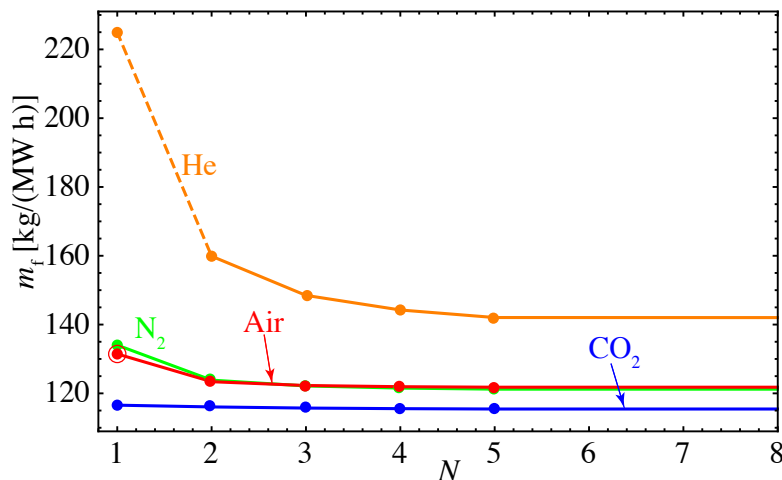


Figure 8: Specific fuel consumption as a function of  $N$ . The open circle shows the value corresponding to the reference Solugas plant.

572 Specific fuel consumption,  $m_f$ , assuming natural gas fueling is shown  
 573 in Fig. 8. Fuel consumption is larger for He, specially for  $N = 1$ , where  
 574 no regeneration is assumed. For  $\text{N}_2$  and air, the model predicts about 135  
 575 kg/(MW h) for  $N = 1$  and smaller values for larger  $N$ . The main reduction  
 576 is got in the change from  $N = 1$  to  $N = 2$ . In the case of  $\text{CO}_2$ ,  $m_f$  is almost  
 577 constant. Its numerical value is around 115 kg/(MW h). The fact that in  
 578 all cases  $m_f$  decreases with  $N$  means that in spite of the fueling required

579 by intermediate reheaters, the cycles takes advantage of regeneration. This  
 580 is shown by the increasing behavior of the temperature of the fluids after  
 581 regeneration in the heat absorption process,  $T_x$  (plot at the top right in  
 582 Fig. 7).

### 583 5. Optimum pressure ratios for each fluid

584 Up to now we have assumed the same pressure ratio for all fluids, partic-  
 585 ularly we took the experimental one,  $r_p = 9.9$ , of the gas turbine employed  
 586 in project Solugas. The aim of this section is to analyze simultaneously  
 587 three ingredients in order to seek for optimum plant designs: working fluids,  
 588 number of compression/expansion steps, and overall pressure ratio. Different  
 589 efficiencies have been calculated considering the pressure ratio as a variable  
 590 up to  $r_p = 20$ .

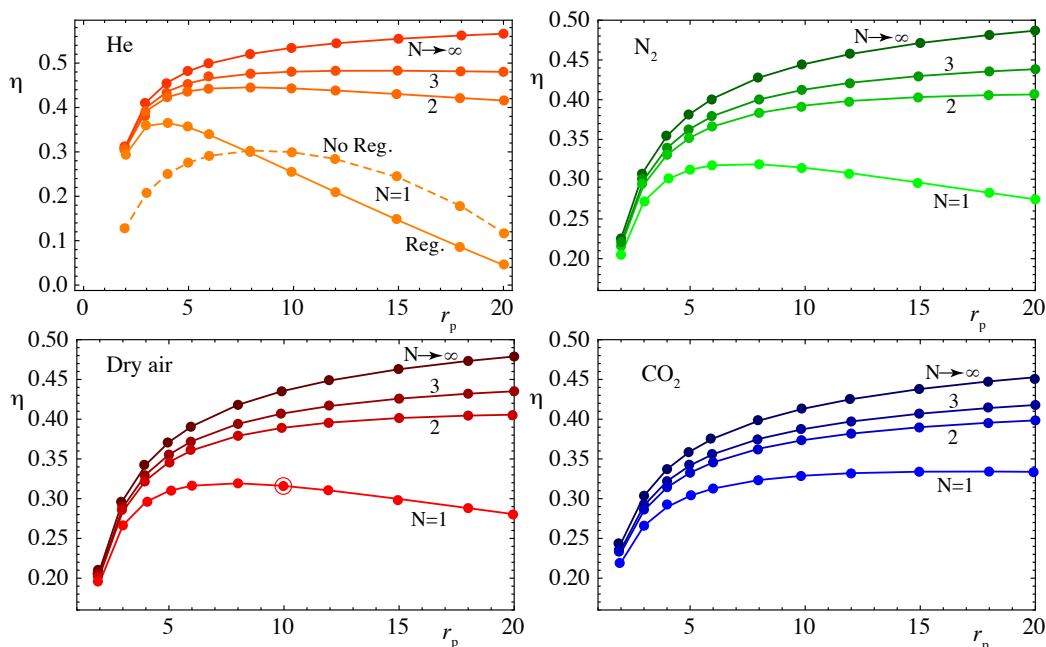


Figure 9: Overall plant efficiency plotted against the pressure ratio for the considered working fluids. Several multi-step configurations are considered. In the case of He and  $N = 1$  (top left figure) regenerative (solid line) and non-regenerative plant configurations are plotted (dashed line). The reference efficiency of the Solugas plant is shown for air as an open circle.

591 Overall plant efficiency is displayed in Fig. 9. In the case of He two  
 592 configurations were checked for  $N = 1$ , with and without regeneration. When  
 593 regeneration is considered, optimum pressure ratios leading to the highest  
 594 efficiencies are around  $r_p = 4$ , leading to  $\eta \simeq 0.37$ . Values of  $r_p$  above 8  
 595 leads to worse efficiencies than for the non-regenerative configurations. The  
 596 highest value of  $\eta$  in the case  $N = 1$  when regeneration is not incorporated  
 597 is obtained for  $r_p = 8$ ,  $\eta = 0.31$ . This means that the incorporation of  
 598 regeneration increases overall efficiency about 20%, provided that a lower  
 599 value of the pressure ratio is considered.

600 For air and nitrogen the curves for  $\eta$  monotonically increase with  $r_p$  ex-  
 601 cept for the single stage configuration, where there is a quite flat maximum  
 602 between values of  $r_p$  in the interval 6 – 10. In the case of  $\text{CO}_2$  always an  
 603 increase of the pressure ratio leads to larger values of efficiency, although for  
 604  $N = 1$ ,  $\eta$  is almost constant above  $r_p \simeq 10$ .

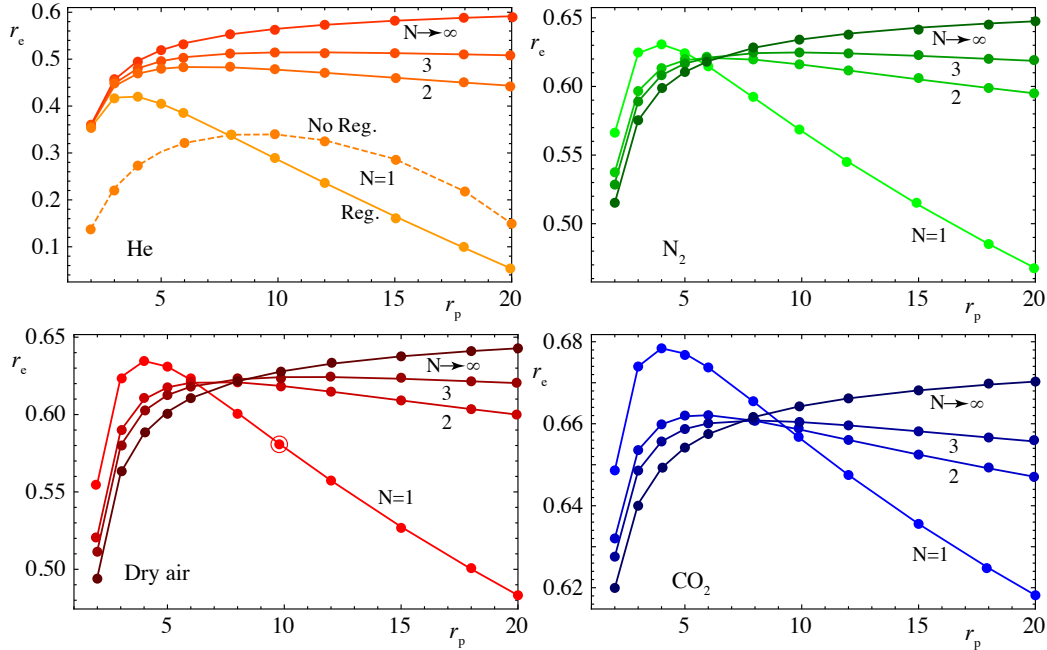


Figure 10: Fuel conversion rate,  $r_e$ , against the pressure ratio,  $r_p$  for the considered working fluids. In the case of He and  $N = 1$  (top left figure) regenerative (solid line) and non-regenerative plant configurations are plotted (dashed line).

605 Fuel conversion rate,  $r_e$ , for all the working fluids, has a narrow maximum  
 606 (see Fig. 10) for low values of  $r_p$ . For He this maximum is below the values

607 of  $r_e$  for multi-stage configurations. Curves of  $\eta$  and  $r_e$  are very similar due  
 608 to the scarce solar heat input for this fluid with the considered aperture  
 609 area. On the other side, for  $\text{CO}_2$ ,  $r_e$  for  $N = 1$  is larger than for any other  
 610 configuration and any other value of the pressure ratio ( $r_e = 0.68$ ). Air  
 611 and nitrogen are intermediate cases: values of  $r_e$  for  $N = 1$  and low  $r_p$  are  
 612 similar than those for multi-stage configurations and larger  $r_p$  values. For  
 613 configurations with  $N \geq 2$  there is a wide interval of values of  $r_p$  leading  
 614 to good fuel conversion rates. Except for He, small  $r_p$  values lead to higher  
 615 values of  $r_e$  for plant layouts with  $N$  small. As  $r_p$  increases an inversion point  
 616 is reached ( $r_p$  between 6 and 8, depending on the fluid) from which higher  $N$   
 617 leads to higher values of  $r_p$ , *i.e.*, the increase on power output compensates  
 618 the increase of fuel consumption.

619 Figure 11 contains the evolution of the power output curves. These curves  
 620 are always monotonic for multi-stage configurations. For  $N = 1$ , air and  
 621 nitrogen display a shallow maximum about  $r_p \simeq 10$ . This point corresponds  
 622 to the design point of Solugas project. Helium shows a maximum for  $r_p \simeq 5$ .

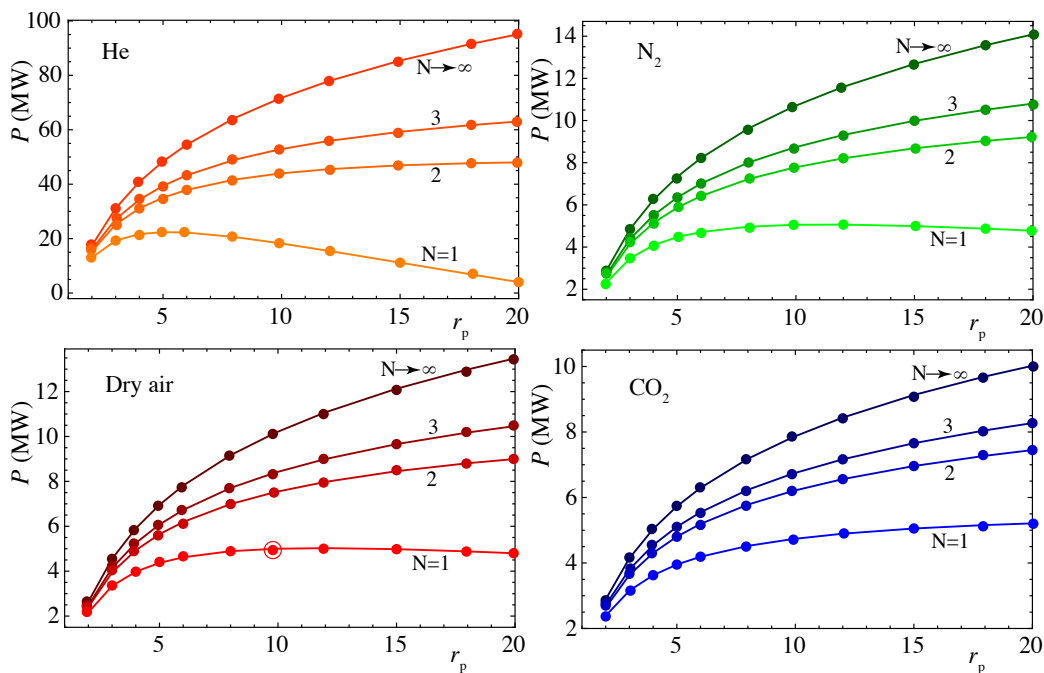


Figure 11: Power output,  $P$ , against the pressure ratio,  $r_p$  for the considered working fluids.



623 Figures for the specific fuel consumption,  $m_f$ , are not shown because are  
 624 essentially the reversal of those for  $r_e$ . The maxima turn to be minima and  
 625 the increasing behavior of most curves with  $r_p$  turns to be decreasing. To  
 626 have a numerical idea, minimum  $m_f$  is got for CO<sub>2</sub>,  $N = 1$  and  $r_p = 5$ ,  
 627  $m_f = 108$  kg/(MW h). For air and nitrogen minimum fuel consumption is  
 628 reached at similar conditions and is about 120 kg/(MW h).

## 629 6. Predictions for two-stages compression cycles

630 In the previous section was shown that there exist a considerable in-  
 631 crease on plant output records from single-stage configurations to two-stage  
 632 configurations. The subsequent improvement for a higher number of com-  
 633 pression/expansion steps is not so noticeable. Thus, in this section particular  
 634 predictions for two different plant layouts with two compressors and inter-  
 635 cooling ( $N_c = 2$ ) are presented: two-stages expansion with reheating ( $N_t = 2$ )  
 636 and single-stage expansion ( $N_t = 1$ ). As a function of the pressure ratio, the  
 637 overall plant efficiency,  $\eta$ , for each fluid is always smaller for single expansion  
 638 (see Fig. 12(a)) than for two-stages expansion, irrespectively of the working  
 639 fluid. But it is noteworthy that for air and nitrogen the curves in the case of  
 640  $N_t = 1$  have a maximum around  $r_p = 12$ , whereas for  $N_t = 2$  are monotonic  
 641 in all the surveyed interval for  $r_p$ . In the case  $N_t = 2$ , overall efficiency can  
 642 reach values slightly above 0.4 for air and nitrogen at  $r_p \simeq 20$ . For  $N_t = 1$ ,  
 643  $\eta_{\max}$  can be about 0.36 - 0.38, depending on the fluid (see Table 3 for pre-  
 644 cise values). The power block efficiency,  $\eta_h$ , can attain values around 0.5 for  
 645  $N_t = 2$  and  $r_p \simeq 20$ , and 0.46 for air or nitrogen for  $N_t = 1$  at  $r_p \simeq 10$ .

646 Fuel conversion efficiency,  $r_e$  (see Fig. 12(d)) behaves differently that over-  
 647 all efficiency. It is always larger (except for He) for  $N_c = 2$ ,  $N_t = 1$  than  
 648 for  $N_c = N_t = 2$ . Carbon dioxide leads to the best values of fuel conversion  
 649 efficiencies, specially for  $N_c = 2$ ,  $N_t = 1$  at low values of  $r_p$  and also gives  
 650 reasonable good values of overall efficiency and low specific fuel consumption  
 651 (see also Fig. 13):  $r_{e,\max} = 0.70$  and  $m_{f,\min} = 108$  kg/(MW h). Comparing  
 652 with air and nitrogen (that give similar numbers) in the same conditions,  
 653 carbon dioxide improves fuel conversion efficiency by 7.7% and decreases  
 654 specific fuel consumption by 8.5%. And comparing with the reference plant,  
 655 Solugas, overall efficiency increases 18.7%, fuel conversion efficiency 22.8%,  
 656 and specific fuel consumption diminishes 22.2%.

657 With respect to helium, in spite of the probably small size of the heliostat  
 658 field taken from the reference plant, overall efficiency could take values about

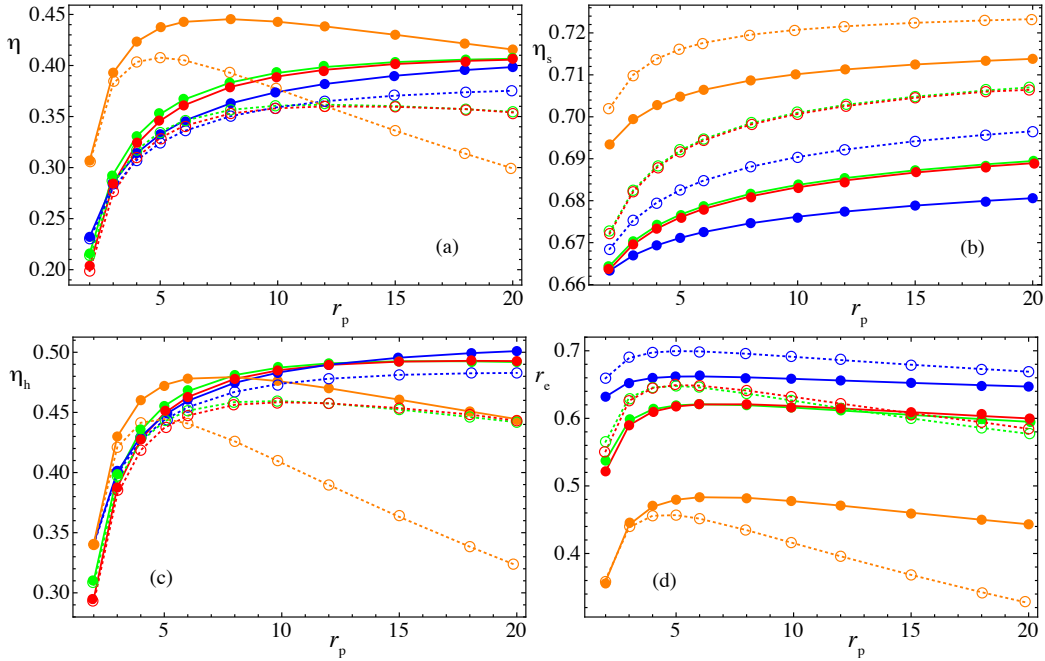


Figure 12: Plant efficiencies as functions of the pressure ratio for different working fluids (helium, orange; dry air, red; nitrogen, green, and carbon dioxide, blue) and two particular configurations:  $N_t = N_c = 2$  (solid lines) and  $N_t = 1; N_c = 2$  (dashed).

659 0.45 for  $N_t = N_c = 2$  and  $r_p = 8$ , and about 0.40 for  $N_t = 1, N_c = 2$  and  
 660  $r_p = 5$ . Fuel conversion rate is expected to be around 0.40 - 0.45, that are  
 661 numbers considerable smaller than those for air or carbon dioxide.

## 662 7. Conclusions

663 In this work a general thermodynamic model for central tower hybrid  
 664 Brayton thermosolar plants has been developed. The model is capable to  
 665 predict overall plant performance and other records in terms of the efficien-  
 666 cies of its subsystems: solar field and receiver, Brayton heat engine, and  
 667 combustion chamber. All the main irreversibility sources are included in the  
 668 model and it allows to analyze multi-stage compression and expansion and  
 669 recuperative or non-recuperative layouts. The model considers temperature  
 670 dependent specific heats of the working fluid. The main heat transfers and  
 671 so, efficiencies and power output, can be obtained in an analytical way. The  
 672 most important loss or irreversibility sources are incorporated to the model.

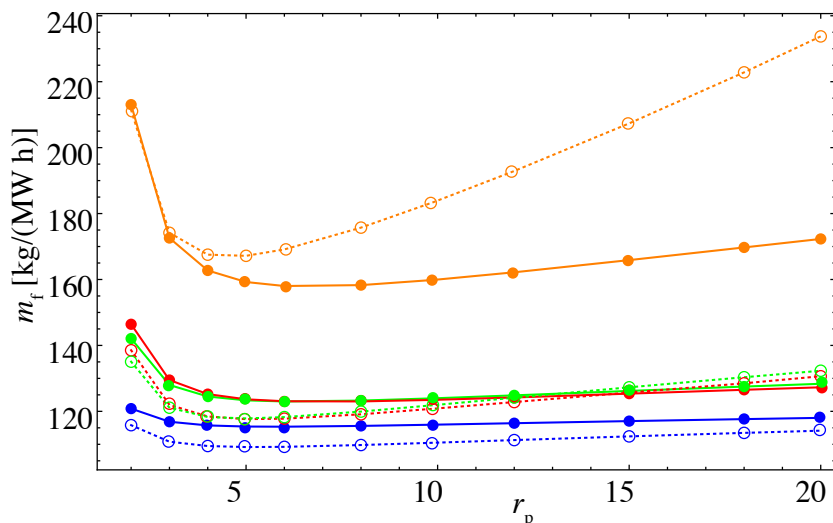


Figure 13: Specific fuel consumption in terms of the pressure ratio for different working fluids (helium, orange; dry air, red; nitrogen, green, and carbon dioxide, blue) and two particular configurations:  $N_t = N_c = 2$  (solid lines) and  $N_t = 1; N_c = 2$  (dashed).

673 For the Brayton subsystem both internal and external irreversibility sources  
 674 are considered. Output parameters depend on a not large number of pa-  
 675 rameters with clear physical meaning, so it is feasible to develop sensitivity  
 676 analysis and propose optimum plant configurations.

677 The model is validated by considering a real prototype plant of about  
 678 5 MW at design conditions (*Solugas* project, Seville, Spain) as target. Nu-  
 679 merical results are presented for several working fluids. First, a fixed overall  
 680 pressure ratio is considered ( $r_p = 9.9$ ) and the influence of four gas working  
 681 fluids at subcritical conditions (dry air, nitrogen, carbon dioxide, and helium)  
 682 analyzed for different multi-stage configurations. Two stage compression and  
 683 expansion configurations using air, nitrogen or CO<sub>2</sub> as working fluids are ca-  
 684 pable to increase overall plant efficiency about 17 - 20 % with respect to the  
 685 reference plant (*Solugas*). In the case of helium, overall efficiency increases  
 686 up to 40% but with a large increase of fuel consumption due to reheaters  
 687 between turbines. In this case, the aperture area of the solar field taken as  
 688 reference is undersized and solar share small. For the other fluids, the in-  
 689 crease of power output associated to multi-stage compression and expansion  
 690 compensates the increase of fuel consumption and so, the fuel conversion  
 691 rate improves. Also numerical values with larger number of compression and

	$\eta_{\max}$	$r_p$	$r_{e,\max}$	$r_p$	$P_{\max}$ (MW)	$r_p$	$m_{f,\min}$ [kg/(MW h)]	$r_p$
Ref.	0.32	9.9	0.58	9.9	5.06	9.9	132	9.9
$N_c = N_t = 2$								
Dry air	0.41	20	0.62	6	9.0	20	121	6
N <sub>2</sub>	0.41	20	0.62	6	9.2	20	121	6
He	0.45	8	0.48	6	48.0	20	158	6
CO <sub>2</sub>	0.40	20	0.66	6	7.4	20	118	6
$N_c = 2, N_t = 1$								
Dry air	0.36	12	0.65	5	6.4	20	118	5
N <sub>2</sub>	0.37	12	0.65	5	6.6	20	118	5
He	0.41	5	0.45	5	29.3	8	170	5
CO <sub>2</sub>	0.38	20	0.70	5	6.1	20	108	5

Table 3: Maximum values of overall efficiency ( $\eta_{\max}$ ), fuel conversion efficiency ( $r_{e,\max}$ ), maximum power output ( $P_{\max}$ ) and minimum specific fuel consumption ( $m_{f,\min}$ ) and the corresponding pressure ratios for cycles with  $N_c = 2$  and one ( $N_t = 1$ ) or two ( $N_t = 2$ ) expansion stages. The reference values of the Solugas project, denoted as (Ref.), are included for comparison.

692 expansion steps are presented well as the theoretical limits in the eventual  
693 case of an arbitrary large number of stages.

694 Afterwards an analysis of optimum plant configurations is performed.  
695 Three ingredients are analyzed together: the working fluid, the number of  
696 compression/expansion steps, and the overall pressure ratio. For single stage  
697 layouts, the curves of the overall plant efficiency,  $\eta$ , when plotted against  
698 the pressure ratio,  $r_p$ , have a maximum between  $r_p = 5 - 8$  except for CO<sub>2</sub>,  
699 but for multi-stage configurations,  $\eta$  increases monotonically with  $r_p$  for all  
700 fluids. The fuel conversion rate, that represents the plant power output with  
701 respect to the energy input with an economic cost (fuel consumption), has  
702 a maximum for single stage configurations,  $N_t = N_c = 1$ , at low values of  
703 pressure ratio,  $r_p \simeq 4 - 5$ . These maxima values are high, especially for CO<sub>2</sub>  
704 that reaches almost 0.68. For this fluid, subcritical CO<sub>2</sub>, the region  $r_p \simeq 3 - 7$   
705 is very favorable to get good fuel conversion rates.

706 An specific analysis was done for two-stages compression cycles ( $N_c = 2$ )  
707 including single-stage expansion ( $N_t = 1$ ) and two-stages expansion ( $N_t = 2$ ).  
708 The overall efficiency is larger with  $N_t = 2$ , but this is opposite for the fuel

709 conversion rate,  $r_e$ . The fluid leading to higher values of  $r_e$  is again CO<sub>2</sub> with  
710  $N_c = 2$  and  $N_t = 1$ , that attains  $r_e \simeq 0.7$  at  $r_p \simeq 5$ . Comparing with the data  
711 of the reference plant (*Solugas*, single stage, and working with air at  $r_p =$   
712 9.9), overall efficiency increases 18.7%, fuel conversion rate increases about  
713 22.8%, and specific fuel consumption decreases about 8.5%, giving values  
714 about 108 kg/(MW h). These numbers suggest that the use of subcritical  
715 CO<sub>2</sub> with two compressors, intercooling, and single stage expansion could be  
716 an interesting option for future plant designs. Although, of course a technical  
717 study about turbomachinery details and also about other engineering and  
718 economical issues should be developed.

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