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Doctoral Dissertation

**Characterization by Next Generation Sequencing of
mutations of spliceosome genes and bone marrow
mesenchymal cells in patients with myelodysplastic
syndromes**

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Introduction

1. Myelodysplastic Syndromes – Overview

Myelodysplastic syndromes (MDS) are clonal disorders of hematopoietic progenitor cells [1]. They are characterized by ineffective hematopoiesis, dysplasia of at least one hematopoietic lineage, hypercellular bone marrow (BM), and peripheral blood (PB) cytopenias, with an increased risk of leukemic transformation [2-6].

1.1 Epidemiology

MDS are a group of diseases of elderly with predominance in men [7]. The median age of patients at diagnosis is 70 years, among the different nationalities, except for Asian population, with the lowest median age of 49 [8-11]. The age-adjusted incidence is 4.9 per 100,000 cases per year and it increases with age, being up to 30 in patients above 70 years old [1, 12].

1.2 Etiology

Different risk factors can contribute to the development of primary MDS. The main ones include: advanced age, male gender, and environmental agents such as benzene, ionizing radiation, excess alcohol and tobacco smoking [13, 14]. Furthermore, exposure to radiation or chemotherapy may be the cause of secondary or therapy related-MDS [3]. By contrast, the MDS in younger patients is more related to from bone marrow failure syndromes such as Fanconi Anemia, dyskeratosis congenita or Schwachman-Diamond syndrome [1, 15].

1.3 Diagnostics

MDS are very heterogeneous group of diseases, thereby the diagnosis is often very challenging. However, usually the suspicion of MDS diagnosis is based on the presence of cytopenia in a routine analysis of peripheral blood [16]. The WHO recommended threshold levels of cytopenias came from those previously reported in the International Prognostic Scoring System (IPSS) considering anemia when Hb is low than 10g/dL,

thrombocytopenia when platelet count $< 100 \times 10^9/L$ and neutropenia when absolute neutrophil count (ANC) $< 1.8 \times 10^9/L$ [17]. Furthermore in order to confirm MDS diagnosis, morphological evaluation of peripheral blood smear and bone marrow aspirate is performed [18]. The BM in MDS is usually hypercellular with dysplasia in one or more lineages (erythroid, granulocytic, megakaryocytic) [19-22]. The recommended requisite percentage of cells manifesting dysplasia to qualify as significant is $\geq 10\%$ [23]. In patients where cytopenias are moderate and marrow dysplasia is mild, detection of a chromosomal abnormality (karyotype and/or FISH), flow cytometry analysis, as well as mutational analysis are considered to better define MDS subtypes and prognosis of these patients [16, 24-26]. The proportion of blasts in the BM must also be assessed to provide a correct classification [27].

1.4 Classifications

There are two classification systems for MDS patients. The French-American-British (FAB) classification scheme for MDS was described in 1982 and has become the reference standard for subsequent MDS classification schemes [28]. Five subgroups with significantly different prognoses were established, taking into account PB and BM percentage of blasts, number of monocytes in PB and percentage of ringed sideroblasts.[28, 29]. In 2001, the World Health Organization (WHO) published a new classification for hematopoietic and lymphoid neoplasms. Therefore apart of previously considered morphologic findings, WHO added available information including genetic, immunophenotypic, biologic and clinical features making a main changes to the FAB division [30]:

- A new subtypes 5q syndrome as well as refractory cytopenia with multilineage dysplasia without and with ring sideroblasts (RCMD, RCMD-RS) were defined.
- RAEB-t was considered as acute myeloid leukemia (AML).
- RAEB was split into two different subtypes: RAEB-1 and RAEB-2 based on blasts percentage.
- CMML was included into the myelodysplastic/myeloproliferative syndromes group.

The growing number of research studies that have been performed since then contributed to the update in 2008 of the WHO classification, which redefined diagnostic criteria and introduced newly recognized disease entities which are shown in Table 1 [31].

Table 1. WHO 2008 classification of MDS (Adapted from Vardiman et. al, 2009, *Blood*)[31].

MDS subtype	Blood findings		Bone marrow findings	
	Cytopenia	Blasts	Dysplasia	Blasts
RCUD (RA, RN, RT)	Unicytopenia/ bicytopenia	No or rare blasts ($<1\%$)	Unilineage dysplasia: $\geq 10\%$ of the cells in one myeloid lineage	$<5\%$ blasts $<15\%$ ringed sideroblasts
RARS	Anemia	No blasts	Erythroid	$<5\%$ blasts $\geq 15\%$ ringed sideroblasts
RCMD	Cytopenia	No or rare blasts ($<1\%$) No Auer rods	$\geq 10\%$ of cells in 2 or more myeloid cell lineages	$<5\%$ blasts $\pm 15\%$ ringed sideroblasts No Auer rods
RAEB-1	Cytopenia(s)	$<5\%$ blasts No Auer rods	Unilineage/ multilineage	5-9% blasts No Auer rods
RAEB-2	Cytopenia(s)	5-19% blasts Auer rods \pm	Unilineage/multi lineage	10-19% blasts Auer rods \pm
MDS-U	Cytopenias	$<1\%$ blasts	$<10\%$ of cells in 1 or more myeloid lineages+ cytogenic abnormality	$<5\%$ blasts
MDS associated with isolated del(5q)	Anemia	No or rare blasts ($<1\%$)		$<5\%$ blasts Isolated del(5q) No Auer rods

RCUD: refractory cytopenia with unilineage dysplasia; RA: refractory anemia; RN: refractory neutropenia; RT: refractory thrombocytopenia; RARS: refractory anemia with ringed sideroblasts; RCMD: refractory cytopenia with multilineage dysplasia; RAEB-1: refractory anemia with excess blasts-1; RAEB-2: refractory anemia with excess blasts-2; MDS-U: myelodysplastic syndrome-unclassified.

A novel MDS WHO classification system was published in 2016, which allows a more accurate diagnosis and risk assessment. This new classification system is based, as before, on clinical features, morphology and cytogenetics, and includes for the first time information from mutational analysis. Among the wide spectrum of molecular data that are available for myeloid neoplasms, *SF3B1* mutational status has been included and recognized to define MDS with ring sideroblasts (MDS-RS) (Table 2) [32].

Table 2. WHO 2016 classification of MDS (Adapted from Arber et. al, 2016, *Blood*)[32].

MDS-SLD: MDS with single lineage dysplasia; MDS-MLD: MDS with multilineage dysplasia; MDS-RS: MDS with ring sideroblasts; MDS-RS-SLD: MDS-RS with single lineage dysplasia; MDS-RS-MLD: MDS-RS with multilineage dysplasia; MDS-EB: MDS with excess blasts; MDS-U: MDS unclassifiable.

The patients included in this Ph.D. thesis were classified according to WHO 2008 and 2016 criteria, with the exception of cases with RCMD-RS, which were maintained as a separate category according to WHO 2001, due to the distinctive characteristic of presenting ring sideroblasts and multilineage dysplasia.

1.4.1 Risk stratification systems

MDS include several different entities. Therefore it is necessary to have systems that help to establish the prognosis of each patient in order to assess the need and the type of treatment they should receive. The most commonly used system is the International Prognostic Scoring System (IPSS) established in 1997 by Greenberg P. and his colleagues [33]. The prognostic score includes blasts percentage, number of cytopenias and chromosomal abnormalities, stratified over different cytogenetic risk groups [28]. However, over time, IPSS has been noted as not very precise predictor of prognosis in lower-risk patients [34]. Therefore the IPSS has been revised (IPSS-R) in order to capture more inclusive cytogenetic characterization, more stringent blast description, and more detailed cytopenia assignments, making it becomes reliably tool for estimate survival and risk of transformation to AML in all MDS patients [35]. Table 3 represent description of both prognostic scoring system (IPSS, IPSS-R) including stratification of chromosomal abnormalities into various cytogenetic risk group, score values of prognostic variables and the risk score corresponding to different risk group.

The beginning of XXI century has been significantly influenced by the results from the Human Genome Project (HGP), and consequently by the discovery and wide use of next generation sequencing (NGS). Huge amounts of molecular data were initially generated by the HGP, and afterwards by a wide spectrum of research studies conducted on large cohorts of patients suffering from different hematological malignancies, providing significant knowledge about the pathogenesis of these disorders [36-39]. Among these studies it has been demonstrated that some gene mutations have a significant influence in the prognosis of the patients [40-46]. For this reason, a proposal to include this molecular knowledge into the IPSS-R stratification system is being carried out. In fact, mutations of *CBL*, *IDH2*, *ASXL1*, *DNMT3A* and *TP53* has been independently associated with shorter survival [46]. Each IPSS-R group or 2016 WHO MDS subtype could be divided into 2 risk subgroups based on the molecular status of this five genes, demonstrating the utility and importance of considering the previous acquired wide molecular knowledge [46].

Table 3. A comparison between the International Prognostic Scoring System (IPSS) and the Revised International Prognostic Scoring System (IPSS-R) (adapted from Greenberg et al., 1997, *Blood*; Greenberg et al., 2012, *Blood*) [33, 35].

Prognostic variable		Score value															
		0	0.5	1	1.5	2	3	4									
Cytopenias																	
number	IPSS																
	Hb<10																
	Platelets <100	0 or 1	2 or 3														
	ANC <1.5																
values	IPSS-R																
	Hb	≥10		8<10	<8												
	Platelets	≥100	50<100	<50													
	ANC	≥0.8	<0.8														
Bone marrow blasts, %																	
IPSS		<5	5 to 10		11 to 20	21 to 30											
IPSS-R		≤2		>2<5		5 to 10	>10										
Cytogenetics																	
IPSS																	
		Good	Interm	Poor													
IPSS-R		Very good		Good		Interm	Poor	Very poor									
IPSS		IPSS-R															
Very good		del(11q), -Y															
Good		Normal, -Y, del(5q), del(20q)		Normal, del(5q), del(12p), del(20q), double including del(5q)													
Int		Other		del(7q), +8, +19, , i(17q), any other single or double independent clones													
Poor		Complex (≥3 chr abnormalities) or chr 7 anomalies		inv(3)/t(3q)/del(3q),-7, double including -7/del(7q), complex: 3 abnormalities													
Very poor		Complex: > 3 abnormalities															
Risk score		0	0.5	1	1.5	2	2.5	3	3.5								
IPSS risk group		low	Int-1	Int-2	High												
Risk score		0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	...	10
IPSS-R risk group		Very low			Low			Int		High			Very high				

2. MDS: Genomic abnormalities

2.1 Cytogenetics in MDS patients

One of the most common characteristics of MDS patients is the presence of chromosomal alterations affecting BM cells. Acquired cytogenetic abnormalities are found in 40-50% of cases with MDS and their analysis play a fundamental role in disease classification, evolution, drug response and overall survival [47-49]. Among cytogenetic changes, deletions of the long arm of chromosome 5, del(5q), are the most frequently chromosomal abnormality found in MDS patients, affecting up to 15% of diagnosed cases [48, 50]. MDS with isolated del(5q) is the only cytogenetics-based defined MDS subtype [51]. Patients with del(5q) as unique alteration, often have a good prognosis. Nevertheless, when additional chromosomal aberrations are present, prognosis becomes unfavorable. The 5q31 is the most frequent commonly deleted region [47, 52]. Trisomy of

chromosome 8, del(20q), del(7q) and monosomy 7 are other common chromosomal lesions in MDS. The recurrent chromosomal abnormalities and the incidence in MDS are shown in Figure 1 [49, 53].

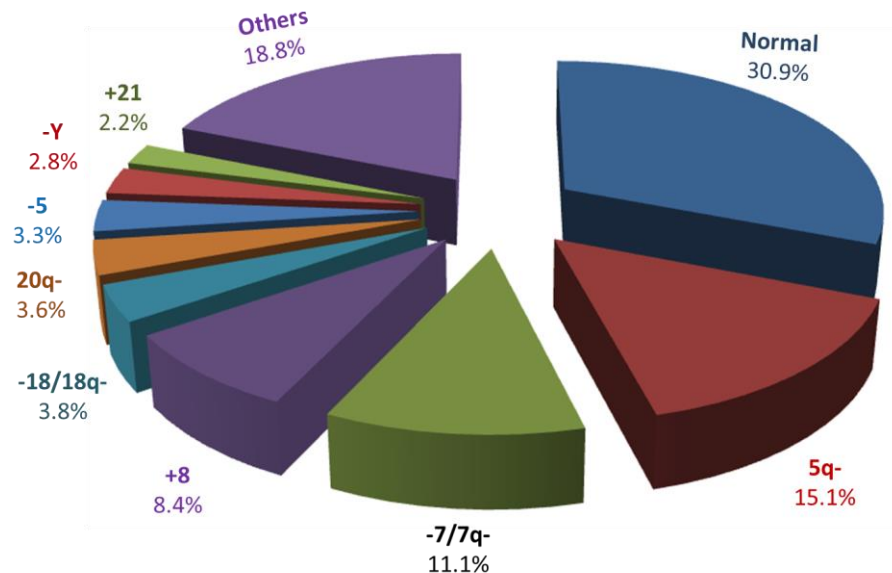


Figure 1. Incidence of chromosome abnormalities in MDS (adapted from Haase D., 2008, *Ann Hematol*)[54].

The cytogenetic alterations are one of the most important factors associated with the outcome in MDS. Therefore cytogenetics must be analyzed in these patients to assess the IPSS and the IPSS-R (Table 3) [55, 56].

2.2 Overview of gene mutations in MDS

The use of massive parallel deep-sequencing techniques (whole genome, whole exome and targeted gene sequencing) in a large number of patients with various hematological malignancies, led to the identification of new sets of recurrent mutations, hereby providing new insights into the pathophysiology of MDS. Mutations affect the majority of newly diagnosed cases of MDS (covering approximately 90% of patients with MDS) even with a normal karyotype. The high number of driver genes affected in MDS patients can be classified into different cellular processes: epigenetic regulators (*TET2*, *DNMT3A*, *IDH1*, *IDH2*, *ASXL1*, *EZH2*), transcription factors (*ETV6*, *RUNX1*, *TP53*), cohesins (*STAG2*, *RAD21*), signal transduction proteins (*CBL*, *JAK2*, *KRAS*, *NRAS*), and genes related to the RNA

splicing machinery (*SF3B1*, *SRSF2*, *U2AF1*, *ZRSR2*) [25, 37, 57-59]. The frequency of driver mutations, considering the MDS subtype is shown in Figure 2.

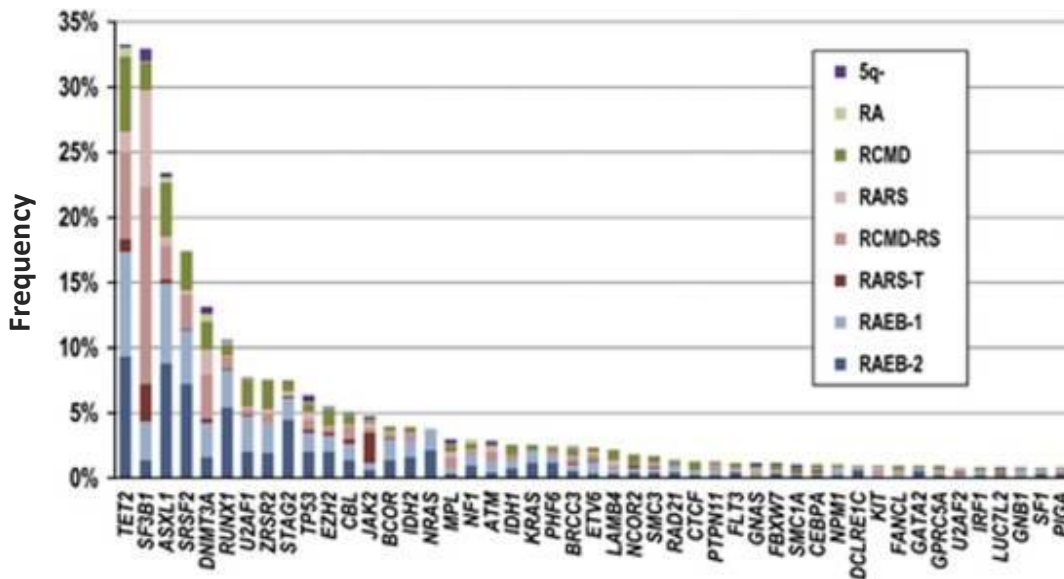


Figure 2. Frequency of driven mutations according to the MDS subtype (Haferlach et al., 2014, *Leukemia*)[38].

It has been shown that approximately 40% of patients carried 2 or 3 mutated genes, while 10% of cases presented from 4 to 8 oncogenic point mutations [38]. In this scenario where multiple gene mutations may be present in one single patient, gene-gene interactions are of great interest, and can be of either co-occurrence or mutually exclusivity. Mutually exclusive relationship has been reported between *SRSF2* mutations and mutations/deletions of *DNMT3A*, *EZH2*, and *IRF1*, and also between *ASXL1* and *DNMT3A*. Similarly, no affinity of *SF3B1* with common mutational targets, other than *DNMT3A* and *JAK2*, has been found. By contrast, the co-occurrence among mutations/deletions in *STAG2*, *IDH1*, *ASXL1*, *RUNX1* and *BCOR* genes has been reported [37, 38].

Some of these gene mutations are associated with clinical features such as complex karyotypes (*TP53*), excess BM blast proportion (*RUNX1*, *NRAS*), and the presence of ring sideroblasts (*SF3B1*) [40, 60]. Furthermore, mutations in *TP53*, *EZH2*, *ETV6*, *RUNX1* as well *ASXL1* have been described as predictors of poor overall survival, unlike *SF3B1* with a better outcome for the MDS patients [58, 61].

2.2.1 Mutations in the RNA splicing machinery components

Splicing process represents the key link between transcription and translation, that allows the production of multiple mRNA isoforms of more than 90% of human protein-coding genes [62]. This process consists in removing introns and joining exons together in order to produce a mature mRNA molecule [63]. This process is catalyzed by the spliceosome, a protein complex comprised of a core of five small nuclear ribonucleoproteins (snRNP) U1, U2, U4, U5 and U6 what is represented in Figure 3 [64, 65].

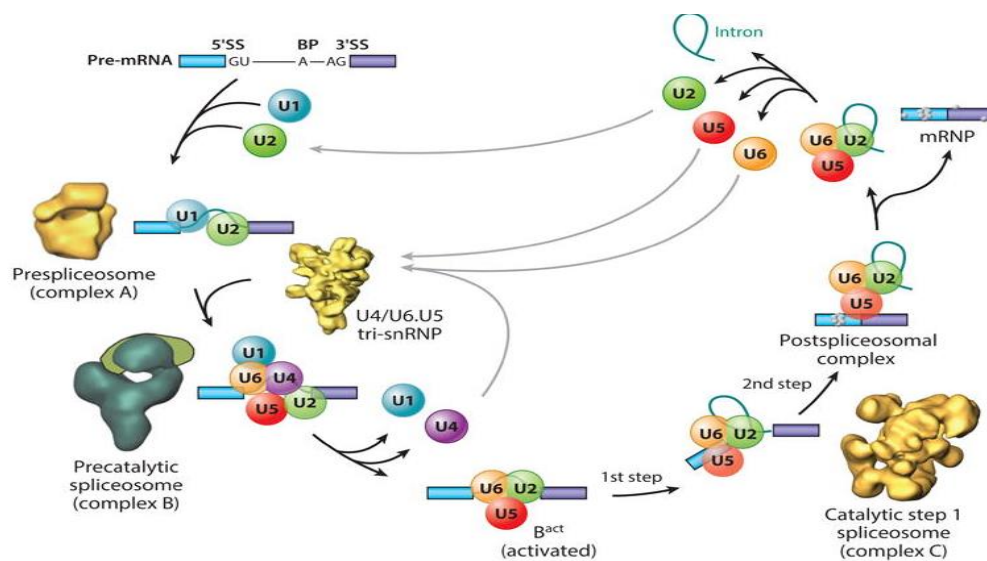


Figure 3. Representation of the spliceosome and splicing process, including the implication of the different snRNP in order to produce a mature mRNA (Lee et.al, 2015, *Annu Rev Biochem*)[65].

As mentioned above, NGS analysis led to the identification of mutations in genes of the RNA splicing machinery, such as *SF3B1*, *SRSF2*, *U2AF1* and *ZRSR2*, in different myeloid malignancies, being highly prevalent and recurrent in MDS and affecting more than 50% of cases [66]. The high prevalence of these mutations and their early appearance in the disease suggest that they may be key drivers of MDS [67].

SF3B1

The splicing factor 3b, subunit 1 (*SF3B1*) also known as *SF3b155* or *SAP155 gene* is located on the long arm of chromosome 2 (2q33). It is one of the elements that comprise the SF3b component of the U2 snRNP [68, 69]. Next generation sequencing studies have revealed that approximately 30% of MDS patients have mutations in *SF3B1* gene, with a

particularly high prevalence in RARS subtype which is reflected in the new 2016 WHO classification (>80%) [32, 40, 61]. Furthermore, *SF3B1* mutations in low risk MDS patients were associated with good prognostic parameters [40]. The majority of *SF3B1* mutations are missense substitutions located in the middle of 4 contiguous HEAT domains from a total of 22, as shown in Figure 4 [70, 71].

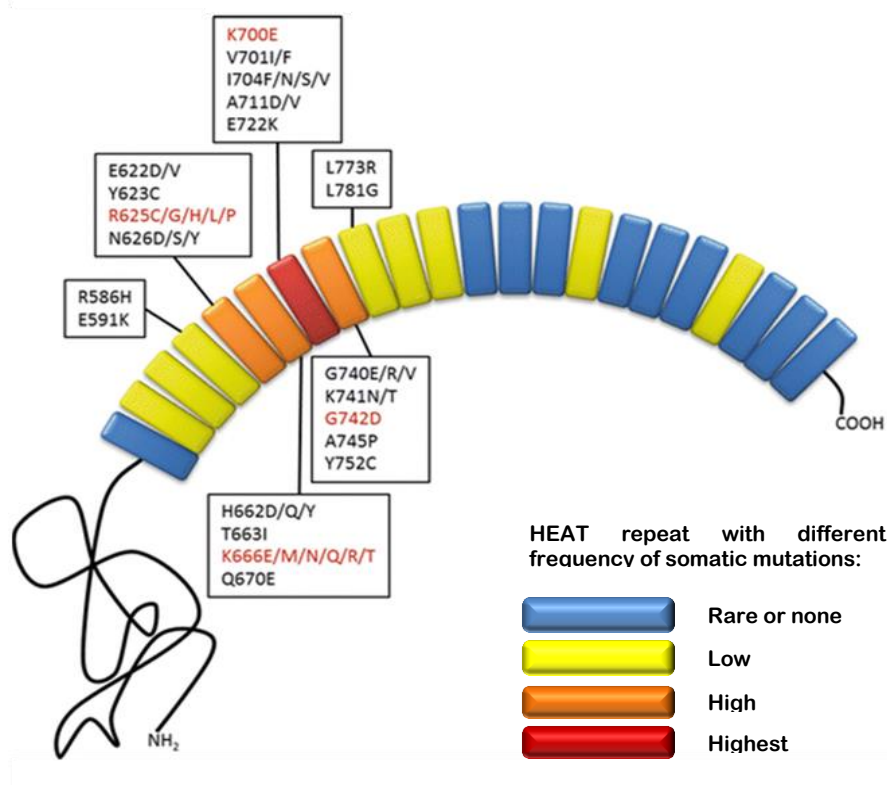


Figure 4. Distribution of *SF3B1* mutations. Each rectangle represents a HEAT domain, which has been colored depending on the frequency of recurrent somatic mutations. (Bejar et.al, 2016, *Adv Exp Med Biol.*)[70].

Among the different hotspot codons (622, 625, 662, 666 and 700), missense substitutions at codon 700 (p.K700E), are the most frequent point mutation [72, 73]. All these mutations led to a gain of function [62]. Hotspot mutations in this gene result in an out-of-frame splicing event for a subset of transcripts [62, 70, 74].

U2AF1

The U2-complex auxiliary factor 1 (*U2AF1*) also known as *U2AF35*, is another gene frequently mutated in MDS patients. It is located on the long arm of chromosome 21 (21q22.3). *U2AF1* mutations appear approximately in 10% of MDS patients [75]. No effect on overall survival was detected, however trend toward a more rapid transformation to

AML was seen [76]. Missense mutations occur mostly in two hotspot codons (S34 and Q157), which are adjacent to RNA-binding zinc-finger domains, and are associated with altered splicing of ~5% of gene transcripts [70, 74]. Recognition of the terminal 3' AG dinucleotide in pre-mRNA introns by *U2AF1* is disrupted by mutations and result in exon inclusion or exclusion in a sequence-specific manner [70, 74].

SRSF2

The serine/arginine-rich splicing factor 2 gene (*SRSF2*) is another important member of the spliceosome complex. It is located in the long arm of chromosome 17 (17q25.1). It has been shown that mutations in this gene affected 15% of MDS cases, and have been found to have an adverse effect on overall survival in these patients [70, 77]. P95 is the most frequently mutated codon, where proline (P) is more often substituted by histidine (H), and less frequently by arginine (R) or leucine (L) [77]. Its different functions, such as spliceosome assembly of the U1 snRNP to the 5' splice site or U2 snRNP binding at the branch point and mRNA stabilization, are altered by this hotspot mutation, leading to changes in exon inclusion [70, 78].

ZRSR2

The zinc finger (CCCH type), RNA-binding motif and serine/arginine rich 2 gene (*ZRSR2*) represent another splicing factor frequently mutated in MDS patients (5%). In contrast to the rest of the splicing genes described above, it is located in the short arm of the X-chromosome (Xp22.2), meaning that men only carry a single copy of this gene. *ZRSR2* is an essential component of U12 minor spliceosome. Besides missense mutations, out-of-frame insertions/deletions, splice-site and nonsense mutations are also present. Loss-of-function is caused as a consequence of *ZRSR2* alteration. With regard to the splicing process, abnormal function leads to introns retention [67, 70].

3. MDS: Microenvironment

Every day our body produces more than 100 billion of blood cells. To make the process feasible, appropriately environment with special protection and signals is needed. Such a place in bone marrow is hematopoietic stem cell niche [79]. For the first time “niche” was defined by Schofield in 1978, who observed that HSC growth was not supported in the

spleen in the same manner as in the BM [80]. It has been seen that is a unique composition of different non-mesenchymal and mesenchymal-origin cells (mesenchymal stem cells, osteoblasts, osteoclasts, endothelial cells, fibroblasts, adipocytes and chondrocytes), located in the endosteal and perivascular sites of the bone marrow (BM) cavity (Figure 5). It makes possible hematopoiesis process via paracrine regulation, cell-cell contact and extracellular matrix deposition (ECM) [81, 82].

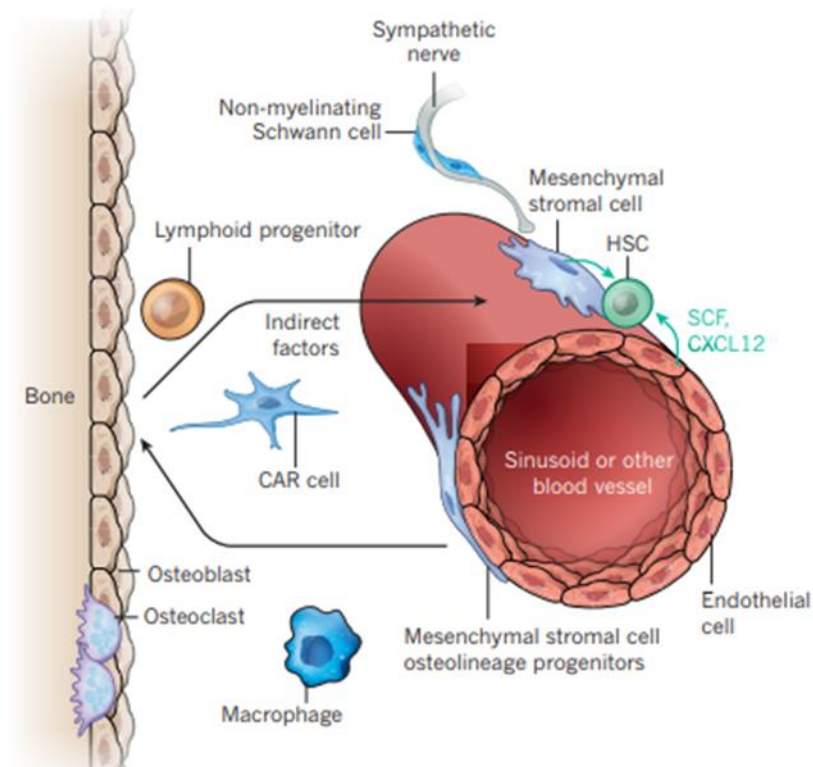


Figure 5. Mesenchymal stromal and hematopoietic cells, and their niche (Morrison and Scadden, 2014, *Nature*)[83].

3.1. Healthy mesenchymal stromal cells

The mesenchymal stromal cells (MSC) are a key element of niche, with the characteristic elongated spindle-shaped and fibroblast appearance. Friedenstien was a first who identified this multipotent cells, which are able to self-renewal and differentiate in different cell lineages including adipocytes, osteocytes and chondrocytes [84-87]. According to the minimal criteria proposed by the International Society for Cellular Therapy, MSC are characterized by the expression of CD73, CD90 and CD105, and they must lack expression of CD34, CD45 and CD14 [88]. Despite they are very rare in the BM (0.001-0.01%), they are essential for the regulation of the hematopoietic stem cells (HSC)

[89]. Through the interaction with HSC, MSC are coordinating the balance of HSC self-renewal and differentiation, ensuring continuous production of blood cells. Furthermore they display immunoregulatory properties [90, 91].

3.2. MDS mesenchymal stromal cells

3.2.1. MSC: Cytogenetics

Despite a large amount of studies, MDS pathogenesis remains still not fully understood. Most of these studies indicate HSC changes as an MDS initiation process. However several studies suggest that alterations present in MDS are not restricted just to bone marrow and peripheral blood hematopoietic cells, but may affect the BM microenvironment [92, 93]. For this reason the interest in MSC investigation has increased significantly in the last few years. Several studies focused on MSC analysis have been published describing qualitative and functional disorders of these cells [84, 86, 90, 94-96]. However still some results of MSC alterations, are a matter of controversy. Thus cytogenetic analysis has shown normal cytogenetic profile of MSC in MDS patients; these studies used FISH to analyze specific chromosomal abnormalities discovered in their hematopoietic counterparts [97, 98]. Meanwhile, other groups have found the presence of cytogenetic alterations in MSC of MDS patients and these cytogenetic alterations in MSC of MDS patients that could modify their correct function [84, 99]. Karyotype aberrations in MSC were found in patients with normal hematopoietic cells but as well in cases that showed cytogenetic changes in hematopoietic cells, and they were different to their MSC counterpart. [84, 99]. Among the irregularities, a loss of chromosomal material and structural aberration occur more often than gains of genetic material [84, 85, 99, 100]. There are no recurrent MSC cytogenetic changes and changes described so far, have been shown located in different chromosomes [85, 86].

3.2.2 Molecular profile of MSC in MDS

A greater number of mutational analysis in MSC of MDS patients could significantly help to better know about MSC role in MDS diseases. To date, mainly mouse models have elucidated certain molecular alteration in niche that could contribute to the

hematopoietic malignancy. Thus Raaijmakers and colleagues demonstrated that osteoblastic deletion of gene *DICER1* contributed to the induction of MDS and AML in mice [101]. Similarly, in a study of the Kode et al, an activating mutation in β -catenin in osteoblasts resulted in the development of MDS and secondary AML in mice [102]. Recently the *WNT* signaling has been also seen as a one of possible factors that may induce some myeloid mouse disorders [103]. With regards to the mutational status of MSC in MDS patients, very few mutation studies have been implemented so far. Blau with the colleagues analyzed the presence of *FLT3* and *NPM1* mutations in MSC and bone marrow blast in MDS and AML patients, showing that MSC do not harbor mutations in analyzed genes, presented in their hematopoietic counterparts [85]. Moreover a focused study in 8 genes in 7 MDS patients failed to demonstrate MSC mutations [104]. By contrast, an additional study, carried out in only five MDS patients, showed some mutations in the MSC [105]. Therefore new studies in large series of patients are needed to define the genetic abnormalities of MSC in MDS patients.

4. Applications of next generation sequencing in MDS study

The expansion of NGS has been adjusted to the last decade. However over a century ago several researchers, like as Robert Holley, Fred Sanger or Walter Fiers and their groups, made the first efforts of DNA “reading” [106-109]. The further improvement, contributed to creation of two sequencing protocols by Fred Sanger with Alan Coulson, and by Allan Maxam with Walter Gilbert that became widely adopted and considered as a birth of “first-generation” sequencing [110, 111]. The continuous upgrading of DNA “reading” techniques allowed for creation of a revolution for the human genetics, The International Human Genome Project. During 13 years, the project aimed to know the sequence of the entire human genome. Finished in 2003, this has shed a new light in understanding the genetic changes of different diseases, mainly cancers [36, 112]. Since then, Next Generation Sequencing techniques (Whole Genome Sequencing-WGS, Whole Exome Sequencing-WES and applied in this thesis Target sequencing), became an essential part of many haematological but not only, research projects [113-119]. All of these technologies apply different target enrichment strategies and clonal amplification of the

DNA that allow to sequence millions of DNA strands in parallel. This massively parallel sequencing facilitates high-throughput sequencing with a significant reduction in costs [120]. NGS platforms have been typically represented by Genome Analyzer/HiSeq, NextSeq 500, 2000/MiSeq from Illumina (<http://illumina.com>), GS FLX Titanium/GS Junior from Roche (<http://sequencing.roche.com>) and SOLiD/Ion Torrent PGM from Life Sciences (www.appliedbiosystems.com) [121-123].

In order to explore selected regions of the genome with NGS there are essentially two approaches. The first is amplicon-based next generation deep sequencing, based on PCR reaction, and purification of regions of interest using highly multiplexed oligo sets (recommended for a panel of a few genes) [124]. In the first part of this thesis (Results: Chapter 1), the amplicon-based deep sequencing workflow was followed using Roche/454 FLX platform (Figure 6) [125]. This platform is based on pyrosequencing in which the incorporation of nucleotides complementary to the template strand triggers an enzymatic cascade, which in turn produces a chemiluminescent signal (Figure 6)[126, 127].

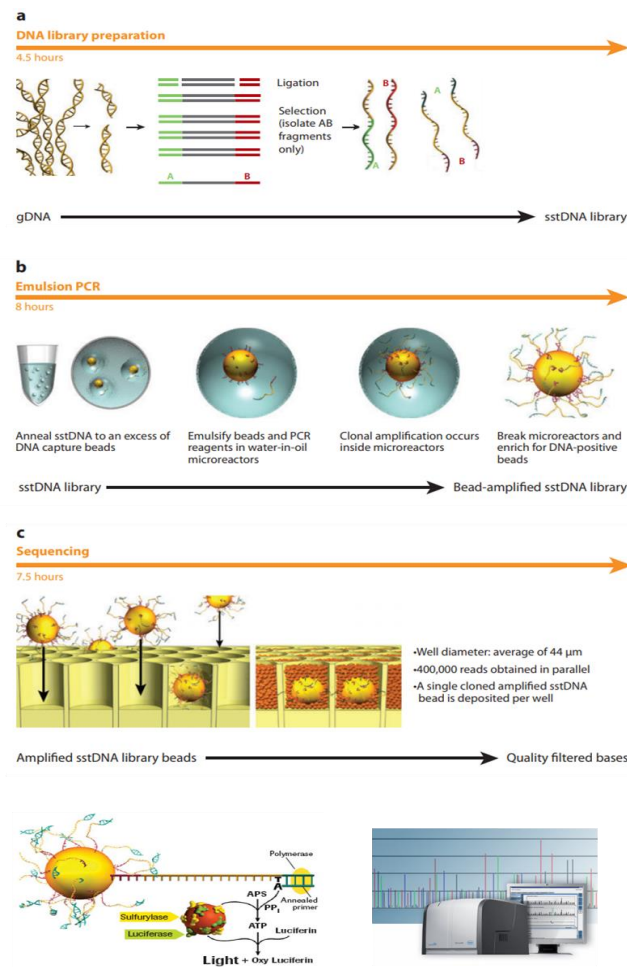


Figure 6. Workflow of amplicon-based NGS by 454 system (adapted from Mardis et al., 2008, *Annu Rev Genomics Hum Genet*). Amplicon library preparation (a); Emulsion PCR (b), Sequencing and data analysis (c).[125].

The second type of NGS strategy involves hybrid capture. The hybrid capture workflow was applied using the NextSeq 500 and 2000/MiSeq from Illumina in the second and third part of this thesis (Results: Chapter 2&3). The hybrid capture takes advantage of the hybridization of DNA fragments from a whole-genome library to complementary sequences that were synthesized and combined into a mixture of probes designed with high specificity for the matching regions in the genome. These probes typically have covalently linked biotin moieties, enabling a secondary “capture” by mixing the probe: library complexes with streptavidin-coated magnetic beads. Hence, the targeted regions of the genome can be selectively captured from solution by applying a magnetic field, whereas most of the remainder of the genome is washed away in the supernatant. Subsequent denaturation releases the captured library fragments from the beads into solution, ready for postcapture amplification, quantitation, and sequencing [128]. Among

the sequencing process a layer of oligonucleotides, complementary to the adapters P5 and P7 added during the preparation of the library, are covalently linked to the sequencing plate, so that the DNA fragments are joined by complementarity and are copied by extension 5'→3' using a high-fidelity DNA polymerase to avoid incorporation errors. Next, the original DNA fragments are denatured leaving the copies immobilized on the surface of the sequencing plate where they are amplified in bridge. Briefly, this amplification shows that these immobilized DNA molecules hybridize with the adjacent oligonucleotides, so that the DNA polymerase, using this adjacent oligonucleotide as a primer, copies them by forming double-stranded DNA bridges. This step of denaturation-amplification is repeated until millions of clusters or individual clusters are created with thousands of molecules equal to each other (Figure 7A). Each cluster of double-stranded DNA is denatured and the reverse strands are removed by excision of specific bases, so that, after a wash, only the forward strands are left. Then, the 3'-ends of the DNA strands and the oligonucleotides attached to the sequencing plate are blocked to avoid interference with the sequencing reaction. Finally, the sequencing primers hybridize with the complementary sequences of the Read 1 adapters at the ends not bound to the sequencing plate. Thus, at this time, the sequencing plate contains more than 200 million clusters with about 1,000 molecules per cluster ready for sequencing by synthesis (Figure 7B). The DNA molecules are sequenced base by base simultaneously in all the clusters, using the 4 nucleotides (A, C, G and T) marked with fluorophores and designed with a reversible terminator. In each cycle, the DNA polymerase incorporates a nucleotide by base complementarity, and, after each stage of synthesis, the clusters are excited by a laser that causes fluorescence in the last incorporated nucleotide. Then, fluorescence labels and blocking groups are removed allowing the addition of the next nucleotide. The fluorescence signal emitted after each incorporation is captured by an incorporated camera producing images of the sequencing plate, so that any signal above the background identifies the physical location of the cluster and the nucleotide incorporated in that position. The final result is a very precise base-based sequencing carried out sequentially from both ends for 151 cycles each, plus 8 additional cycles to read each label, which provides robustness even in regions of repetitive sequences and homopolymers (Figure 7C) (www.illumina.com).

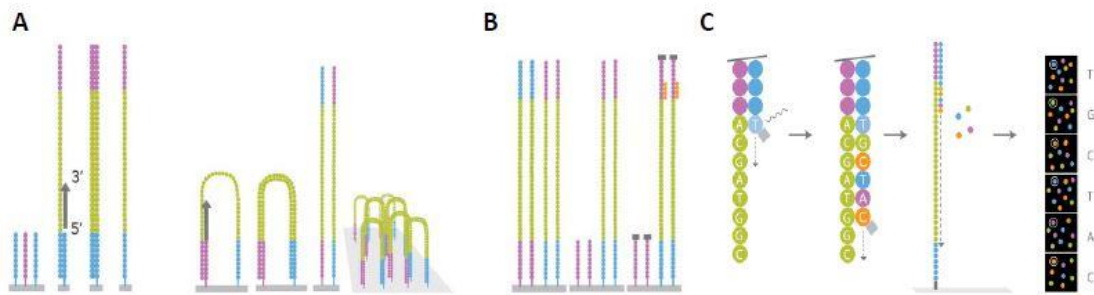


Figure 7. Generation of DNA cluster by bridge amplification and sequencing by synthesis with the Miseq platform of Illumina. (A) The DNA fragments hybridize with the oligonucleotides of the sequencing plate. They are copied and, through the formation of bridges, are amplified forming millions of clusters. (B) The reverse molecules are eliminated and the ends of the forward chains are blocked. Finally, the sequencing primers hybridize with the complementary sequences of the adapters, and everything is ready for sequencing. (C) Sequencing by synthesis. The DNA polymerase incorporates nucleotides marked with fluorophores and these are excited, so that the last incorporated nucleotide emits a specific fluorescence (www.illumina.com).

Over the past years, the NGS analysis have been included in a big amount of MDS studies [117, 129-132]. The discovery of the mutational landscape in MDS patients allowed to better characterization of this patients, providing the knowledge about the MDS pathogenesis [32, 37, 38]. Application of NGS in analysis of MDS patients discovered as well of the impact of several mutations on clinical features that became crucial for better prognosis estimation, and more adequate chose of treatment [45, 58, 133]. Moreover it has been shown the importance of application of NGS in monitoring of healthy individuals in order to prevent the cancer development [134]. Continuing molecular researches are needed to provide new molecular knowledge which helps to better understand and treat MDS disease.

Hypothesis

The application in last years of Next Generation Sequencing (NGS) into MDS studies allowed to define the mutational landscape of these patients. Mutations in genes related to the splicing have been recognized as involved in MDS being *SF3B1* the most frequently mutated gene in low-risk MDS [37, 38]. The high prevalence of *SF3B1* mutations in MDS with ring sideroblasts (MDS-RS) patients demonstrated the strong relationship between mutations in this gene and the presence of ringed sideroblasts [135]. Therefore the 2016 WHO classification incorporated the presence of *SF3B1* mutations as one of the criteria for MDS-RS with the percentage of ringed sideroblasts between 5 and 15 [32]. Most of the *SF3B1* mutations are usually located on hot-spot locations on exons 14 and 15, and they can easily find by means of conventional Sanger sequencing [73]. However, not all MDS-RS patients displayed *SF3B1* mutations by conventional sequencing. On the other hand, the massive sequencing represent a type of technique which allows to detect mutations in a broad set of patients and genes with mutations that occur in a very low frequency [125]. Furthermore, although a very high prevalence of *SF3B1* mutations in MDS patients has been observed, still a remaining part of MDS-RS patients where the *SF3B1* mutations do not appear and could carry alterations in other splicing related genes could be present [132]. My hypothesis will be whether the combination of the traditional sequencing methodologies with the new NGS will increase the detection of mutations in *SF3B1* and other splicing-related genes. This approach will refine the prognosis of patients with MDS-RS.

In line with the previous hypothesis I will use NGS to explore the concurrence of mutations in MDS patients. Multiple groups are studying the prognostic role of somatic mutations in MDS. The favorable or unfavorable prognostic impact of several mutations when solely presented (such as *SF3B1* or *TP53*, displaying a favorable or unfavorable prognosis, respectively) has been demonstrated. However the prognosis of the presence of more than one mutation remains unclear [46, 136]. The availability of using NGS in the characterization of MDS patients is providing additional data confirming the presence of additional mutations in MDS. Nevertheless only few studies analyzed the potential implication in the prognosis of the presence of these mutations [38]. In the particular case of the *SF3B1* mutation this is especially relevant, being associated with a specific clinical subtype of relative good long-term prognosis. Moreover some studies have already

shown that in a specific subtype of patients (RARS) the presence of mutations in *SF3B1* and *DNMT3A* was associated with worse results in transfusion requirements, overall survival and AML transformation. Therefore it is necessary to study in depth the mutations of this gene in the rest of SMD subtypes incorporating NGS techniques with a large gene panel [137]. My hypothesis will be that the *SF3B1* concurrence with other mutations could influence the clinical characteristics of the very low/low/intermediate-risk MDS patients.

Furthermore, it is demonstrated that not only the hematopoietic cells but also the BM microenvironment is abnormal in MSD patients [86, 138-140]. The mesenchymal stromal cells (MSC) represent a key component of the microenvironment. Despite their low frequency in bone marrow, they are involved in all the mechanisms related to the proliferation and differentiation of hematopoietic cells, caring for its functioning in order to correctly support the process of hematopoiesis [141, 142]. Despite several studies on its possible role in the pathology of MDS, the role in the development of the disease is unclear and brings some controversy [143-146]. However, all of the changes that have been observed so far in MSC of MDS patients, suggest that they could be involved in the pathophysiology of the disease. Moreover, previous studies demonstrated that MSC don't show the same genetic abnormalities that BM cells [85]. The possibility to confirm these data by using the new genetic tools, such as NGS, will shed a new light on the microenvironment function in MDS development. It could also identify new targets involved in cells being a key part of MDS microenvironment.

Aims

General aim:

The general aim of this thesis was to gain insight into the molecular basis of MDS patients identifying genetic interactions in haematopoietic progenitor cells and mesenchymal stromal cells by applying Next Generation Sequencing techniques.

Specific aims:

1. To assess the feasibility of NGS with a large panel of genes in the routine diagnostic setting.
2. To analyse the presence of mutations in *SF3B1* and other splicing genes (*SRSF2*, *U2AF1* and *ZRSR2*) in MDS with ring sideroblasts by combining the conventional sequencing and Next Generation Sequencing (NGS), by using a panel of genes related to myeloid diseases.
3. To correlate the clinical characteristics and outcome with the presence of mutations in the splicing machinery in MDS-RS.
4. To analyze the concurrence of mutations in *SF3B1* and other myeloid-related genes in very low, low and intermediate-risk MDS patients.
5. To analyze the implications of the presence of *SF3B1* concomitant mutations in the clinical and biological phenotype as well as in the prognosis in a cohort of very low, low and intermediate-risk MDS patients.
6. To characterize the mutational profile of MSC in MDS patients and to compare them with the genetic alterations in their hematopoietic counterparts, as well as to evaluate the mutation's role in the pathophysiology of the disease.

Results

This section includes the experimental work performed on this thesis, including Material and Methods, Results and Discussion. This section has been divided into three chapters:

Chapter 1: Kamila Janusz', Mónica del Rey', María Abáigar, Rosa Collado, David Ivars, María Hernández, Alberto Valiente, Cristina Robledo, Rocío Benito, María Díez-Campelo, Fernando Ramos, Alexander Kohlmann, Consuelo del Cañizo and Jesús María Hernández-Rivas

A two-step approach for sequencing spliceosome-related genes as a complementary diagnostic assay in MDS patients with ringed sideroblasts

Chapter 2: Kamila Janusz, Marta Martín Izquierdo, Félix López Cadenas, Fernando Ramos, Jesús María Hernández Sánchez, Eva Lumbreras, Cristina Robledo, Javier Sánchez del Real, Rosa Collado, Teresa Bernal, Carme Pedro, Andrés Insunza, Raquel de Paz, Blanca Xicoy, Eduardo Salido, Joaquín Sánchez García, Sandra Santos Mínguez, Cristina Miguel García, Ana María Simón Muñoz, Jesús María Hernández Rivas, María Abáigar, María Díez Campelo

Clinical, biological and prognostic implication of *SF3B1* co-occurrence mutations in very low/low and intermediate-risk MDS patients

Chapter 3: Kamila Janusz, Sandra Muntion, Jesús María Hernández-Sánchez, Marta Martín Izquierdo, María Hernández-Sánchez, María Abáigar, Cristina Robledo, Félix López-Cadenas, Mónica del Rey, Juan Carlos Caballero, Rocío Benito, Alba Redondo Guijo, Tamara Jimenez, David Pescador, Juan Blanco, Fermín Sánchez-Guijo, Consuelo del Cañizo, María Díez-Campelo and Jesús María Hernández-Rivas

Mutational status of mesenchymal stromal cells in Myelodysplastic Syndromes patients

All of them have been developed to accomplish the general aim of this work and give the title to this doctoral dissertation: **Characterization by Next Generation Sequencing of mutations of spliceosome genes and bone marrow mesenchymal cells in patients with myelodysplastic syndromes.**

A General Discussion, with additional data and which comprises all research, is addressed in a separate section of this PhD work.

In addition, the supplementary material corresponding to each of the above chapters is collected at the end of the digital version of the PhD.

A two-step approach for sequencing spliceosome-related genes as a complementary diagnostic assay in MDS patients with ringed sideroblasts

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Research paper

A two-step approach for sequencing spliceosome-related genes as a complementary diagnostic assay in MDS patients with ringed sideroblasts



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ABSTRACT

Our study aimed to analyze the presence of mutations in *SF3B1* and other spliceosome-related genes in myelodysplastic syndromes with ringed sideroblasts (MDS-RS) by combining conventional Sanger and next-generation sequencing (NGS) methods, and to determine the feasibility of this approach in a clinical setting.

122 bone marrow samples from MDS-RS patients were studied. Initially, exons 14 and 15 of the *SF3B1* gene were analyzed by Sanger sequencing. Secondly, they were studied by NGS covering besides *SF3B1*, *SRSF2*, *U2AF1* and *ZRSR2* genes.

An 86% of all patients showed mutations in the *SF3B1* gene. Six of them, which were not identifiable by conventional sequencing in the first diagnostic step, were revealed by NGS. In addition, 19.5% of cases showed mutations in other splicing genes: *SRSF2*, *U2AF1*, and *ZRSR2*. Furthermore, 8.7% of patients had two mutations in *SF3B1*, *SF3B1* and *SRSF2*, and *SF3B1* and *U2AF1*, while 5.7% showed no mutations in the four spliceosome-related genes analyzed.

The combined use of conventional Sanger and NGS allows the identification of mutations in spliceosome-related genes in almost all MDS patients with RS. This two-step approach is affordable and could be useful as a complementary technique in cases with an unclear diagnosis.

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1. Introduction

Myelodysplastic syndromes (MDS) are a group of heterogeneous clonal stem cell disorders. They are characterized by ineffective hematopoiesis, dysplasia of at least one hematopoietic lineage,

hypercellular bone marrow (BM), and peripheral blood (PB) cytopenias [1,2]. MDS are also characterized by a high risk of developing acute myeloblastic leukemia (AML) [3].

Massive parallel deep-sequencing techniques, applied recently in the study of a large number of patients with various hematological malignancies, have defined different mutations that could be involved in the MDS disease. These studies have shown that approximately 80% of patients with MDS carry mutations of genes such as epigenetic regulators (*TET2*, *ASXL1*, *DNMT3A*, *IDH1*, *IDH2*, *EZH2*), transcription factors (*ETV6*, *RUNX1*, *TP53*), signal transduc-

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tion proteins (CBL, JAK2, KRAS, NRAS), and genes related to the RNA splicing machinery (SF3B1, SRSF2, U2AF1, ZRSR2) [4–6].

According to the World Health Organization (WHO) classification, refractory anemia with ringed sideroblasts (RARS) and refractory cytopenia with multilineage dysplasia and ringed sideroblasts (RCMD-RS) are two subtypes of low-risk MDS [7,8]. Although the abnormal mitochondrial iron metabolism that characterizes these patients is not completely understood, many studies have revealed recurrent mutations of the SF3B1 (splicing factor 3, subunit 1) gene that occur in more than 80% of cases. The association of mutations in SF3B1 and the presence of ringed sideroblasts suggest a possible role in the deregulation of iron metabolism of MDS with ringed sideroblasts (MDS-RS) [9–15]. However, there is a group of MDS-RS patients without SF3B1 mutations that may feature variations in other splicing genes. A detailed analysis of other spliceosome-related genes in MDS-RS without mutations in SF3B1 could help explain the phenotype in these cases.

The aim of this study was to analyze the presence of mutations in SF3B1 and other splicing genes in MDS-RS by combining conventional capillary (Sanger) and next-generation sequencing (NGS) techniques and to determine the feasibility of these methods in a clinical diagnostic setting. We observed that almost all patients with MDS-RS carried at least one mutation of the four genes analyzed. This two-step approach is affordable and could be a useful complementary diagnostic technique in cases with an unclear diagnosis.

2. Materials and methods

2.1. Patients, samples and cell separation

A cohort of 122 low-risk MDS patients (66 patients with RARS, and 56 with RCMD-RS) was studied. All patients were classified according to the WHO 2008 criteria (with the exception of RCMD-RS, which we maintain as a separate category on the basis of WHO 2001 criteria) (Supplementary Table S1) [7,8,16]. Mononuclear cells were isolated from bone marrow (BM) of MDS patients on a density gradient (Ficoll). The study was approved by the Local Ethical Committee ("Comité Ético de Investigación Clínica, Hospital Universitario de Salamanca") and written informed consent was obtained from each patient.

2.2. DNA isolation

Genomic DNA from BM mononuclear cells was extracted using QIAamp DNA Mini Kit (Qiagen, Valencia, CA, USA) following the manufacturer's protocol. DNA quantity was determined by dsDNA HS or BR Qubit® Fluorometric Quantitation Assay Kit (Life Technologies, Carlsbad, CA).

2.3. Sanger capillary sequencing

Sanger sequencing was carried out to identify the presence of gene variations. The previously published primers against the exons that more frequently exhibited mutations of SF3B1 (exons 14 and 15) were used [17]. Genomic DNA was amplified with the FastStart High Fidelity PCR System (Roche Diagnostics, Mannheim Germany) following the manufacturer's instructions, but including some variations of the annealing temperature (Supplementary Table S2).

DNA sequences were evaluated using Chromas Lite v2.1.1 (Technelysium Pty Ltd) and DS Gene v1.5 (Accelrys, Inc.) software. Obtained sequences were aligned to the reference genome (GRCh37/hg19).

2.4. Amplicon-based next-generation deep-sequencing

NGS was carried out using the GS Junior 454 Roche (454 Life Sciences, Branford, CT, USA) sequencing platform in order to identify patients with concomitant mutations or to find new mutations that were not detectable by conventional Sanger sequencing. We analyzed 23 patients that were negative for the first diagnostic screening by Sanger sequencing. Moreover 59 patients that were positive for SF3B1 mutations in which enough DNA was available for the analysis were studied to find out the presence of additional mutations. A total of 28 exons from: SF3B1 (Transcript-ID ENST00000335508, exons 10–16), SRSF2 (Transcript-ID ENST00000392485, exons 1 and 2), U2AF1 (Transcript-ID ENST00000291552, exons 1–8), and ZRSR2 (Transcript-ID ENST00000307771, exons 1–11) genes were amplified using oligonucleotide primer plates designed as part of the IRON-II collaborative network of hematological laboratories applying 454 amplicon-NGS [18]. Information about primer sequences is listed in Supplemental table S3. Amplicon libraries were prepared following the manufacturer's recommendations and previously described methods [18,19]. Sequencing data were analyzed using GS Amplicon Variant Analyzer (AVA, v2.9; 454 Life Sciences) and Sequence Pilot (v3.5.2; JSI Medical Systems, Kippenheim, Germany) software. Minimum coverage was 200 total bidirectional reads (100 reads per direction) and the sensitivity of variant detection was set to a lower limit of >2%.

R version 3.1.1 software was used to illustrate the distributions of the variation.

NGS VAF higher than 15% were validated by Sanger sequencing.

2.5. Statistical analysis

Continuous variables were summarized as their median and range; categorical variables were described as the frequency and percentage of subjects in each category. Continuous variables were analyzed by applying the student's T-test when data were normally distributed and by using the Mann–Whitney U test otherwise. Kaplan–Meier analysis (log-rank test) was used to assess the relationship between mutations and overall survival (OS). The OS was defined as from the diagnosis of the disease to death; patients who were alive at last contact were treated as censored for OS analysis. Values of $p < 0.05$ were considered significant.

3. Results

3.1. SF3B1 mutational analysis reveals the presence of mutations in exons 14 and 15 of most patients with MDS with ringed sideroblasts

In an initial screening, the exons most commonly mutated in SF3B1 (exons 14 and 15) were analyzed in 122 MDS-RS by conventional Sanger sequencing. SF3B1 mutations were observed in 99 patients (81.1%). In addition, variations in 6 more patients were observed when analyzed by NGS deep-sequencing technology (Represented with red lines in Fig. 1; detailed data included in Supplemental Table S4). A total of 105 MDS-RS cases (86.1%) had mutations in the SF3B1 gene by Sanger and NGS sequencing. No differences in the incidence of mutations were observed between RARS (87.9%) and RCMD-RS (84%) patients.

42.2% of SF3B1 mutations were located in exon 14, while 55% in exon 15. It should be noted that four patients (3.8%) had mutations in two different SF3B1 locations. The most frequently mutated codon 700 (p.Lys700Glu) was present in 57.1% of patients with MDS-RS. Mutations in codons 623 (p.Tyr623Phe), 658 (p.Trp658Ser), 740 (p.Gly740Glu), 742 (p.Gly740Asp) and 748

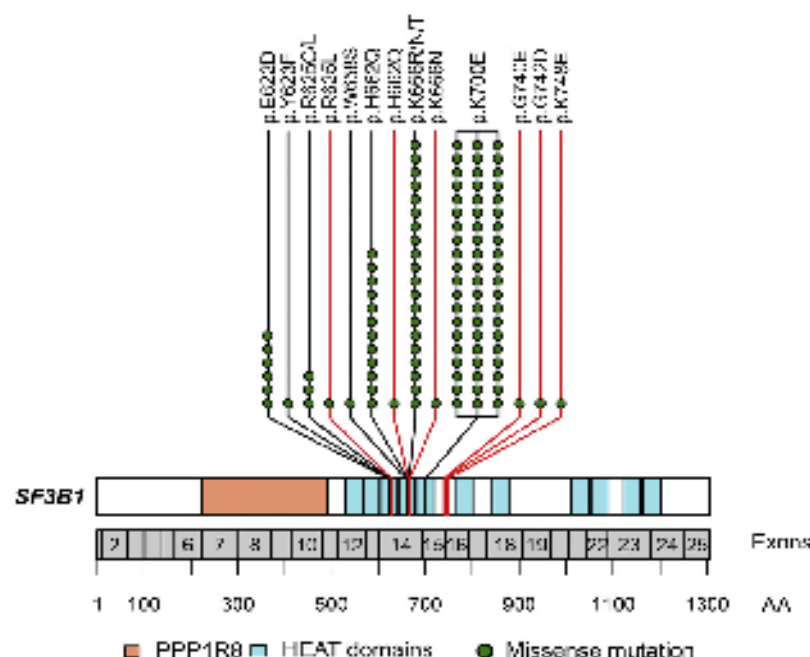


Fig. 1. Distribution of missense mutations in SF3B1 in MDS-RS.

SF3B1 mutations were detected in exons 14, 15 and 16. The most recurrent variant p.Lys700Glu accounts for 57.1% of all alterations. Each mutation detected by Sanger sequencing is shown with a black line. Each mutation detected by NGS is shown with a red line. Each green circle represents a missense mutation found in a single patient.

(p.Lys748Glu) were observed in fewer than 5% of patients included in the study. Variant allele frequencies (VAFs) of these mutations were between 10 and 50%. All detected mutations in SF3B1 were represented in Fig. 1.

3.2. NGS sequencing shows the presence of mutations in other genes involved in the splicing machinery in MDS-RS

Moreover, three genes involved in the splicing machinery, SRSF2, U2AF1 and ZRSR2, were analyzed by NGS. SRSF2 mutations were observed in 12 cases (14.6%) while mutations in U2AF1 gene were detected in 3 patients (3.7%). SRSF2 gene mutations involved exon 1, whereas U2AF1 gene variations were located in exons 2 and 6. Variations in ZRSR2 were less frequent, with only one RARS patient featuring a mutation. The mutations in these three genes involved in the splicing machinery were distributed equally in RARS and RCMD-RS patients and were mutually exclusive (Table 1).

3.3. MDS-RS display novel mutations in splicing-related genes

Our study demonstrated the presence of 4 new mutations in splicing-related genes. Three mutations were located in the SF3B1 gene, at codons 623 (p.Tyr623Phe), 658 (p.Trp658Ser) and 748 (p.Lys748Glu). The mutations on codon 623 and 748 were present in RARS patients in 40% and 35% of variant allele frequency, respectively. The mutation on codon 658 was detected in an RCMD-RS patient in 25% of variant allele frequency. All variants on SF3B1 gene were missense mutations (Fig. 2A). Moreover, the variant on ZRSR2 gene was a deletion in 54% of variant allele frequency that resulted in a frameshift mutation (Fig. 2B).

3.4. 8.7% of MDS-RS have concomitant mutations in splicing-related genes

Our study showed that ten patients of those analyzed (8.7%; 10/115) had double mutations as confirmed by Sanger and NGS sequencing. Four cases had two mutations in the SF3B1 gene. The variants of two of them were located in the same exon (Exon 14). The analysis of the NGS sequencing reads in these two patients enabled to delineate that one patient had two distinct SF3B1-mutated clones (p.His662Gln; p.Lys666Thr; these two variants were detected in separate reads derived from the same single amplicon), while the other patient harbored two different mutations that belonged to the same clone (p.Glu622Asp and p.Tyr623Phe; both located in the same sequencing reads). The variations of the other two patients were present in different exons (Exon 14 and Exon 15) p.Lys666Arg and p.Lys700Glu; p.Lys666Asn and p.Lys700Glu. In these cases it was not possible to determine by NGS whether they were present in the same clone.

We also observed two mutations in six cases including SF3B1 and another spliceosome-related genes: five with SRSF2 and one with U2AF1 (Included in Table 1). These patients had similar clinical and biological characteristics compared with the MDS-RS patients carrying a single SF3B1 mutation (Supplementary Table S5).

3.5. Combined diagnostic use of NGS and conventional sequencing shows mutations in splicing-related genes in 94.3% of MDS-RS patients

94.3% of the whole cohort of patients had mutations in one or more of the splicing-related genes (SF3B1, SRSF2, U2AF1 and ZRSR2) analyzed. No differences in the incidence of spliceosome-related genes mutations were observed between RARS (95.5%) and RCMD-RS (92.9%) patients. Only seven patients (5.7%) showed no mutations of the genes studied. These patients had similar clin-

Table 1
SRSF2, U2AF1 and ZRSR2 gene mutations in MDS-RS.

Case number	MDS subtype	Affected gene	Amino acid change	VAf by NGS
1	RARS	SRSF2	p.Pro95Arg	33%
2	RARS	SRSF2 ^a	p.Pro95Arg	42%
3	RARS	SRSF2 ^a	p.Pro95Arg	14%
4	RARS	SRSF2	p.Pro95His	33%
5	RARS	SRSF2 ^a	p.Pro95His	35%
6	RARS	SRSF2	p.Pro95Leu	30%
7	RARS	SRSF2 ^a	p.Pro95Leu	12%
8	RCMD-RS	SRSF2	p.Pro95.103delinsArg	30%
9	RCMD-RS	SRSF2	p.Pro95His	45%
10	RCMD-RS	SRSF2	p.Pro95His	30%
11	RCMD-RS	SRSF2 ^a	p.Pro95His	15%
12	RCMD-RS	SRSF2	p.Pro95Leu	27%
13	RCMD-RS	U2AF1 ^a	p.Gln157Arg	40%
14	RCMD-RS	U2AF1	p.Gln157Pro	31%
15	RARS	U2AF1	p.Ser34Phe	49%
16	RARS	ZRSR2	p.Phe287Leufs*18	53%

MDS: myelodysplastic syndrome; RARS: refractory anemia with ring sideroblasts; RCMD-RS: refractory cytopenia with multilineage dysplasia and ring sideroblasts.

^a Patient with an additional mutation in SF3B1.

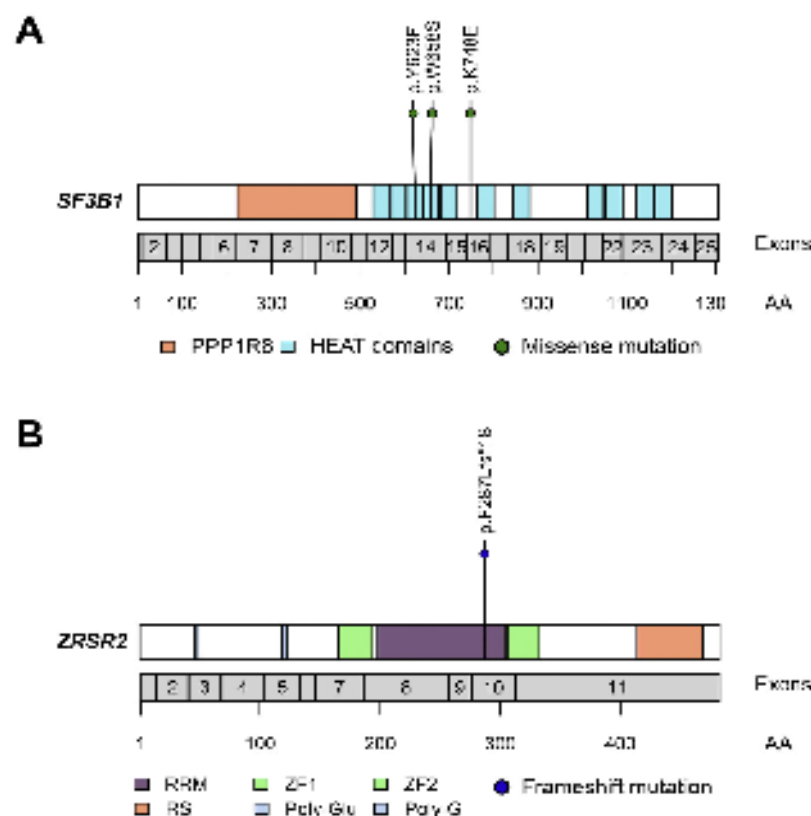


Fig. 2. Location of novel mutations identified in spliceosome-related genes in patients with MDS-RS.

(A) Novel mutations detected in the SF3B1 gene. (B) Novel mutations detected in the ZRSR2 gene. Each missense mutation is shown with a green circle, while each frame-shift mutation is shown with a blue circle.

ical and biological characteristics to those of the other MDS-RS (Supplementary Table S6).

3.6. Gene mutations are related to OS

Patients with a single alteration of SF3B1 had longer overall survival (OS) (median = 20 months) than cases with other splicing gene mutations (median = 10 months, $p = 0.04$) (Supplementary Fig. S1A). Furthermore we did not see significant differences in OS comparing patients with double mutations and those carrying only

one mutation in SF3B1 gene (Supplementary Fig. S1B). We did not see any differences in prognostic value either, analyzing wild type group of patients compared to the mutated ones (Supplementary Fig. S1C).

4. Discussion

In this study we analyzed the presence of mutations of the SF3B1 and other splicing-related genes in MDS with ringed sideroblasts by combining conventional diagnostic Sanger and NGS sequenc-

ing. This two-step approach revealed that almost all the patients carry mutations in at least one of the genes analyzed. In addition, almost 10% of MDS with ringed sideroblasts showed more than one mutation in the splicing-related genes.

SF3B1 is the most commonly mutated splicing-related gene in MDS. Recurrent mutations occur in more than 20% of these patients [9,17]. In addition, the mutations have been found at a higher frequency in patients with ringed sideroblasts. These findings suggest the involvement of the mutations into the MDS-RS phenotype [9,10,15]. The current study also showed a high prevalence of *SF3B1* mutations. An initial screening by conventional Sanger sequencing followed by an NGS strategy showed *SF3B1* mutations in 105 cases (86.1%), which was higher than that reported by other groups [10,12,20]. Furthermore, six of these variations could only be detected by NGS. In comparison with the typical detection variant allele frequency level of 15%, as revealed by Sanger sequencing, NGS can detect far lower levels of variation, ensuring a more comprehensive coverage of the gene [21,22]. When we analyzed mutations between different subtypes of MDS, there were no differences between the *SF3B1* mutation frequencies in RARS and RCDM-RS patients. Recent studies have revealed that these two MDS-RS subgroups have a similar phenotype when carrying an *SF3B1* mutation, and that the threshold of 15% of RS in no longer prognostically relevant in these two MDS subtypes [23,24]. Thus, the revised WHO classification (WHO 2016) of MDS have included the presence of an *SF3B1* mutation to consider a diagnosis of MDS-RS (with single or multilineage dysplasia), when as few as 5% RS are identified [23,24].

Most of the variations already reported are usually located at hot-spot locations of exons 14 and 15 of *SF3B1* gene [11–13]. Our findings are consistent with those of previous studies [11,12,25]. The mutation p.Lys700Glu was the most frequently detected in MDS-RS. The incidence of this mutation was 57.1%, similar to the incidence reported by other groups [11,12,25]. However, mutations in exon 14 were detected in approximately 40% of patients. Therefore the mutational analysis focused on the hot-spot exons of this gene revealed information about clonality in almost all MDS-RS patients.

Recurrent somatic mutations in other splicing machinery components, including *U2AF1*, *SRSF2* and *ZRSR2* genes, have recently been described in MDS [25,26]. Our mutational analysis by NGS revealed 16 cases with ringed sideroblasts carrying alterations in these genes. *SRSF2* mutations were present in 12 patients (14.6%). All mutations were located in the most frequently affected amino acid residue, Pro95 [25,27]. Three patients showed mutations in the *U2AF1* gene, all known to affect the Ser34 and Gln157 positions of exon 2 and 6, respectively, while one patient showed a mutation in *ZRSR2* [28,29].

Despite the large number of already known alterations in splicing genes, our NGS study revealed four mutations that have not previously been described in COSMIC. Three of them were missense mutations located in *SF3B1* (p.Tyr623Phe; p.Trp658Ser; p.Lys748Asp). *ZRSR2* gene carried a novel frameshift mutation (p.Phe287Leufs*18).

Ten patients presented double mutations, involving *SF3B1* and *SRSF2* in five cases, *SF3B1* and *U2AF1* in one, and two distinct mutations in *SF3B1* in the other four cases. The presence of concomitant mutations in splicing genes is an infrequent event in MDS-RS, and usually involves *SF3B1* and *SRSF2* [11,26,27]. In addition, in the present study, we were able to reveal double mutations occurring in the *SF3B1* gene. The study of concomitant mutations in spliceosome-related genes could shed new light on the understanding of the mechanisms underlying the pathogenesis of MDS with ringed sideroblasts and should be investigated in future studies.

The present study found no mutations in the splicing genes in seven MDS-RS (5.7%) patients. This incidence was lower than

previously reported and could be related to the use of the combined conventional and NGS approach [11,25]. These patients had similar clinical and biological characteristics to the other MDS-RS patients. These findings led us to hypothesize that the patients may have mutations in other splicing-related genes, such as *PRPF8*, *SF1*, *U2AF65*, *PRPF40B* or *SF3A1*, as reported by other groups, or in genes associated with iron and mitochondrial metabolism [11,30]. Therefore, there may be an association between the mutations in spliceosome-related genes and the presence of ringed sideroblasts in patients with MDS, which has normally been assigned to the *SF3B1* alterations only [17,31,32].

In summary, our study shows that diagnostic NGS sequencing can identify new mutations that are not detectable by conventional Sanger sequencing. Of note, all mutations detected by Sanger sequencing were indeed identified by NGS. The two-step approach for sequencing splicing-related genes is affordable and reveals mutations in almost all MDS-RS patients. In addition, the presence of mutations in *SRSF2*, *U2AF1* and *ZRSR2* beyond *SF3B1* suggests that all of them could influence the MDS-RS phenotype.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.leukres.2017.01.031>.

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Clinical, biological and prognostic implication of *SF3B1* co-occurrence mutations in very low/low and intermediate-risk MDS patients

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CLINICAL, BIOLOGICAL AND PROGNOSTIC IMPLICATION OF *SF3B1* CO-OCCURRENCE MUTATIONS IN VERY LOW/LOW AND INTERMEDIATE-RISK MDS PATIENTS

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Abstract

SF3B1 is one of the most frequently mutated genes in MDS patients, related to a specific subtype and parameters of good prognosis in MDS without excess blasts. However, more than 40% of MDS patients carry at least 2 mutations and little is known about the impact of concurrent mutations in the outcome of MDS patients. We aimed to analyse the co-occurrence of *SF3B1* with other mutations and reveal their clinical, biological and prognostic implications in very low/low and intermediate-risk of MDS patients. Mutational analysis of 102 *SF3B1*^{mut} patients showed that 19.6% of cases carry isolate *SF3B1* mutation, while 80.4% of patients presented additional mutations in other genes, with a median of 2 additional mutations per patient (range 0-5). The genes most frequently mutated concomitant to *SF3B1* were: *TET2* (39.2%), *DNMT3A* (25.5%), *SRSF2* (10.8%), *CDH23* (5.9%) and *ASXL1*, *CUX1*, *KMT2D* (4, 9% each). We observed that presence of ≥ 2 concomitant mutations to *SF3B1* had an adverse impact on survival as compared to those with *SF3B1* + <2 additional mutation (median of 54 vs. 87 months, respectively, $p=0.007$). Furthermore co-occurrence of *SF3B1* with *SRSF2* mutations was associated with shorter overall survival as compared to isolated *SF3B1* mutation with *SRSF2* wt (median of 27 vs. 75 months, respectively, $p=0.001$). The similar adverse effect was observed with concomitant *IDH2* mutations, (median OS of 11 vs. 75 months, respectively, $p=0.001$), and *BCOR* (median OS of 11 vs. 71 months, respectively, $p=0.036$). Interestingly, *SF3B1* with *NUP98*, and *SF3B1* with *STAG2* had also a negative effect on patients prognosis (medians of 27 and 11 vs. 71 months, respectively, $p=0.008$ and $p=0.002$). In summary, Therefore our results suggest that

more complete NGS study in MDS *SF3B1*^{mut} patients would be recommend to better estimation of the evolution of the disease discarding the influence of additional mutations that could interfere in the good prognosis associated with *SF3B1* mutations and would allow proposing more adequate therapeutic options for each patient.

Introduction

Myelodysplastic syndromes (MDS) represent heterogeneous group of clonal hematopoietic stem cells disorders leading to abnormal blood production with a variable risk of progression to acute myeloid leukemia (AML) [1-3].

In recent years large scale analysis using the next-generation sequencing (NGS) allowed the identification of recurrent genetic alterations improving the knowledge in MDS pathogenesis [4-8]. More than 80% of MDS patients harbour at least one mutation, affecting genes from different functional groups: splicing machinery (*SF3B1*, *SRSF2*), DNA methylation (*DNMT3A*, *TET2*), transcription factors (*TP53*, *RUNX1*), chromatin modification (*ASXL1*, *EZH2*), RAS pathway (*KRAS*, *NRAS*), cohesin complex (*STAG2*, *RAD21*), kinases (*JAK2*, *FLT3*) and/or DNA repair (*ATM*, *BRCC3*) [4, 9-11].

Several clinical and biological implications of specific mutations have been demonstrated. Some of these gene mutations has been associated with morphological and clinical features such as complex karyotypes (*TP53*), excess bone marrow blast proportion (*RUNX1*, *NRAS*) or ring sideroblasts (*SF3B1*) and moreover, with prognosis regarding leukemia-free survival and overall survival [12, 13]. Mutations in *TP53*, *U2AF1*, *RUNX1*, *SRSF2*, *IDH2*, *CUX1*, *ASXL1*, and *BCOR* genes are associated with significantly worse leukemia-free survival [5]. Moreover mutations in *TP53*, *EZH2*, *ETV6*, *RUNX1* as well *ASXL1* are predictors of poor overall survival, while mutations in *SF3B1* are associated to a better outcome in MDS

patients [6, 14]. Most of these studies have analyzed single mutations. However little is known about the impact of concurrent mutations in the outcome of MDS patients [15].

The presence of more than one mutation is frequent in MDS. Thus, studies conducted in large series of MDS showed more than two mutations in 40% of MDS, while 10% had more than four mutations. It has been clearly demonstrated the variety of gene mutation numbers per case, in this sense, Papaemmanuil and colleagues shown that 40% of cases had 2 or 3 mutated genes and up to 10% of patients presented 4 to 8 oncogenic point mutations. All these features correlates with a more complex disease and adversely impact on overall survival (OS) [4]. Although no significance affinity of *SF3B1* with common mutational genes other than *DNMT3A* and *JAK2* has been found, different co-existing gene mutations with *SF3B1* have been described [4, 5]. *SF3B1* encodes a core component of RNA splicing machinery. NGS studies have revealed that approximately 30% of MDS cases presented mutation of *SF3B1* gene, with particularly high prevalence in MDS with ring sideroblasts subtype (MDS-RS), which is reflected in the new 2016 WHO classification (>90%) [3, 13, 14]. Furthermore, *SF3B1* mutations in low risk MDS patients were associated with good prognostic parameters [13].

Nevertheless and regarding that the majority of MDS patients carry on multiple genetic alterations, the well-known better overall and leukemia free survival referred to *SF3B1* mutations in low risk MDS patients may be worse. Recent studies in low risk MDS-RS

patients have highlight the adverse influence of *DNMT3A* or *ASXL1-SF3B1* coexisting mutations into clinical outcome [15, 16].

However, information about the influence of other co-existing gene mutations with *SF3B1*^{mut} in MDS patients is scanty. Detailed molecular characteristic of these group would allow to a better stratification among patients within the low- risk MDS cathegories as well as a better choice of treatment for these patients. The aim of this study was to analyze, by means of NGS, the presence of mutations associated to *SF3B1*^{mut} and to evaluate the prognostic value in a large series of very low/low/intermediate-risk MDS patients.

Materials and methods

Patients

The mutational profile of 324 myelodysplastic syndromes (MDS) patients, diagnosed in our center since 1999 to 2017 was analysed. Diagnosis was based according to World Health Organization (WHO) criteria. Patients with Refractory Anemia with Excess of Blasts (RAEB) and MDS associated with isolated del(5q) were excluded from the study.

SF3B1 mutations were detected in 135 patients (42%). Detailed analysis of clinical parameters and cytogenetic findings was performed to risk stratification according to the International Prognostic Scoring System (IPSS) and the revised IPSS (IPSS-R). Finally, a total of 102 MDS cases with *SF3B1* mutations and IPSS-R very low (34.4%), low (60.4%) or intermediate (5.2%) risk category were included. Regarding WHO 2008 classification, 36 patients (35.3%) had refractory anemia with ring sideroblasts (RARS), 60 (58.8%) had refractory cytopenia with multilineage dysplasia (RCMD) and the remaining 6 (5.9%) had refractory cytopenia with unilineage dysplasia (RCUD). The median age was 76

years (range: 41-90). More detailed clinical features of the cohort are listed in Table 1. The study was approved by the Local Ethical Committee “Comité Ético de Investigación Clínica, Hospital Universitario de Salamanca” and, for each patient, written informed consent was obtained according to the guidelines of the Declaration of Helsinki.

Table 1. The clinical features of 102 MDS patients

Variable	N=102	%	Median (range)	p10	p90
Gender					
Male	56	55,4			
Female	45	44,6			
Age			76 (41-90)	63	84
WHO 2008					
RARS	36	35,3			
RCUD	6	5,9			
RCMD	60	58,8			
WHO 2016					
MDS-SLD	1	1			
MDS-MLD	5	5,2			
MDS-RS-SLD	39	40,6			
MDS-RS-MLD	51	53,1			
Blasts			0,8 (0-4,2)	0	3
≤2	84	82,4			
>2<5	12	11,8			
NA (<5)	6	5,9			
Ring sideroblasts			41,5 (0-95)	7,5	73,7
<5	6	5,9			
≥5<15	5	4,9			
≥15	85	83,3			
NA (<15)	6	5,9			
Cytogenetic risk					
Very good	7	6,9			
Good	88	87,2			
Intermediate	6	5,9			
IPSS					
Low	68	67,3			
Int-1	18	17,8			
NA (Low/Int-1)	15	14,9			
IPSS-R					
Very Low	33	33			
Low	58	58			
Intermediate	5	5			
NA (Very low/low)	2	2			
NA	2	2			
AML transformation					
No	66	81,5			
Yes	15	18,5			
Status					
Live	41	40,2			
Death	61	59,8			
Hb (g/dL)			9,6 (5,4-13)	7,9	11,6
ANC (x10⁹/L)			2,7 (0,6-8,7)	1,5	6,1
Platelets (x10⁹/L)			251 (34-878)	150	430

Mutational analysis

Mutational screening on genomic non-amplified DNA from bone marrow (BM) or peripheral blood (PB) cells was performed. Therefore a customized myeloid panel of 117 genes related to MDS was applied (Supplementary Table S1). Next generation sequencing (NGS) was achieved on NextSeq sequencing platform (Illumina, San Diego, CA,

USA) following Illumina's standard protocol as previously reported. Sequencing data was analyzed by applying an *in house* informatic pipeline that uses different software tools to perform quality assessment, alignment and variant calling (*Trimmomatic*, *FastQC*, *NGSQCToolkit*, *BWA*, *GATK*, *VarScan*, *SAMTools*, *ANNOVAR*). *Integrative Genomics Viewer* (IGV, Broad Institute) was used for variants visual evaluation.

After analysis, only those variants with good quality ($Q > 30$), supported by ≥ 100 total and ≥ 10 mutated reads, with a $VAF \geq 3\%$, located in exonic or splicing regions and which generate an AA change was considered. In addition, already reported polymorphisms (SNPs) (*dbSNP144*, *1000-genomes Project*, *ExAC*, *ESP-6500*, when $MAF \geq 1\%$) and sequencing artifacts (internal laboratory database) were discarded.

For variant interpretation and oncogenic potential evaluation *COSMIC* and *ClinVar* databases, *SIFT*, *PolyPhen-2* and *Mutation Taster* predictors, among others, were used (Supplementary Figure 1).

Statistical analysis

Numerical variables were summarized by median and range; categorical variables were described with count and relative frequency (percentage) of subjects in each category. Comparison of numerical variables between groups was carried out as required using a non-parametric approach (Mann–Whitney test) or the Student t test for continuous variables. Overall survival was measured from the time of diagnosis to the time of last follow-up or death from any cause. Survival curves were generated using the Kaplan–Meier method and differences were assessed by log-rank test. For multivariate analysis, a Cox proportional hazards models were constructed, adjusting for potential

confounding covariates. The level of significance for all statistical tests was defined at a probability value of less than .050 ($P < .050$). The analyses were generated using the SPSS statistical software package, version 22.0 (SPSS Inc, Chicago, IL).

Results

Characterization of *SF3B1* mutations in MDS patients

One hundred and seven *SF3B1* mutations were found in 102 MDS patients. Medium VAF was 33.33% (range 5.72%-50%). All mutations were missense, heterozygous, and except three (K748E, Y623F and L536V), previously reported. The most frequent mutation was K700E (47, 43.9%) followed by K666R (12, 11.2%) and E622D (9, 8.4%). All *SF3B1* mutational variants detected are represented in Figure 1. Five of 102 MDS patients presented double *SF3B1* mutations. The variants of four patients were located in the exon 14 (E622D and Y623F; H662Q and K666T; and two cases with E622V and T663I) while the double *SF3B1* mutation in the remaining patient affected exons 14 and 15 (R625H and K700E).

Co-occurrence *SF3B1* mutations with other gene mutations

Co-occurrence of *SF3B1* with other gene mutations was observed in 82 out of 102 patients (80.4%). A total of 192 co-occurrence mutations, covering 51 genes, were found in the 102 *SF3B1*^{mut} patients, with a median of 2 additional mutations per sample (range: 0-5). Patients with one additional mutation to *SF3B1* was the most frequent group ($n=26$, 25.5%), followed by two and three co-occurrence mutations ($n=23$, 22.5%, and

n=19, 18.6%, respectively). Cases with four and five concomitant *SF3B1* mutations were unfrequent (n=7, 6.9% and n=8, 7.8%, respectively). The most frequently mutated genes co-occurring with *SF3B1* were: *TET2* (n= 40, 39.2%), *DNMT3A* (n=26, 25.5%),

SRSF2 (n=11, 10.8%), *CDH23* (n=6, 5.9%) and *ASXL1*, *CUX1*, *KMT2D* (n=5, 4.9% each). Interestingly, *BCOR*, *NUP98*, *SMC3*, *SETBP1* and *STAG2* mutations showed a low incidence (n=3, 2.9% each) (Figure 2).

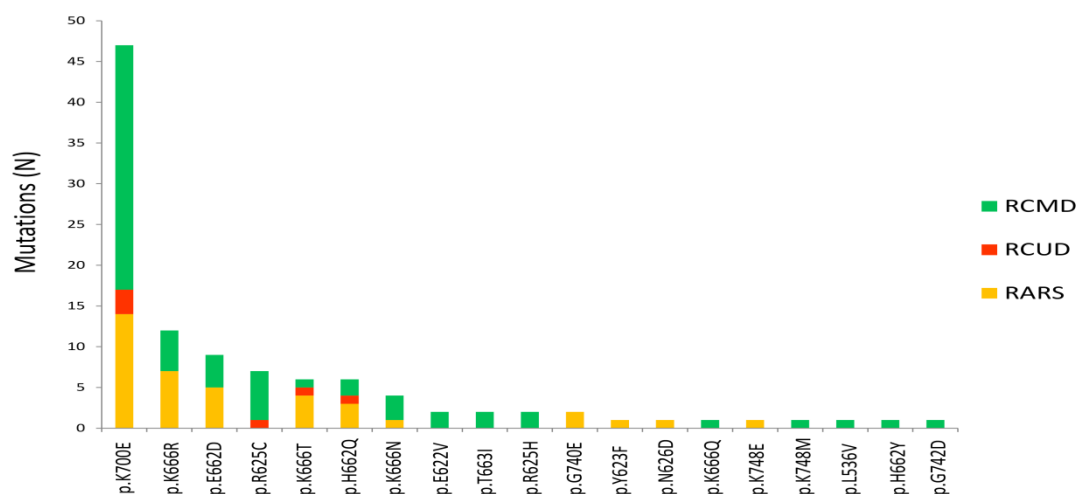


Figure 1. One hundred and seven *SF3B1* mutations distributed in 102 patients according to WHO 2008 MDS subtype

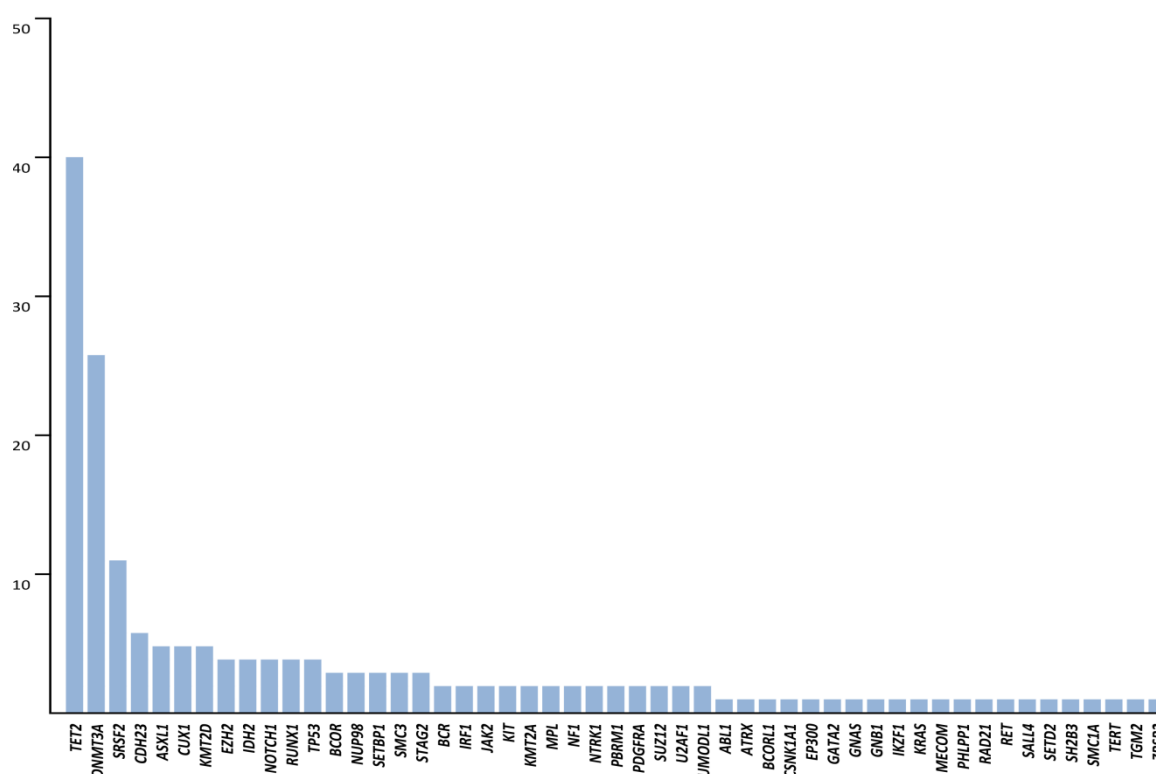


Figure 2. Frequency of mutations in fifty one genes co-occurring with *SF3B1* in 102 very low/low/intermediate-risk MDS patients.

The wide heterogeneity in the overall distribution of concomitant mutations was described in Figure 3. Interestingly, the addition of one single mutation to *SF3B1* mutation usually involve *TET2* or *DNMT3A* genes. However the addition of two concomitant mutations to *SF3B1* mutations did not show a clear pattern of distribution or evolution.

Impact of the number of co-occurring *SF3B1* mutations

In the next step of the study, the influence of the number of co-occurrence mutations were analysed considering the clinical characteristics and the overall survival (OS). No differences were observed in clinical characteristic of the patients affected by 1 to 5 of additional *SF3B1* mutations, (Supplementary table S2). However, regarding overall survival (OS), after a median follow-up of 54 months and an overall survival of the series of 70 months, we found that the presence of ≥ 2 concomitant mutations to *SF3B1* had an adverse impact on survival as compared to those with *SF3B1* + <2 additional mutation (median of 54 vs. 87 months, respectively, $p=0.007$) (Figure 4A).

Several co-occurring *SF3B1* gene mutations reduce OS of MDS patients

Frequently mutated genes overlapping *SF3B1* were analyzed (Figure 1). There were no differences, comparing the clinical features, between groups of *SF3B1*^{mut} patients with wild type or mutated forms of the most frequently mutated genes (Data not provided). Interestingly, we observed that the presence of somatic mutations in other genes

can modify the good prognosis of the patients with isolated *SF3B1*mut. Thus, in the univariate analysis, co-occurrence of *SF3B1* with *SRSF2* mutations was associated with shorter overall survival as compared to isolated *SF3B1* mutation with *SRSF2* wt (median of 27 vs. 75 months, respectively, $p=0.001$), (Figure 4B). The similar adverse effect was observed with concomitant *IDH2* mutations, (median OS of 11 vs. 75 months, respectively, $p=0.001$), (Figure 4C) and *BCOR* (median OS of 11 vs. 71 months, respectively, $p=0.036$), (Figure 4D). Interestingly, *SF3B1* with *NUP98*, and *SF3B1* with *STAG2* had also a negative effect on patients prognosis (medians of 27 and 11 vs. 71 months, respectively, $p=0.008$ and $p=0.002$) (Figure 4E and 4F).

***SF3B1* and Clonal Hematopoiesis Indeterminate Potential (CHIP) mutations co-occurrence**

The presence of mutations in some genes considered CHIPs in co-occurrence with *SF3B1* was analyzed. More than half of MDS patients (57.8%, N=59) displayed mutations in *TET2*, *DNMT3A* and/or *ASXL1* (Figure 3). The comparison of the most relevant clinical and biological characteristics, such as age, hemoglobin, platelets, neutrophils, bone marrow percentage of blast and ring sideroblast as well the overall survival, did not show any difference between the low-risk MDS patients with CHIPs mutations associated to *SF3B1* mutations and *SF3B1* mutations as the single abnormality. Therefore CHIP mutations had no effect on *SF3B1* mutated cases (Table 2 & Figure 5).

Table 2. Comparison of median values between patients with vs. without co-occurrence *SF3B1* mutation with CHIPs genes mutations (wt-wild type, mut-mutated).

	<i>ASXL1</i> wt	<i>ASXL1</i> mut	p		<i>DNMT3A</i> wt	<i>DNMT3A</i> mut	p		<i>TET2</i> wt	<i>TET2</i> mut	p
Age	76.5	76.3	1		76.7	72.7	0.495		76	76.8	0.156
% Blasts (BM)	0.9	0.7	0.625		0.7	1	0.598		0.8	0.95	0.769
% RS	42.5	28.5	0.608		41	42.5	0.803		44	36	0.649
Hb (g/dL)	9.7	9.5	1		9.6	9.7	0.820		9.7	9.6	0.682
ANC (x10 ⁹ /L)	2.7	2.6	0.930		2.65	2.7	0.860		2.9	2.2	0.185
Platelet (x10 ⁹ /L)	252	200	0.371		247	255	0.323		259	245	0.338

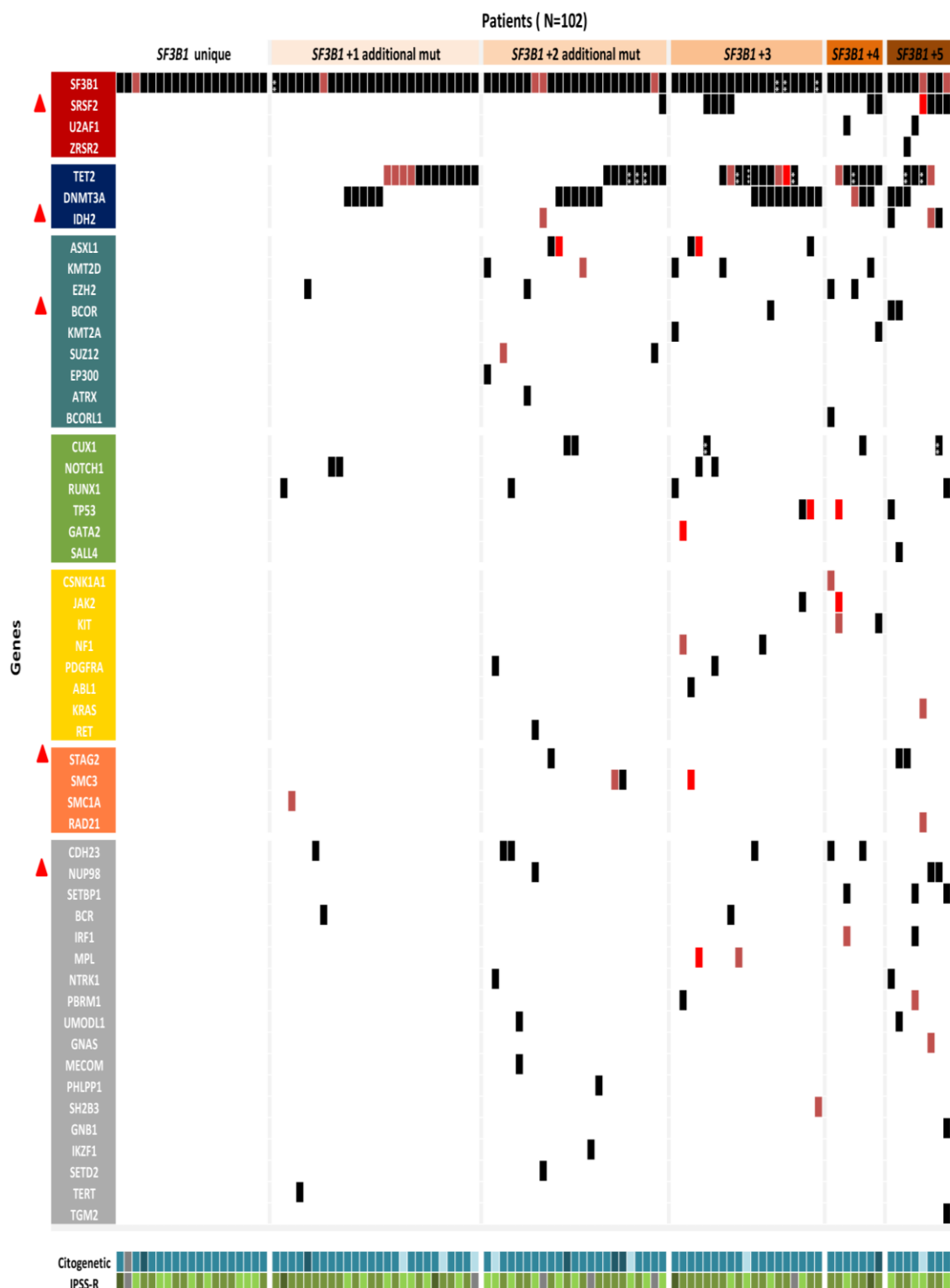


Figure 3. Mutational landscape of 102 MDS. Each column represents one patient. Patients are grouped according to number of additional SF3B1 mutations. Each line represents one gene. Genes are grouped according to the function. Red triangle marked genes that reduce overall survival of MDS patients. **VAF:** ■ <3% ■ ≥3<5% ■ ≥5<10% ■ ≥10%. **Gene function:** ■ splicing, ■ DNA methylation, ■ chromatin modification, ■ Transcription factors, ■ activated signaling, ■ Cohesin complex, ■ Others.. **CytoGenetics:** ■ Very good, ■ Good, ■ Intermediate, ■ NA. **IPSS-R:** ■ Very low, ■ Low, ■ Intermediate, ■ NA.

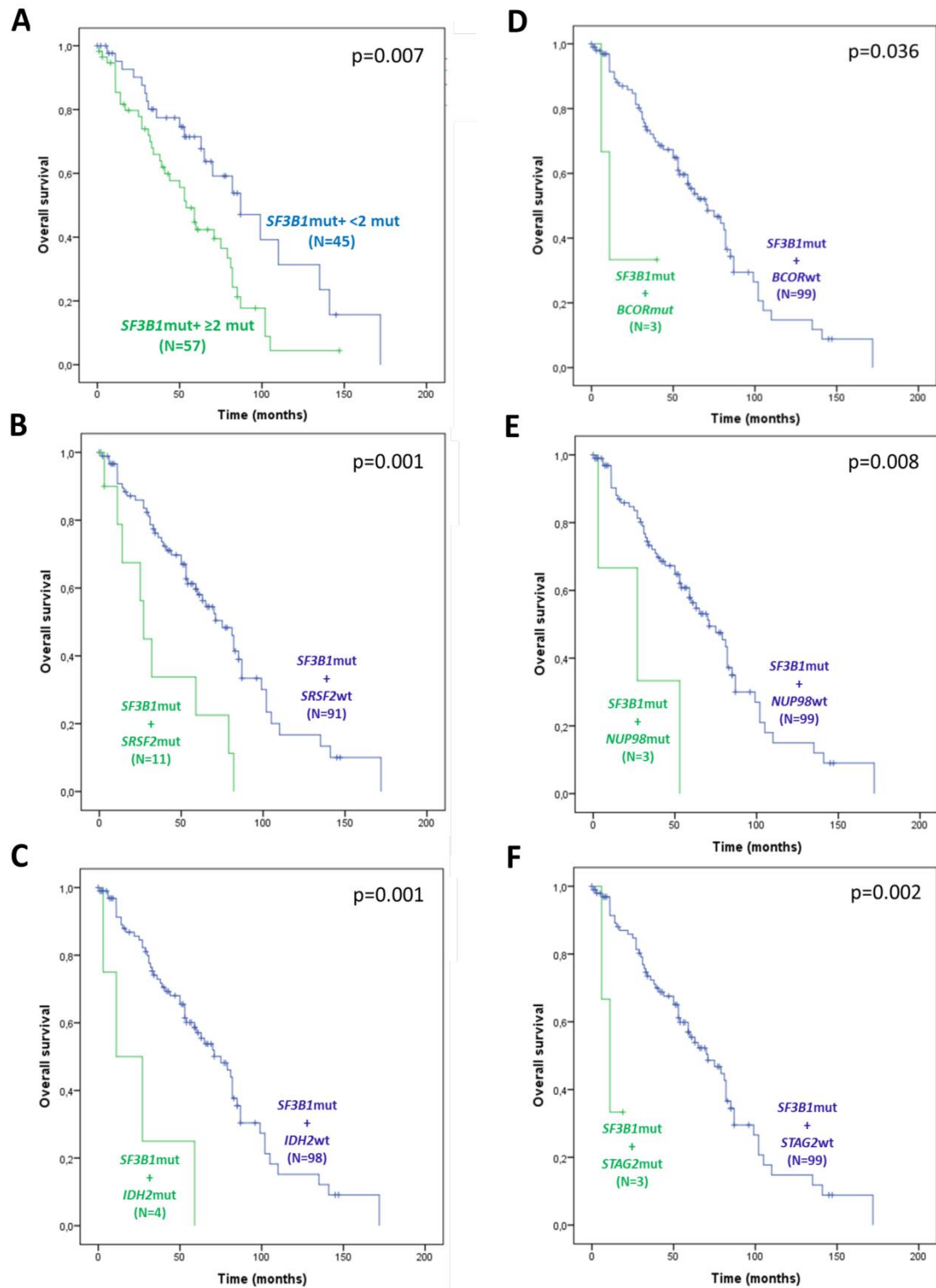


Figure 4. Overall survival of MDS $SF3B1^{mut}$ patients regarding the number of concomitant mutations (**A**), $SRSF2mut$ co-occurrence (**B**), $IDH2mut$ co-occurrence (**C**), $BCORmut$ co-occurrence (**D**), $NUP98mut$ co-occurrence (**E**), $STAG2mut$ co-occurrence (**F**).

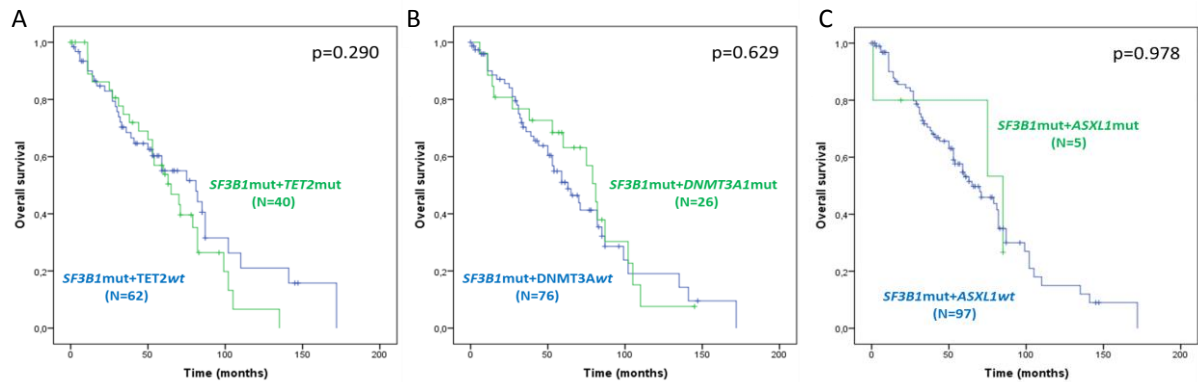


Figure 5. Overall survival of MDS *SF3B1*^{mut} patients regarding to CHIPs gene co-occurrence: *TET2*mut (A), *DNMT3A*mut (B), *ASXL1*mut (C).

Discussion

SF3B1 is one of the most frequently mutated genes in MDS and the presence of mutations in this gene is associated with favourable outcome among MDS patients without excess of blasts [4, 13]. However information about the appearance and the influence of other co-concurrent mutations in prognosis is scanty although it is a relevant question considering that at least 40% of patients with MDS have at least 2 mutations [4, 5, 17]. In the current study we analyzed the co-occurrence of mutations in *SF3B1* and other frequent myeloid-related genes, the consequences of these concomitant mutations in the clinical and biological phenotype and the impact on prognosis in a cohort of 102 MDS low risk patients without excess of blasts. Our study confirms that the presence of more than one mutation associated to *SF3B1* is associated with a dismal prognosis in MDS patients.

To unify analysis in the study, we included only low risk patients categorized as very low, low and intermediate-risk according to the IPSS-R. Clinical features did not differ from the typical features of low-risk MDS patients regarding age gender and WHO classification [18-20]. Patients with excess of blasts were excluded from the study because the frequency of *SF3B1* mutations in this

population is low and due to an adverse outcome predefined in these subset of patients [4, 5, 21]. In addition, and based in a recognized different entity, with a clear genotype-phenotype relationship and prognosis, MDS with isolated 5q deletion patients were removed from the study [22, 23].

The MDS subtype most frequently found in our cohort was MDS-RS (93,7%) according to WHO-2016 classification. The percentage of RS ranged between 0 and 95% with the majority of the cases (83,3%) with more than 15% of RS [24, 25]. The last 2016 WHO classification, expanded the MDS-RS group with cases carrying RS level between 5% and 15% and confirmed *SF3B1* mutation, which involved 4,9% of cases in our cohort (Table 1) [3]. Six patients could not be classified as WHO 2016 due to lack of information on the number of RS. The increase of MDS-RS diagnosed patients, after WHO-2016 reclassification, has been also seen in other studies [26].

The occurrence of *SF3B1* mutations among MDS-RS patients was similar with previous studies. All mutations found, were missense, heterozygous with the change of lysine by glutamic acid in codon 700 (K700E) as the most frequent [14, 27]. Besides three (K748E, Y623F and L536V) all of the remaining

variants have been already known in hematologic cancer, and with similar frequency reported (Table 2) [28-30]. Interestingly five of 102 patients had double *SF3B1* mutations (E622D and Y623F; H662Q and K666T; two cases with E622V and T663I and R625H and K700E). The presence of double mutations in *SF3B1* gene is rare, although it has been previously reported [14, 17].

Co-occurrence analyses of the *SF3B1* with other myeloid-related genes revealed that only 20 patients (19,3%) carried *SF3B1* as isolated mutation. Previous reports demonstrated that more than 40% of cases of MDS had at least 2 mutations, which confirms the need to perform a detailed complete molecular analysis for a better knowledge of the molecular pattern and risk of our patients, specially among low-risk MDS [4, 5]. The isolated *SF3B1* mutation appeared as a minority group in our cohort and in other studies with a trend to be higher (40%) among the MDS-RS cases [4, 17]. Those discrepancies may be due to different type of NGS methodology used (Amplicon technique applied by Martin et al. analysed regions of 39 genes, when in our study capture of exons of 117 genes methodology was used), as well the different VAF used (5% by Martin et al. vs. 3% in the current study). Nevertheless the majority group of the patients in both series suffered additional mutations coexisting with *SF3B1*.

TET2 and *DNMT3A* genes were the most frequently mutated, co-occurring with *SF3B1* in 39.2% and 25.5% respectively, similar to data of the previous series [4, 5, 16]. The mutation in *SRSF2* was the next most frequently observed. Although *SRSF2* mutations are quite frequent in MDS or MDS-RS patients, the co-occurrence with *SF3B1* is rarely found [8, 31]. Because of our previous study, where splicing genes in MDS-RS

patients were analysed, double splicing gene mutations and their possible influence on outcome of patients were demonstrated. Therefore we decided to enrich and investigated with more details the group of *SF3B1* and *SRSF2* occurring jointly [14]. As a consequence, *SRSF2*+*SF3B1* mutated group is another, of the most frequently occurring (10.8%) what does not agree with previously reported, where double splicing gene mutations are considered as mutually exclusively [4, 5]. The remaining gene mutations known in MDS, were quite similar to previously reported MDS as well MDS-RS subtypes, as shown in Figure 2 [4, 5, 17].

Previous studies suggested that higher number of driver mutations, get worse the clinical outcome in these patients [5, 32]. In our study we confirm that *SF3B1* with more than one additional mutations is an independent prognostic factor associated to a short survival.

Regarding the role of particular gene mutation co-occurrence to *SF3B1*, previous studies suggested that the presence of some genes mutations in low-risk *SF3B1*^{mut} MDS patients could modified clinical features and prognosis. Malcovati et al. have found that in *SF3B1*^{mut} patients, *RUNX1* mutations were significantly associated with worse OS and higher cumulative incidence of disease progression [13]. Similarly, Martin et al. have demonstrated the same pattern in RARS patients with *SF3B1* and *DNMT3A* mutations [15]. As far as we known, these findings were not confirmed in other cohorts and were not confirmed in our study. It could be due to insufficient number of *SF3B1*^{mut} with *RUNX1*^{mut} patients, and a more heterogeneous cohort included in our study in comparison with other series [15]. Nevertheless, we found a negative impact on prognosis when co-occurrence of *SF3B1* with *SRSF2* and/or *BCOR* and/or *IDH2* and/or

NUP98 and/or *STAG2* mutations. Nevertheless, with the exception of *SRSF2*, due to small number of affected cases, further analysis and confirmation of these findings in a larger cohort of patients are needed. Moreover, the presence of *SRSF2* mutations were associated with higher number of mutations in the patients, suggesting their negative impact on OS in these cases.

In 2014 NGS studies in healthy individuals revealed that around of 10-20% of elder people (≥ 65 years) carried somatic mutations in hematopoietic disorders-related genes making haematopoiesis- clonal. The majority of the variants occurred in three genes: *DNMT3A*, *TET2* and *ASXL1*. The clonal haematopoiesis indeterminate potential (CHIP) in healthy people contributed to create an increased risk to subsequent hematologic cancer and cardiovascular diseases appearance [33, 34]. In the current study, *DNMT3A*, *TET2* and *ASXL1* were seen as ones of most frequently mutated. No effect was seen in *SF3B1* co-occurrence. However according to previously data, their existence could be crucial to initiate the clonal haematopoiesis that evolved into the MDS disease [33-35]. This data demonstrate the importance and utility of NGS technique application not only in patients monitoring but also in healthy people, giving a chance for early detection of molecular changing (clonal hematopoiesis) increasing the opportunities to immediate reaction and lack the possible further evolution of the disease.

In summary, our study demonstrated that mutations in *SRSF2*, *IDH2*, *BCOR*, *NUP98* and/or *STAG2* concomitant to *SF3B1* as well as the co-occurrence of ≥ 2 additional mutations in very low/low/intermediate-risk MDS patients is associated with an unfavourable impact shortening the survival of patients. Therefore the complete

mutational study in MDS patients and ring sideroblasts could allow to a better prognostication and could clarify the positive influence of the presence of *SF3B1* isolated mutations in this subset of patients.

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Mutational status of mesenchymal stromal cells in patients with myelodysplastic syndromes patients

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MUTATIONAL STATUS OF MESENCHYMAL STROMAL CELLS IN MYELOYDYSPLASTIC SYNDROMES PATIENTS

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Abstract

Mesenchymal stromal cells (MSC) represent a key component of the microenvironment. It has been shown that MSC cells derived from myelodysplastic syndromes (MDS) patients are functionally incorrect displaying chromosomal abnormalities, aberrant cytokine secretion or deregulation of gene expression profile. However, little is known about the mutational profile of MSC in MDS. Here, applying the targeted next generation sequencing we analyzed mutational profile of MSC of 58 MDS patients, and we compared it with the genetic alterations of their hematopoietic counterparts, evaluating as well their possible role in MDS pathogenesis. NGS analysis revealed that 60.3% of patients carried mutations in hematopoietic progenitor cells (HPC) while MSC alterations were presented in 13.8% MDS cases. Ninety-six mutations of 107 detected (89.7%) were founded in HPC, affecting 40 genes. The genes most frequently mutated in HPC were *TET2* (31%), followed by *SF3B1* (17.2%), *SRSF2* (13.8%), *TP53* (13.8%) and *DNMT3A*, *EZH2*, *RUNX1*, *STAG2* (10.3% each). The remaining 11 of the 107 mutations were founded in MSC (10.3%) and affected 10 genes without any specific mutational pattern. Six of ten genes affected (60%) MSC were common with the mutated genes in HPC. Another 4 genes (40%) were exclusive for MSC. MSC mutations were always different to their HPC counterpart as well to MSC mutations of other patients. Therefore our study demonstrated that MSC from MDS patients can harbor mutations in myeloid-related genes, however they are occasional and do not coincide with mutations in HPC of the same patient.

Introduction

Myelodysplastic syndromes (MDS) are clonal disorders of hematopoietic progenitor cells with increased risk of progression to acute myeloid leukaemia [1]. At the time of diagnosis, 40-70% of the patients harbor cytogenetic alterations, being the loss of the long arm of chromosome 5 the most frequent [2-4]. Moreover the use of last generation sequencing techniques applied to the study of MDS has allowed the identification of new mutations in a large number of genes constitutes an innovative diagnostic tool. Thus 80% of MDS patients have mutations in epigenetic regulatory genes (*TET2*, *ASXL1*, *DNMT3A*, *IDH1*, *IDH2*, *EZH2*), transcription factors (*RUNX1*, *TP53*, *ETV6*), signal transduction genes (*NRAS*, *KRAS*, *JAK2*, *CBL*) and splicing machinery (*SF3B1*, *U2AF1*, *ZRSR2*, *SRSF2*) [5-7]. These mutations, studied so far only in the clonal population, could be involved in the development of the disease. However few studies analyzed the presence of mutations in other bone marrow cells in the MDS.

Emerging research indicates that MDS is not solely a hematopoietic disease but rather affects the entire bone marrow microenvironment. Its altered functioning was demonstrated at cytogenetic and molecular level with changes in the expression of several genes in MDS patients, shedding a new light on MDS pathogenesis, and thereby increasing the interest in assessing mesenchymal stromal cells (MSC) involvement [8-11].

MSC represent a key component of BM microenvironment giving rise to different cell population including osteoblasts and adipocytes [8, 12, 13]. MSC represent a very low proportion of the total BM cells (0.001% to 0.01% of mononuclear cells). However they are involved in all mechanisms regulating hematopoietic stem cells (HSC) as

proliferation and differentiation [14, 15]. In 2006, the International Society for Cellular Therapy proposed the minimal definition criteria for MSC based on their plastic-adherence, a specific immunophenotypic profile (CD73+, CD90+, CD105+; CD34-, CD45- and CD14- or other hematopoietic markers) and the multilineage differentiation ability in vitro [16].

Despite several studies on the possible role of MSC in the physiopathology of MDS, their function in the development of these diseases remains unclear and brings some controversy. The presence of abnormalities of proliferation, differentiation, cell-cell interaction or cytogenetic profile in MSC of MDS patients has been showed [17-20]. In addition, some studies have demonstrated a normal cytogenetic profile and functioning of the MDS MSC, with a similar hematopoietic-supporting ability compared to normal MSC [21-23]. Nevertheless, few studies studied MSC from MDS patients at the molecular level, and this information may add knowledge in the involvement of MSC in patients with MDS [24-27].

The aim of this study was to analyze the mutational profile of MSC from 58 MDS patients, by applying Next Generation Sequencing (NGS), to compare it with the genetic alterations in the mononuclear cells (MNC) of the same patients and to evaluate its possible role in MDS.

Materials and methods

Patients and samples

The mutational profile of bone marrow (BM) mesenchymal stromal cells (MSC), taken until passage 3, and hematopoietic progenitor cells (HPC) from 58 MDS patients were analysed in the study. If possible peripheral blood (PB) samples, as control of polymorphism were analyzed. All patients

were classified according to WHO 2008 criteria [28]. The most frequent groups were refractory cytopenia with multilineage dysplasia (RCMD) accounting for 48.3%, followed by MDS with isolated del 5q with the 25.9% of cases. The remaining 25.8% of patients included: refractory anemia with ring sideroblasts (RARS), refractory cytopenia with unilineage dysplasia (RCUD), refractory anemia with excess of blasts 1 (RAEB-1) and 2 (RAEB-2) and MDS unclassified (MDS-U), (1.7%, 8.6%, 5.2%, 8.6% and 1.7%, respectively). However RAEB-1 and RAEB-2 cases were included in the study (13.8%) only 9.2% of cases were considerate as a high or very high risk according to IPSS-R. Samples from all patients were obtained at diagnosis or in an evolutionary sample without treatment and any significant clinical changes since diagnosis. All features of 58 MDS patients are present in Table 1. The study was approved by the Local Ethical Committee “Comité Ético de Investigación Clínica, Hospital Universitario de Salamanca” and, from each patient, written informed consent was obtained according to the guidelines of the Declaration of Helsinki.

Isolation of the different cell populations and magnetic cell sorting enrichment

Mononuclear cells (MNC) were isolated from BM of MDS patients on a density gradient centrifugation (Ficoll). Moreover CD34+ cells were sorted by magnetic labeling using the human CD34 MicroBead Kit according to the manufacturer’s recommendations. In addition, and whenever possible, CD3+ cell population was purified from PB using the human CD3 MicroBead Kit followed the manufacturer’s recommendations as above (Miltenyi Biotec GmbH, BergischGladbach, Germany), and was used as germline, in order to discard any possible polymorphism.

Isolation and expansion of MSC

A portion of the BM MNC previously isolated

Table 1. The clinical features of 58 MDS patients.

	N=58	%	Median (range)	p10	p90
Gender					
Male	27	47.4			
Female	30	52.6			
Age			74 (44-88)	60	85
WHO 2008					
RARS	1	1.7			
RCUD	5	8.6			
RCMD	28	48.3			
MDS del(5q)	15	25.9			
MDS-U	1	1.7			
RAEB-1	3	5.2			
RAEB-2	5	8.6			
BM Blasts (%)			1 (0-15)	0	9.6
Cytogenetic risk					
Very good	2	3.6			
Good	48	87.3			
Intermediate	1	1.8			
Poor	4	7.3			
IPSS					
Low	26	50			
Int-1	20	38.5			
Int-2	6	11.5			
IPSS-R					
Very Low	12	22.6			
Low	31	58.5			
Interm.	5	9.4			
High	4	7.5			
Very high	1	1.9			
Status					
Live	35	60.3			
Death	23	39.7			
Hb (g/dL)			9.9 (6.7-15.3)	8.2	12.2
ANC (x10⁹/L)			1.9 (0.1-36)	0.9	4.1
Platelets (x10⁹/L)			146 (10-628)	37	359

on a density gradient centrifugation (Ficoll) were counted and plated at a density of 1×10^6 cells/cm² and expansion was carried out according to the previously described methods [20]. After the third passage, MSC were assessed in accordance with the minimal definition criteria proposed by the International Society for Cellular Therapy (ISCT)[16]. Viability studies were done by flow cytometry using APC H7 Annexin V DY634 (Immunostep #ANXVDY, Salamanca, Spain).

DNA isolation and WGA

Genomic DNA from obtained samples was extracted using QIAamp DNA Mini Kit, AllPrep DNA/RNA Mini Kit (Qiagen, Valencia, CA,

USA) or DNAzol[®] Reagent (Thermo Fisher Scientific, Waltham, MA USA) following the manufacturer's protocol. DNA quantity was assessed by dsDNA HS Qubit[®] Fluorometric Quantitation Assay Kit (Life Technologies, Carlsbad, CA). Whole genome amplification (WGA) was performed on limited genomic DNA (MSC) using REPLI-g Mini Kit following the manufacturer's instructions (QIAGEN).

Next Generation Sequencing

Mutational screening on genomic non-amplified DNA of BM MNC, BM CD34- population and amplified DNA of MSC from passage 3 was performed. Therefore the customized myeloid panel of 117 genes previously related to MDS was applied (Supplementary Table S1). Next generation sequencing (NGS) was achieved on NextSeq sequencing platform (Illumina, San Diego, CA, USA) following Illumina's standard protocol as previously reported [29]. All reads were filtered accordingly to the previously reported criteria with a variant detection level >1% [29].

Validation of sequencing findings

Validation of possible identified MSC mutations was performed on non-amplified DNA from cells taken until passage 1 where possible. In the rest of the samples non-amplified DNA from cells from passage 3 were used. To confirm HPC mutations DNA from the same MNC or CD34- sample as in the main NGS analyses was used. Possible polymorphisms were checked with DNA from PB samples if available. Validations were performed by Sanger sequencing or re-sequencing an independent PCR product with the Illumina system using a directed amplicon strategy (Nextera XT) as previously described, depending on the Variant Allele Frequency (VAF) [30, 31]

Sequencing data analysis

Sequencing data was analyzed by applying an *in house* informatic pipeline that uses different software tools to perform quality assessment, alignment and variant calling (*Trimmomatic*, *FastQC*, *NGSQCtoolkit*, *BWA*, *GATK*, *VarScan*, *SAMTools*, *ANNOVAR*). *Integrative Genomics Viewer* (IGV, Broad Institute) was used for variants visual evaluation.

After analysis, only those variants with good quality ($Q > 30$), supported by ≥ 100 total and ≥ 10 mutated reads, $VAR \geq 5\%$, located in exonic or splicing regions and which generate an AA change were considered. In addition, all the already reported polymorphisms (SNPs) (*dbSNP144*, *1000-genomes Project*, *ExAC*, *ESP-6500*, when $MAF \geq 1\%$) and sequencing artifacts (internal laboratory database) were discarded.

For variant interpretation and oncogenic potential evaluation *COSMIC* and *ClinVar* databases, *SIFT*, *PolyPhen-2* and *Mutation Taster* predictors, among others, were used.

Results

1.1 Molecular analyses

Analysis of mutational profile of hematopoietic progenitor cells (HPC) and mesenchymal stromal cells (MSC) of the same patient revealed, that 60.3% of patients ($n=35$) had mutations in HPC, meantime only 13.8% ($n=8$) demonstrated MSC alterations. Four different groups of patients were identified: cases with mutations in both HPC and MSC (3.5%, $n=2$), patients with mutations in MSC but not in their HPC counterpart (10.3%, $n=6$), group with alterations only in HPC (56.9, $n=33$) and patients where neither HSC nor MSC were affected (29.3%, $n=17$), (Figure 1).

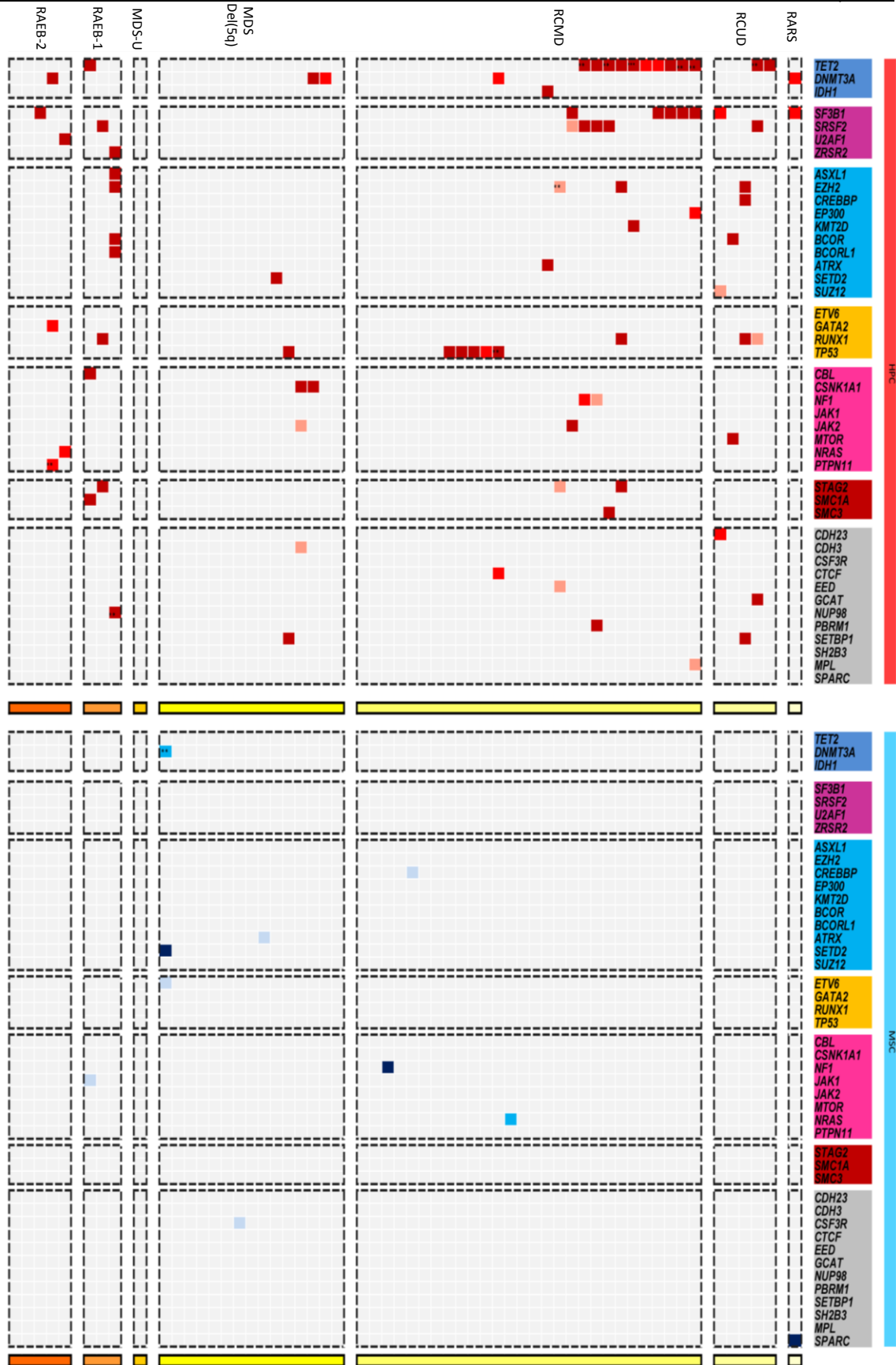


Figure 1. The comparison of mutational landscape in HPC and MSC of 58 patients. Each column represents one gene. Genes are grouped according to the function and represented by colors. Each file represents one patient. Patients are grouped according to WHO 2008 classification.

VAF: ■ / ■ $\geq 5 < 10\%$ ■ / ■ $\geq 10 < 20\%$ ■ / ■ $\geq 20\%$. **Gene function:** ■ splicing, ■ DNA methylation, ■ chromatin modification, ■ Transcription factors, ■ activated signaling, ■ Cohesin complex, ■ Others

Using the personalize panel of 117 genes related to myeloid neoplasm, the total of 107 mutations among the 44 genes in MSC and HPC samples from 58 MDS patients were detected. The 96 mutations (89.7%) belonged to HSC samples, affecting 40 genes. The genes most frequently mutated were *TET2* (31%), followed by *SF3B1* (17.2%), *SRSF2* (13.8%), *TP53* (13.8) and *DNMT3A*, *EZH2*, *RUNX1*, *STAG2* (10.3% each) (Figure 2). The medium VAF for HPC mutations was 34.08%. The remaining 11 out of the 107 mutations

belonged to MSC, involving 10 genes with the medium VAF of 8.31%. Six of ten genes affected (60%) were common with HPC, other 4 (40%) being exclusive for MSC (Figure 2). The median number of alteration per patient was 1 (0-7) in case of HPC and 0 (0-4) in MSC. Majority of mutations were missense as well in HPC (79.2%, n=76) as in MSC (81.8%, n=9). Deletions and insertions were present in both: HPC (10.4%, n=10, each) and MSC (9.1%, n=1, each).

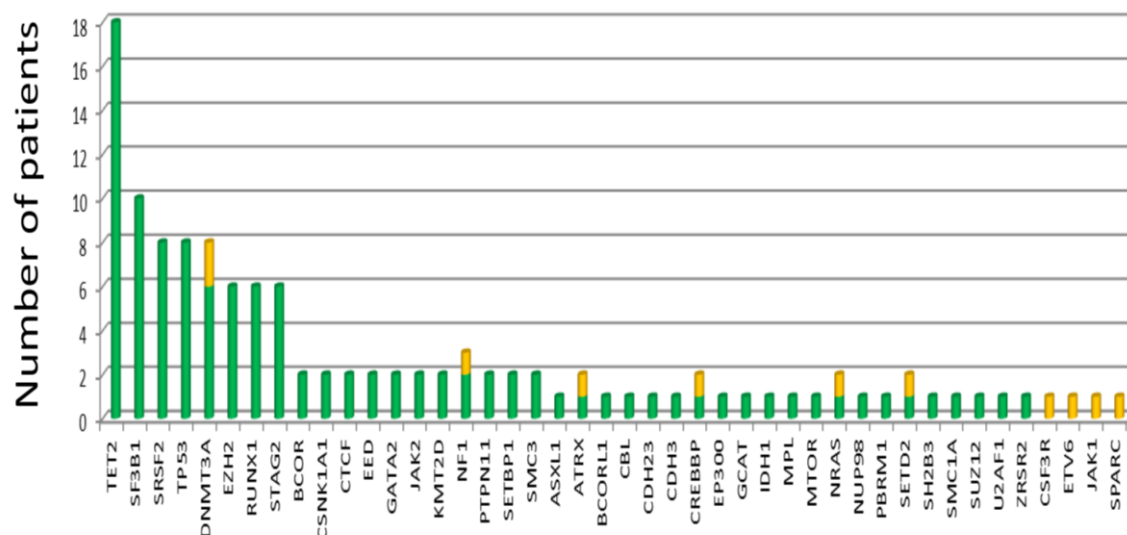


Figure 2. The forty-four mutated genes in fifty-eight MDS patients. The comparison of mutated genes frequency between HPC (green) and MSC (yellow).

The 51% of HPC mutations were previously reported, being 83.7% of them previously seen in haematological cancer. By contrast, all MSC mutations except *ETV6* (p.R105G) have been not previously reported. It should be noted that we did not observe any specific mutational pattern in MSC.

The mutated MSC of each patient carried different to their hematopoietic counterpart as well to other MSC alterations (Figure 3). Interestingly all CRMD and MDS with isolated del(5q) cases with MSC mutations did not present any HPC alteration (Figure 3).

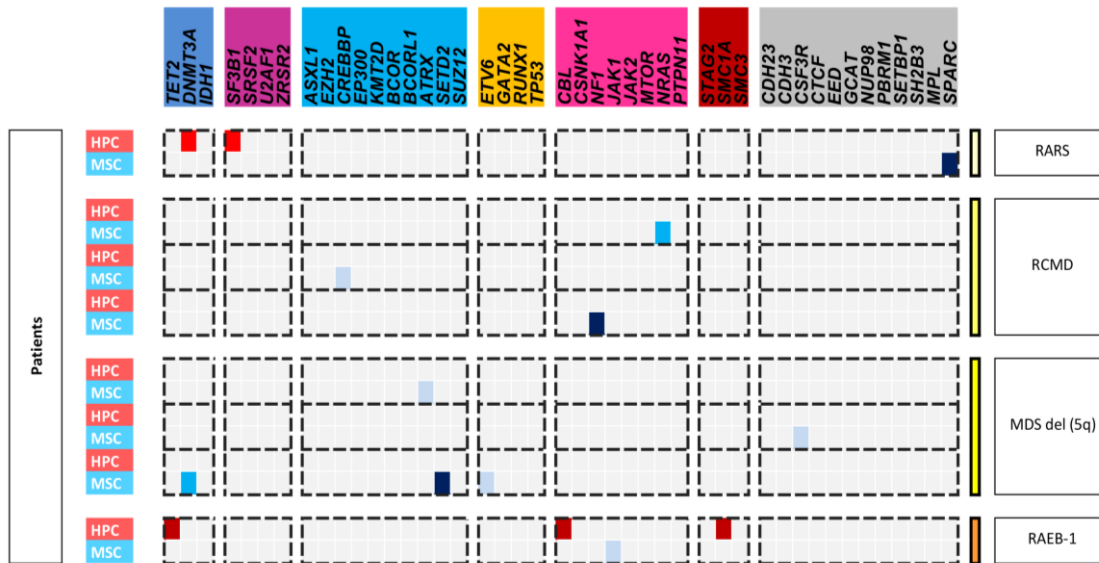


Figure 3. Paired analysis of eight MDS patients showing the presence of MSC mutations and their HPC counterpart. Each double file represents 1 patient. Each column represents one gene. Genes are grouped into the different functional group represented by colors (See Figure 1). **VAF:** ■ / ■ $\geq 5 < 10\%$ ■ / ■ $\geq 10 < 20\%$ ■ / ■ $\geq 20\%$.

MSC mutations

The sequencing of 58 MDS patients revealed 8 cases with MSC mutations, distributed in 1 RARS, 3 RCMD, 3 MDS with isolated del(5q) and 1 RAEB-1. Two cases had poor and remaining 6 patients had a good or very good IPSS-R cytogenetic risk, what was represented in the same manner in IPSS-R risk group. All the clinical and biological characteristics of MDS patients showing MSC mutations were similar to the group without these mutations. No differences between the two groups regarding WHO 2008 classification, IPSS-R cytogenetic risk or IPSS-R or status were observed (Tables 2 & 3).

Table 2. The comparison of median values of several clinical features between patients with wild type (wt) MSC and mutated (mut) MSC

	MSC wt	MSC mut	p value
Age	74.5	74.5	0.703
Hb (g/dL)	9.9	10	0.743
ANC ($\times 10^9/L$)	1.66	2.2	0.05
Platelets ($\times 10^9/L$)	140	196	0.664

Table 3. Association of presence of MSC mutations with clinical features (wt-wild type, mut-mutated).

	MSCs wt (N)	MSCs mut (N)	p value
Gender			
Male	24	3	0.709
Female	25	5	
Age			
<74	22	4	1
≥ 74	26	4	
WHO 2008			
RARS	0	1	0.195
RCUD	5	0	
RCMD	25	3	
MDS del(5q)	12	3	
MDS-U	1	0	
RAEB-1	2	1	
RAEB-2	5	0	
Citigenetic risk			
Very good	1	1	0.150
Good	43	5	
Interm.	1	0	
Poor	2	2	
IPSS-R			
Very low	10	2	0.695
Low	27	4	
Int	5	0	
High	3	1	
Very high	1	0	
Status			
Live	30	5	1
Death	20	3	

Furthermore the overall survival of MSC^{mut} patients and MSC wild type was similar (Figure 4).

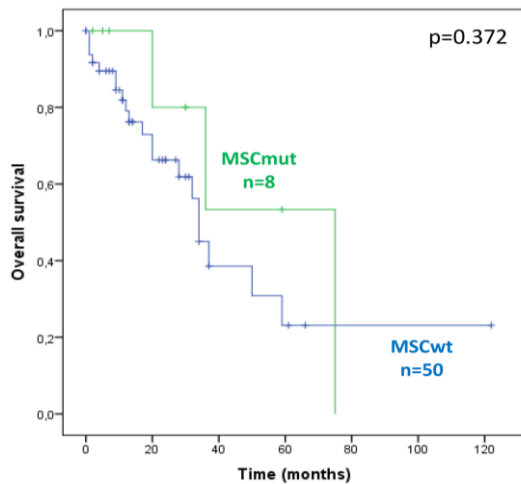


Figure 4. Overall survival of MDS patients showing MSC wild type (wt) and MSC mutated (mut).

Discussion

The pathogenesis of myelodysplastic syndrome patients (MDS) is complex, involving genetic abnormalities, immune alterations and microenvironment abnormalities. As far as it has been well known that the hematopoietic cells in this patients are defective and can carry mutations, little has been known about the molecular data in mesenchymal stromal cells that interact with them. Although nowadays MSC have been investigated and demonstrated some molecular imperfection that can have, more detailed knowledge and how exactly they contribute to MDS pathogenesis still remains understood. In this study we aimed to characterize the mutational profile of mesenchymal stromal cells from MDS patients and compare it with the genetic alteration of their hematological progenitors counterpart. Our study revealed that MSC from MDS patients do not carry the mutational profile characteristic for the HPC of MDS patients.

In our study we included 58 untreated MDS patients. The major part of patients included, were refractory cytopenia with multilineage dysplasia (RCMD) and MDS with isolated del(5q) and MDS very low or low IPSS-R risk. Bone marrow stromal niche being altered in MDS patients make *in vitro* culture quite complicated to obtain MSC, especially in high risk MDS or leukemia patients what influenced on define the study cohort [32, 33]. All MSC obtained samples were derived from passage 3, as usually in previous studies, which guaranteed purify without hematopoietic cells as well stability and enough amount of MSC [25, 34-36].

To analyze and to compare the mutational profile of MSC and HPC we used a focused panel of 117 genes related to myeloid neoplasms. Being a panel of genes focused on the analysis of hematopoietic cells, genes like *DICER1*, *MMP1*, *JAG1* although related to MDS and LAM, were not included [9, 26, 34]. Moreover for the main aim of the study to compare the incidence of characteristic to HPC mutations in MSC was more accurate. Similar study, with target deep sequencing (50 genes) was implemented in analysis of 5 MDS patients by Azuma et al. [37]. In contrast, Fabiani or von der Heide conventional Sanger and Whole Exome Sequencing (WES) to mutational analysis in MSC of myeloid disorders were applied [27, 38]. Mutational analysis revealed that 60.3% of patients had at least one mutation in their HPC. Comparing this result to previously reported, we observed the smaller percentage of affected patients. These low frequency of genetic mutations could be related to the large number of patients with low-risk MDS, mainly del(5q), who show a small incidence of somatic mutations [6, 7]. However, the prevalence of genes most frequently mutated in HPC and the sizes of clones consisted with current results [5-7,

39]. By contrast, the mutational analyses of MSC only showed 13% of mutations. Most likely, analyses that cover a larger part of the genome would contribute to the detection of other than myeloid-related and characteristic for HPC genes involved in the functions of mesenchymal cells [27, 40, 41]. Azuma et al. detected MSC mutations in 3 of 5 MDS analysed patients, meantime Sanger sequencing in study by Fabiani et al. analyzing the specific and small regions of eight genes in 7 MDS patients, did not reveal any case with MSC mutation. However no mutations founded could be due to few genes analyzed and shallow sequencing technique [31, 37, 38, 42]. We did not observe any specific mutational pattern in MSC. The mutated MSC of each patient carried different to their hematopoietic counterpart as well to other MSC alterations, with the quite low variant allele frequency (medium VAF of 8.3%). However, analyses of MSC by Azuma et al. revealed patients with the same mutations in MSC as in their hematological counterpart with VAF around of 50%, suggesting the germinal origin [37]. In HPC as well in MSC the main type of alterations were heterozygous missense mutations being the most common [43].

Based on these results we were able to identify 4 groups of patients. The most frequent group, probably most expected and already known in literature, were the patients with mutations in HPC and no in their MSC counterpart (56.9%) followed by the group of patients with HPC and MSC without any alterations (29.3%). Two other groups revealed by our analysis were patients with mutations in both: MSC and HPC (3.5%) and cases with MSC mutated and HPC wild type (10.3%). The appearance of 4 different groups of patients supports the important controversy that exists about the implication of MSC in MDS pathogenesis. The group with

defect HPC demonstrating the invasive functioning of hematopoietic cells and not the MSC that could initiate and support the MDS pathogenesis process, however accompanied by MSC mutations, although having a very low VAF in comparison to HPC alteration [21]. On the other hand, patients with mutations only in their MSC could support the studies where the MSC was seen as defected, supporting the malignant changes of HPC and MDS pathogenesis [9, 18, 24]. Interestingly the RCMD and MDS with isolated del(5q) were the subtypes which all MSC mutated cases did not presented any HPC alteration what could indicate the differences in MDS pathogenesis in this patients and probably the differences in MSC involving capacity.

No clinical or overall survival differences were seen between the MSC mutated cases compared to those without MSC changes. However the very small number of MSC affected patients could be the reason.

In summary, the present study demonstrated that MSC from MDS patients can harbor mutations in myeloid-related genes, however they are occasional, with a low VAF frequency and different to their HPC counterparts. Further studies with more patients and wide genome region screening are still needed to confirm and get better know the molecular profile of MDS MSC patients.

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General discussion

The achievement of the human genome mapping, in 2003, was a breakthrough in the history of genetic diseases. Since then the extensive development of the massive sequencing techniques (Target sequencing, Whole Exome Sequencing or Whole Genome Sequencing) and the Bioinformatics allowing for a very insightful molecular characterization of cancer.

MDS encompass a heterogeneous group of clonal and malignant myeloid disorders characterized by ineffective haematopoiesis, resulting in peripheral blood cytopenias and elevated risk of progression to acute myeloid leukemia [1-3, 147]. Integration of NGS techniques showed the mutational landscape of MDS patients, improving the better understanding of MDS. Thus the epigenetic regulators (*TET2*, *ASXL1*, *DNMT3A*, *IDH1*, *IDH2*, *EZH2*), transcription factors (*ETV6*, *RUNX1*, *TP53*), cohesins (*STAG2*, *RAD21*), signal transduction proteins (*CBL*, *JAK2*, *KRAS*, *NRAS*), and genes related to the RNA splicing machinery (*SF3B1*, *SRSF2*, *U2AF1*, *ZRSR2*) were found to be frequently mutated and nowadays widely known [37, 38, 58]. However the clinical implementation of NGS in MDS is still challenging.

In 2016 the World Health Organization (WHO) published the revision of the previous classification (2008) of myeloid neoplasms and acute leukemia incorporating new clinical, prognostic, morphologic, immunophenotypic and genetic data discovered since the last classification [32]. In the case of myelodysplastic syndromes with ring sideroblasts (MDS-RS) a significant genetic change was the incorporation of *SF3B1* mutational analysis (being clearly related to the MDS-RS phenotype) in the diagnosis of MDS patients with the presence of 5-15% of ring sideroblasts (RS) [68]. *SF3B1* is one of the genes regulating the splicing of RNA and contrary to other splicing genes as *SRSF2* has been associated with a good prognosis in cases without excess of blasts [72, 148]. The prevalence is particularly high, being >80% in MDS-RS [40]. However, probably the exploration of a single mutation may not be enough to determine the real prognosis of patients with MDS. In a cohort of 122 MDS-RS patients (WHO2001/2008) we analyzed the presence of *SF3B1* mutations combining the conventional Sanger sequencing followed by NGS strategy. Most of the mutations already reported are usually located at hot-spot locations of exons 14 and 15 [72, 73, 148]. Therefore the first step of the study (conventional sequencing) was focused on these regions. Sanger sequencing results revealed that most of MDS-RS patients

(81.1%, n=99) carried mutations in *SF3B1*, being consistent with the previous studies [39, 72, 136, 149]. Therefore the mutational analysis of hot-spot exons of this gene revealed information about clonality in almost all MDS-RS patients. Moreover, the NGS technique applied to the remaining 23 Sanger negative patients, revealed six additional patients showing *SF3B1* mutations (86.1%, n=105). In the comparison with the typical detection variant allele frequency level of 15%, as revealed by Sanger sequencing, one of the NGS advantages is the detection of lower levels of genetic variants, ensuring a more comprehensive coverage of the gene [150, 151]. In addition the application of NGS in MDS-RS cohort allowed us to detect other splicing-related genes mutations (*SRSF2*, *U2AF1* and *ZRSR2*) and the existence of rare double splicing gene mutations in 16 patients. In fact, ten patients presented double mutations, involving *SF3B1* and *SRSF2* in five cases, *SF3B1* and *U2AF1* in one and two distinct mutations in *SF3B1* in the remaining four cases. The presence of concomitant mutations in splicing genes is an infrequent event in MDS-RS, and usually involves *SF3B1* and *SRSF2* [73, 76, 133]. Moreover, the overall survival of patients showing double splicing gene mutations showed a trend to a worse outcome. The presence of other gene mutations in splicing genes could modified the positive influence of *SF3B1* mutations in the MDS patients and further studies in large series of patients must confirm this observation.

In MDS patients, several studies have demonstrated that mutations in *TP53*, *EZH2*, *ETV6*, *RUNX1*, *SRSF2*, *DNMT3A* and *ASX1* are predictors of poor overall survival [46, 58, 136]. The influence in the outcome of each mutation is well-defined. However, little is known about the concurrence of more than one mutation in the MDS outcome [137]. The presence of more than two mutations in the same MDS is observed in 40% of patients. At the same time 10% of patients presented 4 to 8 oncogenic point mutations affecting overall survival (OS) of these patients being the high number of mutations per patient related to a short survival [15]. However, the influence of additional mutations to *SF3B1* has not been considered yet [152]. Therefore we analyzed the mutation co-occurrence of *SF3B1* and other myeloid-related genes and we evaluated its prognostic value in 102 *SF3B1*^{mut} low/intermediate-risk MDS.

To carry out this project we used the customized panel of 117 genes previously related to MDS or other myeloid malignancies, which allowed for a wide and relatively fast analysis

of large number of patients and the possibility of occurrence of other gene mutations. In the analysis, patients with the diagnosis of isolated 5q- or RAEB were excluded from the study to avoid any bias in the results [37, 38, 153]. The NGS analyses revealed that only 20 patients (19.3%) carried *SF3B1* as the unique mutation, supporting the need for more extensive sequencing studies in most of these patients. The *SF3B1* as an unique mutation appeared as minority group as well in other studies, however in other MDS-RS series *SF3B1* as a solely mutated gene was present in 40% of patients maybe because these studies analysed few genes or a different cut-off was accepted for the VAF assessment [38, 136]. Moreover our NGS screening of co-existing *SF3B1* mutations revealed the *SF3B1* with ≥ 2 additional mutations had a negative impact on the prognosis of patients as an independent prognostic factor. Therefore we confirmed in the present work the negative impact of the presence of mutations associated to *SF3B1* in MDS.

The NGS analysis allowed us to assess the prevalence of additional mutated genes to *SF3B1*. The most frequently mutated genes were *DNMT3A*, *TET2*, *ASXL1*, *CUX1* and *EZH2* as previously reported [37, 38]. Therefore the co-occurrence of gene mutations to *SF3B1* was analysed. We did not confirm the results from other studies, showing additional mutations in either *RUNX1* or *DNMT3A* genes are associated with a worse outcome [40]. However, our study revealed the negative impact on OS of patients displaying *SF3B1* and *SRSF2* and/or *BCOR* and/or *IDH2* and/or *NUP98* and/or *STAG2* mutations. Nevertheless, due to small number of affected cases, further analysis and confirmation of these findings in a larger cohort of patients are needed. Therefore our results suggest that a complete NGS study in MDS *SF3B1*^{mut} patients would be recommend for the better estimation of the evolution of the disease discarding the influence of additional mutations that could interfere in the good prognosis associated with *SF3B1* mutations.

In 2014 NGS studies in healthy individuals revealed that around of 10-20% of elderly people (≥ 65 years) carried somatic mutations in hematopoietic-related genes. The majority of the variants occurred in three genes: *DNMT3A*, *TET2* and *ASXL1*. The Clonal Haematopoiesis of Indeterminate Potential (CHIP) in healthy people contributed to increase the risk to cardiovascular diseases [134, 154]. In the current study, *DNMT3A*, *TET2* and *ASXL1* were seen as ones of most frequently mutated genes in MDS. No effect

was seen in *SF3B1* co-occurrence. However according to the previous reports, their existence could be crucial to initiate the clonal haematopoiesis that evolved into the MDS disease. This data demonstrate the importance and utility of NGS technique application not only in patients monitoring but also in healthy people, giving a chance for early detection of molecular changing (clonal hematopoiesis).

The last part of this thesis was focused in the use of NGS to characterize the mutational profile of mesenchymal stromal cells (MSC) from MDS patients and to compare it with the genetic alterations of their haematological progenitor counterpart. Emerging research indicates that the bone marrow microenvironment could play an essential role in MDS. Altered functioning of MSC was demonstrated at cytogenetic and molecular level with changes in the expression of several genes in MDS patients, shedding a new light on MDS pathogenesis, and thereby increasing the interest in assessing mesenchymal stromal cells involvement [84, 155]. However, the functioning and the participation in MDS pathophysiology bring a lot of controversy and remains unclear [100, 144, 145, 156-158]. In fact only few studies have assessed MSC from MDS patients at the molecular level, and this information still remains controversial [82, 85, 101, 104, 155]. In our study we tried to reveal whether MSC from MDS patients harbor molecular alterations, and whether these potential abnormalities could be similar to those present in hematopoietic progenitor cells presented. The NGS analysis in 58 MDS patients revealed that gene mutations are more frequent in hematopoietic cells (HPC) than in mesenchymal stromal cells (MSC) confirming previous results in a short number of MDS patients [105], although some papers reported no mutations in MCS maybe because the low number of MDS patients analyzed. [104, 150, 151]. By contrast, the number of mutations in MSC seems to be higher in AML patients [82, 159, 160]. We did not observe any specific mutational pattern in MSC. The mutated MSC of each patient carried different to their hematopoietic counterpart as well to other MSC alterations, with a low variant allele frequency in accordance to previously published data in MDS patients [105]. Our study revealed four different groups of patients: cases with mutations in HPC and no in their MSC counterpart, patients with both HPC and MSC wild type, patients with mutations in MSCs and HPC, and those with mutations in MSC and no in HPC. The appearance of 4 different groups of patients supporting the controversy about the implication of MSCs in MDS

pathogenesis [90, 101, 144, 157]. These results demonstrated that MSC mutations are occasional and different to their HPC counterparts, and not support the common origin of the pathogenic clone in MSc and HPC. However more studies with more patients and wide genome region screening are still needed to confirm and get better know the molecular profile of MDS MSCs patients.

Taking together, the results provided in this PhD confirm the value of NGS in the clinical setting, providing detailed molecular information about the patients, facilitating the correct diagnosis and improving the risk stratification that could allowed to take the right treatment decision. However still further research with the new NGS techniques are needed to validate the clinical findings and to complement and enhance the molecular knowledge acquired so far.

Concluding remarks

1. The NGS sequencing can identify new mutations that are not detectable by conventional sequencing. All mutations detected by Sanger sequencing were identified by NGS. The presence of mutations in *SRSF2*, *U2AF1* and *ZRSR2* beyond *SF3B1* suggest that any gene related to the spliceosome machinery could be mutated in the MDS-RS. In addition, patients who did not show any mutation in splicing genes did not have clinical or biological characteristics different from the rest of MDS-RS and could carry variations in other splicing genes not included in the study. The two-step approach for sequencing splicing-related genes is affordable and reveals mutations in almost all MDS-RS patients.
2. NGS analyses revealed that the vast majority of very low, low and intermediate-risk *SF3B1* mutated MDS patients (80.4%) carry at least 1 additional gene mutation. The existence of more than two additional mutations was associated with adverse clinical impact shortening the overall survival of very low, low and intermediate-risk MDS patients. The presence of additional *SF3B1* mutations in *SRSF2*, *IDH2*, *BCOR*, *NUP98* or *STAG2* although do not change the clinical features, negatively affects the survival of these patients.
3. More than half of very low, low and intermediate-risk *SF3B1* mutated MDS patients displayed Clonal Haematopoiesis of Indeterminate Potential (CHIP) mutations (*DNMT3A*, *TET2* and/or *ASXL1*). Although no effect was seen in *SF3B1* co-occurrence, their existence could be related to the evolution to the MDS.
4. The complete mutational study in patients with very low, low and intermediate-risk MDS and MDS with ring sideroblasts could allow to a better estimation of prognosis disease and could clarify the positive influence of *SF3B1* isolated mutations in this subset of patients.
5. The analysis of the mutational profile of MSC from MDS patients revealed that 13.8% of cases harboured MSC alteration in myeloid-related genes. Any specific mutational pattern was seen. The MSC mutation of each MDS patient was always

6. different to their hematopoietic counterpart as well as different to MSC alterations of other patients.
7. Mutational screening of MSC and their haematopoietic counterpart revealed four groups of MDS patients: cases with mutations in both HPC and MSC, patients with mutations in MSC but not in their HPC counterpart, group with alterations only in HPC and patients where neither HSC nor MSC were affected. The presence of heterogeneous groups of patients considering the mutations in the MSC supports the controversy about the implication of MSCs in MDS pathogenesis.

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Supplementary Appendix

A two-step approach for sequencing spliceosome-related genes as a complementary diagnostic assay in MDS patients with ringed sideroblasts

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Supplementary Table S1. Clinical features of 122 MDS-RS patients

WHO subtype	%	median [range]
RARS	55	
RCMD-RS	45	
Age (years)		77 [29-95]
Sex		
Male	33.7	
Female	66.3	
Karyotype		
Normal	65.8	
No mitosis	13.7	
Abnormal	20.5	
Hb level (g/dL)		9.7 [5.4-13]
WBC count (x10 ⁹ /L)		5.8 [1.1-19.5]
Neutrophil count (x10 ⁹ /L)		3 [0.3-15]
Platelet count (x10 ⁹ /L)		264 [3.6-1018]
Bone marrow blasts (%)		0.8 [0-4]
Monocytes / mm ³		400 [100-80000]

WHO: World Health Organization; Hb: Hemoglobin; WBC: White blood cells

Supplementary Table S2. Primer sequences designed for *SF3B1* Sanger sequencing

<i>SF3B1</i> Oligonucleotide (5' → 3')	Exon 14	Exon 15
Forward	CCAACTCATGACTGTCCTTCTT	TTGGGGCATAGTTAAAACCTG
Reverse	GGGCAACATAGTAAGACCCTGT	AAATCAAAAAGGTAATTGGTGGA
Annealing temperature	59.9°C	57.1°C

Supplementary Table S3. Information on primer-pair sequences for Spliceosome-related genes by using 454 amplicon deep sequencing

Gene	Exon/ Amplicon	Forward Sequence (5' -> 3')	Reverse Sequence (5' -> 3')	Length
<i>SF3B1</i>	10	GTGCAAATATTGTTTCATTATGCTGT	TGTTAAGGGAAGTTGAAATGTTATGA	345
	11	TCATAACATTTCAACTCCCTTAACA	ACATGGCCAGGTGCAGAG	331
	12	TGGAAAATCTTCTTTGAGTAATTTG	GTGCAAAGGAAAAGGTCTAGGA	364
	13	TCTTAAACAGTTCGTCCCTTGA	GTAGCCAGACCAGCAGCCTA	360
	14	CCAACTCATGACTGTCCTTTCT	CATAGTAAGACCCTGTCTCCTAAAGA	369
	15	TGAGAGAATCTGGATGATATTGTGT	TTCAAGAAAGCAGCCAAACC	336
	16	GCAACTCCTATGGTATCGAATC	GAACCATGAAACATATCCAGTTTACA	369
<i>U2AF1</i>	1	GCGTCGGCAGCAGTGTC	CCAAGGCCGGAGAAAGC	353
	2	GCTGCTGACATATTCCATGTG	AACAAGGAGTGGTGGTCTCAG	357
	3	ACTGAAGCACTTGCCAGAGG	TTAACACACGGTGGGAGTTCA	331
	4	GGTTAACATGTTCTAACCAGCAAG	GTGGTCTCCAGGTTGTCAC	323
	5	GAGGTGAGAGAACTGAACTCTGTG	CACACTAAGCGGCTGCAC	354
	6	GGCAAAATCTTGGACTATCTTGA	CGAACTGTGCTCAGTCACGTC	360
	7	AGTGAGGAGTGGCCAGTGAC	GGTGAATATTCTTCTCCAAAGAGG	359
	8	TGAAATCAGGGTGTGTCTCAGT	TCAACTACAACACTTTCTAGCAGACAT	360
<i>ZRSR2</i>	1	TGAGCAGGGTTCTGGAAGAC	GCACCAGGAAGGAATGGAG	337
	2	GCGGATGGAGAAGAACTGTC	TCAAGTTAGGGCTGGAGTGG	362
	3	TTGTGTTGTACCAAAGAAGGTTG	GACTGGTACTGGTTAGTAAAGGTTG	326
	4	TTTGCTCTCGTGTGTGTGTG	GAGATGGAGTTCCGCTCTTG	330
	5	TGTGCGCTGTATGTGAAATG	GACCCGAAGAAGAGCATCAG	330
	6	AGCCTGGGTGACAGAGTGAG	CCACGAACTAACATTACTGGAA	346
	7	GCGTAGTCTTGATTGCCTGTTCCAA	CTCTCCCAAAAGGGGAACTC	343
	8	CCACCATGCCTGGTCTAAAG	TGTGTCCCAGCTCTCTTGTC	375
	9	GGGAATGTTAGCCTGGACAA	GAACACGCCATTGCACTCTA	340
	10	TCAGTGAACCTGGTGGTCCT	AAGCTATGCCTCACCATTGA	326
	11.1	AGTGCTGTTTCATCACTGTGC	CCTCTCCCGACTCTTGATG	343
	11.2	GGGAATCCGAGAGGAAAAGT	AACCCATCTGCGTTCATAGC	357
<i>SRSF2</i>	1.1	CGCCCAGTTGTTACTCAGGT	GTCGTGAAAGCGAACGAAG	368
	1.2	CAAGGTGGACAACCTGACCT	CCTCAGCCCCGTTTACCT	331
	1.3	CCCGGACTCACACCACAG	CCAGGCCGCCATTATCTC	339
	2	CGCTGCCTGGAATTAACC	TCGGATTCCCAGACATTACC	376

Length, including sequence-specific primer

Supplementary Table S4. *SF3B1* mutations not detected by Sanger sequencing, identified by NGS

Case number	MDS subtype	Amino acid change	VAF by NGS
1	RARS	p.Gly740Glu	45%
2	RARS	p.Gly742Asp	37%
3	RARS	p.Lys666Asn	10%
4	RARS	p.Lys748Glu	35%
5	RCMD-RS	p.Arg625Leu	23%
6	RCMD-RS	p.His662Gln	18%

RARS: refractory anemia with ring sideroblasts; RCMD-RS: refractory cytopenia with multilineage dysplasia and ring sideroblasts.

Supplementary Table S5. Comparison of hematological data of MDS-RS patients with respect to the number of splicing genes mutations.

Characteristic	1 mutation (mean/median*)	2 mutations (mean/median*)	P value
Hb level (g/dL)	9.7	9.6	0.827
Neutrophil count ($\times 10^9/L$)	3.0*	3.1*	0.522
Platelet count ($\times 10^9/L$)	288.6	283.8	0.926
WBC count ($\times 10^9/L$)	6.3	7.3	0.423
Monocytes / mm^3	460	522	0.658

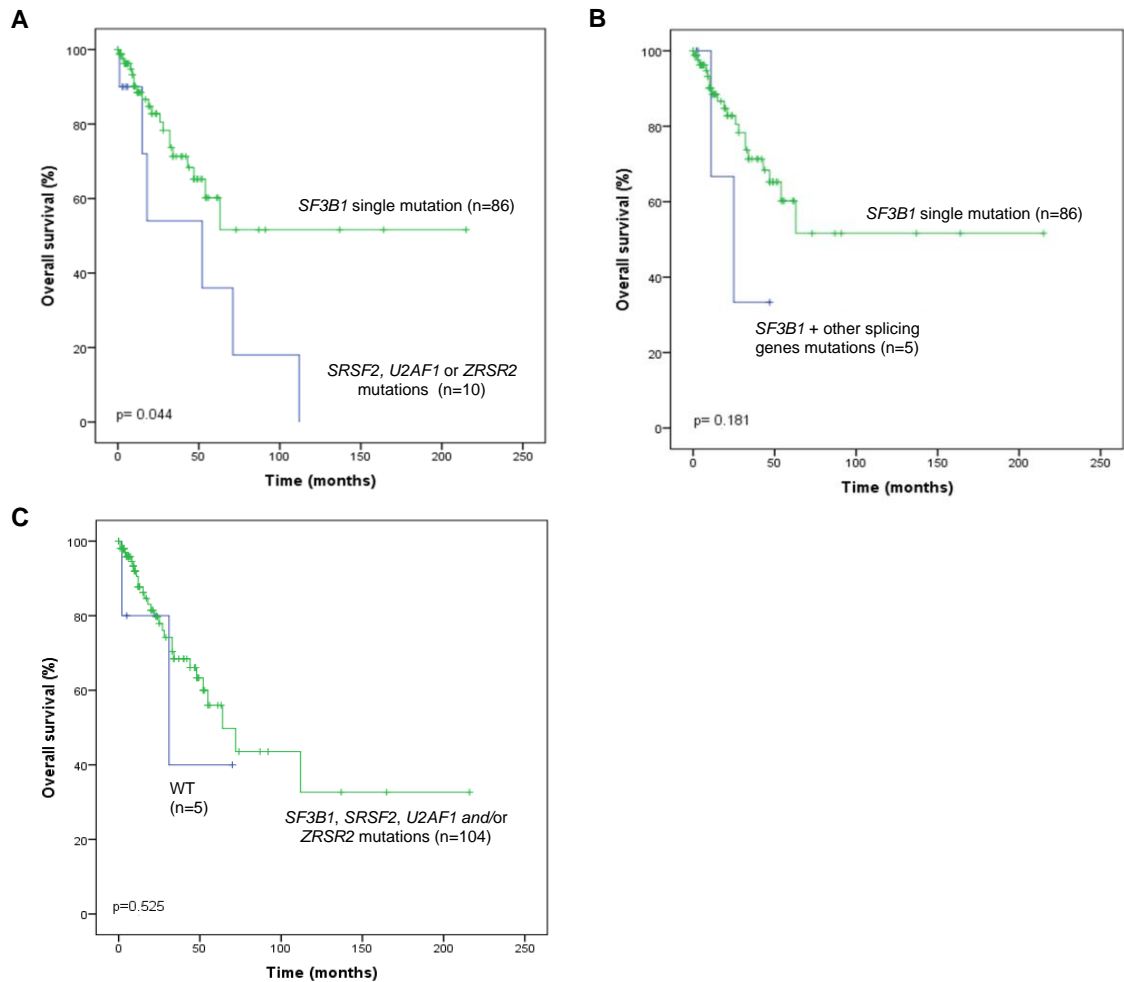
Hb: hemoglobin; WBC: white blood cells

Supplementary Table S6. Comparison of hematological data of MDS-RS patients with respect to the presence of splicing gene mutations.

Characteristic	Not mutated (mean/median*)	Mutated (mean/median*)	P value
Hb level (g/dL)	9.1	9.7	0.284
Neutrophil count ($\times 10^9/L$)	1.1*	3.0*	0.438
Platelet count ($\times 10^9/L$)	227*	266*	0.270
WBC count ($\times 10^9/L$)	6.5*	5.8*	0.968
Monocytes / mm^3	317	465	0.284

Hb: hemoglobin; WBC: white blood cells

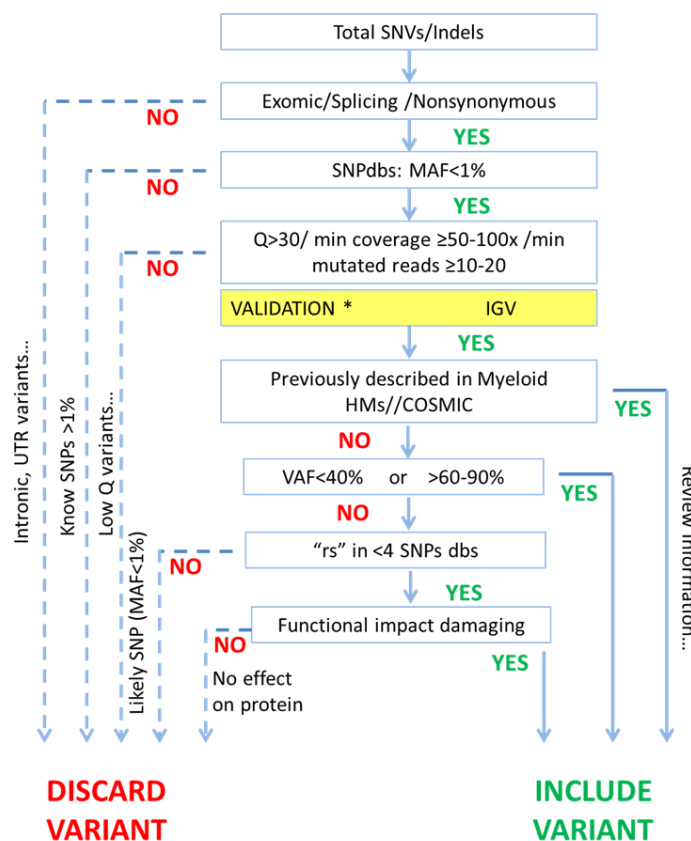
Supplementary Figure S1. Overall survival of MDS-RS patients with respect to mutations in *SF3B1* and the other splicing genes analyzed (**A**), the presence of *SF3B1* single mutation vs. double mutations (**B**), and the presence of concomitant mutations vs. wild type (WT) (**C**).



Clinical, biological and prognostic implication of *SF3B1* co-occurrence mutations in very low/low and intermediate-risk MDS patients

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Supplementary Figure 1. Summary of in-house sequencing pipeline algorithm used for base calls of somatic mutations.



Supplementary Table S1. Customized panel of 117 myeloid-related genes applied in the study.

<i>ABL1</i>	<i>CBLC</i>	<i>EED</i>	<i>HRAS</i>	<i>MECOM</i>	<i>PHF19</i>	<i>SF1</i>	<i>TET2</i>
<i>AEBP2</i>	<i>CD177</i>	<i>EGFR</i>	<i>IDH1</i>	<i>KMT2A</i>	<i>PHF6</i>	<i>SF3A1</i>	<i>TGM2</i>
<i>ARID2</i>	<i>CDH13</i>	<i>EIF2AK2</i>	<i>IDH2</i>	<i>KMT2D</i>	<i>PHLPP1</i>	<i>SF3B1</i>	<i>TIMM50</i>
<i>ASXL1</i>	<i>CDH23</i>	<i>ENG</i>	<i>IKZF1</i>	<i>MPL</i>	<i>PTEN</i>	<i>SFPQ</i>	<i>TNFAIP3</i>
<i>ATRX</i>	<i>CDH3</i>	<i>EP300</i>	<i>IL3</i>	<i>MTOR</i>	<i>PTPN1</i>	<i>SH2B3</i>	<i>TP53</i>
<i>BCAS1</i>	<i>CDK2</i>	<i>ETV6</i>	<i>IRF1</i>	<i>NF1</i>	<i>PTPN11</i>	<i>SMC1A</i>	<i>TYK2</i>
<i>BCOR</i>	<i>CDKN2A</i>	<i>EZH2</i>	<i>JAK1</i>	<i>NOTCH1</i>	<i>RAD21</i>	<i>SMC3</i>	<i>U2AF1</i>
<i>BCORL1</i>	<i>CEBPA</i>	<i>FBXW7</i>	<i>JAK2</i>	<i>NPM1</i>	<i>RARA</i>	<i>SPARC</i>	<i>UMODL1</i>
<i>BCR</i>	<i>CREBBP</i>	<i>FLT3</i>	<i>JAK3</i>	<i>NRAS</i>	<i>RET</i>	<i>SRSF2</i>	<i>USB1</i>
<i>BMI1</i>	<i>CSF3R</i>	<i>G3BP1</i>	<i>JARID2</i>	<i>NR2F6</i>	<i>RPS14</i>	<i>STAG1</i>	<i>WASF3</i>
<i>BRAF</i>	<i>CSNK1A1</i>	<i>GATA1</i>	<i>JKAMP</i>	<i>NTRK1</i>	<i>RUNX1</i>	<i>STAG2</i>	<i>WT1</i>
<i>CALR</i>	<i>CTCF</i>	<i>GATA2</i>	<i>KDM6A</i>	<i>NUP98</i>	<i>SALL4</i>	<i>SUZ12</i>	<i>ZRSR2</i>
<i>CBFB</i>	<i>CTNNA1</i>	<i>GCAT</i>	<i>KIT</i>	<i>PBRM1</i>	<i>SBDS</i>	<i>TCL1B</i>	
<i>CBL</i>	<i>CUX1</i>	<i>GNAS</i>	<i>KRAS</i>	<i>PDGFRA</i>	<i>SETBP1</i>	<i>TERC</i>	
<i>CBLB</i>	<i>DNMT3A</i>	<i>GNB1</i>	<i>LUC7L2</i>	<i>PDGFRB</i>	<i>SETD2</i>	<i>TERT</i>	

Supplementary Table S2. Comparison of clinical features (median values) between patients with *SF3B1*mut isolated vs. *SF3B1* with 1,2,3,4 and 5 additional mutations.

	<i>SF3B1</i> isolated	<i>SF3B1</i> + 1	<i>SF3B1</i> + 2	<i>SF3B1</i> + 3	<i>SF3B1</i> + 4	<i>SF3B1</i> + 5	p
Age	76	75.8	76.6	79.5	76	78.2	0.885
Blasts (%)	0.3	0.5	1	0.6	1.4	0.8	0.035
RS (%)	46	40	40	27.5	68	36.5	0.217
Hb (g/dL)	10	9.3	10.2	9.6	8.6	10.3	0.134
Platelets (x10 ⁹ /L)	248	258	234	256	247	245	0.983
ANC (x10 ⁹ /L)	2.9	3	3.2	2.6	1.9	1.9	0.284

Mutational status of mesenchymal stromal cells in myelodysplastic syndromes patients

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Supplementary Table S1. Customized panel of 117 myeloid-related genes applied in the study.

<i>ABL1</i>	<i>CBLC</i>	<i>EED</i>	<i>HRAS</i>	<i>MECOM</i>	<i>PHF19</i>	<i>SF1</i>	<i>TET2</i>
<i>AEBP2</i>	<i>CD177</i>	<i>EGFR</i>	<i>IDH1</i>	<i>KMT2A</i>	<i>PHF6</i>	<i>SF3A1</i>	<i>TGM2</i>
<i>ARID2</i>	<i>CDH13</i>	<i>EIF2AK2</i>	<i>IDH2</i>	<i>KMT2D</i>	<i>PHLPP1</i>	<i>SF3B1</i>	<i>TIMM50</i>
<i>ASXL1</i>	<i>CDH23</i>	<i>ENG</i>	<i>IKZF1</i>	<i>MPL</i>	<i>PTEN</i>	<i>SFPQ</i>	<i>TNFAIP3</i>
<i>ATRX</i>	<i>CDH3</i>	<i>EP300</i>	<i>IL3</i>	<i>MTOR</i>	<i>PTPN1</i>	<i>SH2B3</i>	<i>TP53</i>
<i>BCAS1</i>	<i>CDK2</i>	<i>ETV6</i>	<i>IRF1</i>	<i>NF1</i>	<i>PTPN11</i>	<i>SMC1A</i>	<i>TYK2</i>
<i>BCOR</i>	<i>CDKN2A</i>	<i>EZH2</i>	<i>JAK1</i>	<i>NOTCH1</i>	<i>RAD21</i>	<i>SMC3</i>	<i>U2AF1</i>
<i>BCORL1</i>	<i>CEBPA</i>	<i>FBXW7</i>	<i>JAK2</i>	<i>NPM1</i>	<i>RARA</i>	<i>SPARC</i>	<i>UMODL1</i>
<i>BCR</i>	<i>CREBBP</i>	<i>FLT3</i>	<i>JAK3</i>	<i>NRAS</i>	<i>RET</i>	<i>SRSF2</i>	<i>USB1</i>
<i>BMI1</i>	<i>CSF3R</i>	<i>G3BP1</i>	<i>JARID2</i>	<i>NR2F6</i>	<i>RPS14</i>	<i>STAG1</i>	<i>WASF3</i>
<i>BRAF</i>	<i>CSNK1A1</i>	<i>GATA1</i>	<i>JKAMP</i>	<i>NTRK1</i>	<i>RUNX1</i>	<i>STAG2</i>	<i>WT1</i>
<i>CALR</i>	<i>CTCF</i>	<i>GATA2</i>	<i>KDM6A</i>	<i>NUP98</i>	<i>SALL4</i>	<i>SUZ12</i>	<i>ZRSR2</i>
<i>CBFB</i>	<i>CTNNA1</i>	<i>GCAT</i>	<i>KIT</i>	<i>PBRM1</i>	<i>SBDS</i>	<i>TCL1B</i>	
<i>CBL</i>	<i>CUX1</i>	<i>GNAS</i>	<i>KRAS</i>	<i>PDGFRA</i>	<i>SETBP1</i>	<i>TERC</i>	
<i>CBLB</i>	<i>DNMT3A</i>	<i>GNB1</i>	<i>LUC7L2</i>	<i>PDGFRB</i>	<i>SETD2</i>	<i>TERT</i>	

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